### Curriculum Vitae

### **Daniel Eduardo Rivera**

School for Engineering of Matter, Transport, and Energy Mail Stop 876106, Arizona State University Tempe, Arizona, 85287-6106 Phone: 480-965-9476, email: daniel.rivera@asu.edu

https://isearch.asu.edu/profile/29494

### **Education**

Ph.D., Chemical Engineering, California Institute of Technology, June 1987. Dissertation Title: Modeling Requirements for Process Control

Dissertation Advisor: Professor Manfred Morari

M.S., Chemical Engineering, University of Wisconsin-Madison, December 1984.

Thesis Title: Process Control Using Low Order Models

Thesis Advisor: Professor Manfred Morari

B.S., Chemical Engineering (High Distinction), University of Rochester, May 1982.

# **Academic Experience**

Professor of Chemical Engineering, Arizona State University, August 2009 – present.

Graduate Faculty in Electrical Engineering, Arizona State University, May 2004 – present.

Associate Professor of Chemical Engineering, Arizona State University, August 1990 – July 2009.

Visiting Professor, Universidad Nacional de Educación a Distancia (UNED), Madrid, and University of Almería, Andalucía, Spain, September – October 2007; March – May 2013; March – April 2014; November 2015.

Gästforskare (Guest Researcher), Division of Automatic Control, Department of Electrical Engineering, Linköping University, Sweden; August - December, 1996.

Lecturer, Dept. of Chemical Engineering, University of Houston; January - May, 1990.

# **Industrial Experience**

Visiting Professor, Honeywell Technology Center, Phoenix, Arizona; January - August, 1997.

Associate Research Engineer, Shell Development Company; January 1987-July 1990.

Professional Intern, Management Services Division, Eastman Kodak Co.; May - July, 1982.

### **Principal Areas of Teaching and Research**

Control systems engineering with emphasis on system identification and advanced control concepts applied to process systems, supply chain management, and behavioral medicine.

# Honors, Distinctions and Recognitions

Fellow, American Institute of Chemical Engineers (AIChE), March 2022 – present

Fellow, Society of Behavioral Medicine (SBM), April 2021 – present.

David Himmelblau Award for Innovations in Computer-Based Chemical Engineering Education, AIChE Computing and Systems Technology (CAST) Division, 2020.

Society of Behavioral Medicine (SBM) Champion, March 2020 – present.

Distinguished Member, IEEE Control Systems Society, 2019.

Faculty, MD2K mHealth 2019 Summer Training Institute, UCLA, July 28 – Aug. 2, 2019.

Escuela Superior Politécnica del Litoral (ESPOL), Guayaquil, Ecuador, Visiting Faculty, June 2019.

Faculty, MD2K mHealth 2018 Summer Training Institute, UCLA, July 29 – Aug. 3, 2018.

Opponent, Electrical Engineering PhD dissertation defense, KTH (Royal Institute of Technology), Stockholm, Sweden, February 10, 2017.

Keynote Speaker, LCCC (Lund Center for Control of Complex Engineering Systems) Workshop on Process Control, Lund University, Sweden, September 28-30, 2016.

Invited Participant, UK Medical Research Council/NIH/Robert W. Johnson Foundation International Workshop on Methodologies for Developing and Evaluating Digital Health Interventions: Development of Guidance, London, UK, Sept. 10 – 11, 2015.

Invited Participant, International Workshop on Dynamic Modeling of Health Behavior Change and Maintenance: Moving the Field Forward, University College – London, Sept. 8 – 9, 2015.

Keynote Speaker, 2<sup>nd</sup> Mathematical Sciences in Obesity Course, University of Alabama-Birmingham School of Public Health, June 22-26, 2015.

Invited Participant, National Workshop on Computing Challenges in Future Mobile Health (*mHealth*) Systems and Applications, NIH Main Campus, Bethesda, Maryland, October 29, 2014.

Keynote Speaker, Fifth CSL Symposium on Emerging Topics in Control and Modeling: Social and Economic Behavior, University of Illinois at Urbana-Champaign, November 7, 2013.

Featured speaker and invited participant, Big D.A.T.A. (Data and Theory Advancement) Workshop, National Cancer Institute, NIH Main Campus, September 19-20, 2013.

Featured Speaker, National Cancer Institute Science of Research and Technology Branch Crosstalk Seminar Series, Bethesda, MD, July 17, 2013.

Plenary Speaker, XI Simposio CEA de Ingeniería de Control, Universidad Politécnica de Valencia, Spain, April 10, 2013.

Invited Participant, NSF-NIH-European Union International Workshop on New Computationally-Enabled Theoretical Models to Support Health Behavior Change and Maintenance, Brussels, Belgium, October. 16-17, 2012.

Plenary Speaker, 16<sup>th</sup> IFAC Symposium on System Identification (SYSID 2012), Brussels, Belgium, July 11–13, 2012

K25 Mentored Quantitative Research Career Development Award, National Institute on Drug Abuse, National Institutes of Health, 2007-2012.

Honorable Mention, CAST Director's Award, 2005 AIChE Annual Meeting, Cincinnati.

Keynote Speaker, Process Systems Engineering track, 2004 Canadian Society of Chemical Engineers Annual Meeting, Calgary, AB, 2004.

Plenary Speaker, CEA-IFAC (Spanish Automatic Control Council) Summer School, Alicante, 2004.

Teaching Excellence Award, ASU College of Engineering, 1997-1998.

Plenary Lecturer, II Congress of the Colombian Soc. for Autom. Control, Bucaramanga, Colombia, 1997.

Plenary Lecturer, 35<sup>th</sup> Annual Conf., Society of Instrument and Control Engineers, Tottori, Japan, 1996.

Outstanding Undergraduate Educator, ASU AIChE Student Chapter, 1994-1995.

National Science Foundation Research Initiation Award, 1991-1994.

Best Presentation Award - Identification and Model (In)Validation Session, 1994 American Control Conference, Baltimore.

Best Paper in Session Award - Keeping the Best Students: Innovation in Engineering Education Session - 1993 ASEE Pacific Southwest Meeting, Flagstaff.

Keynote Speaker, 1992 Honeywell Advanced Control Symposium, Phoenix, AZ.

Best Presentation Award - Model Predictive Control Session, 1989 American Control Conf., Pittsburgh.

National Science Foundation Graduate Fellow, 1982-1985.

Member, Tau Beta Pi, (elected 1981).

Member, Phi Beta Kappa, (elected 1982)

Thomas J. Walter Prize for Excellence in Computer Applications, U. of Rochester, 1982.

Eastman Kodak Scholar - University of Rochester, 1979-1982.

Presidential Scholar - Puerto Rico, 1978.

Valedictorian, Wesleyan Academy, Guaynabo, Puerto Rico, 1978.

### **Publications**

(student authors designated in **boldface**)

9595 citations; *h*-index: 42 (Google Scholar); 5397 citations, *h*-index: 31 (Scopus)

### **Refereed Archival Journals**

- 1. Rivera, D.E., M. Morari, and S. Skogestad, "Internal Model Control 4. PID controller design," *Ind. Eng. Chem. Proc. Des. and Dev.*, **25**, 252, 1986. 21<sup>st</sup> most cited paper in I&EC since 1975.
- 2. Rivera, D.E. and M. Morari, "Control-relevant model reduction problems for SISO H2, H-infinity and mu controller synthesis," *Int. J. Control*, **46**, 505, 1987.
- 3. Holt, B.R., N.F. Jerome, D.E. Rivera, M. Morari, W.H. Ray *et al.*, "CONSYD integrated software for computer-aided control system design and analysis," *Comp. and Chemical Engineering*, **11**, 187, 1987.
- 4. Laughlin, D.L., D.E. Rivera, and M. Morari, "Smith Predictor design for robust performance," *Int. J. of Control*, **46**, 477, 1987.
- 5. Rivera, D.E. and M. Morari, "Low-order SISO controller tuning methods for the H2, H-infinity and mu objective functions," *Automatica*, **26**, 361, 1990.
- 6. Rivera, D.E. and M. Morari, "Plant and controller reduction problems for closed-loop performance," *IEEE Trans. Autom. Cntrl.*, **37**, 398, 1992.
- 7. Rivera, D.E., J.F. Pollard, and C.E. García, "Control-relevant prefiltering: a systematic design approach and case study," *IEEE Trans. Autom. Cntrl.*, Special Issue on System Identification for Control Design, **37**, 964, 1992.
- 8. Rivera, D.E. and **S.V. Gaikwad**. "Systematic Techniques for Determining Modeling Requirements for SISO and MIMO Feedback Control Problems," *Journal of Process Control* **5**, No. 4, pp.213-224, 1995.
- 9. **Jun, Kyoung-Shik**, D.E. Rivera, **E. Elisante**, and V.E. Sater. "A Computer-Aided Design Tool for Robustness Analysis and Control-Relevant Identification of Horizon Predictive Control with Application to a Binary Distillation Column," *Journal of Process Control*, **6**, No. 2-3, pp. 177-186, 1996.
- 10. Rivera, D.E. and **S. Gaikwad**, "Digital PID Controller Design Using ARX Estimation," *Computers and Chemical Engineering*, **20**, No. 11, pp. 1317-1334, 1996.
- 11. Rivera, D.E., **K.S. Jun**, V.E. Sater, and **M.K. Shetty**, "Teaching Process Dynamics and Control Using an Industrial-Scale Real-Time Computing Environment," *Computer Applications in Engineering Education*, Vol. 4 No. 3, pp. 191-205, 1996.
- 12. **Gaikwad**, **S.V**. and D.E. Rivera, "Multivariable Frequency-Response Curvefitting with Application to Control-Relevant Parameter Estimation Problems," *Automatica*, **33**, No. 6, pp. 1169-1174, 1997.
- 13. **Ling, W.M.** and D.E. Rivera, "Control-relevant Model Reduction of Volterra Series Models," *Journal of Process Control.*, **8**, No. 2, pp 79-88, 1998.

- 14. **Ling, W.M.** and D.E. Rivera, "Nonlinear Black-Box Identification of Distillation Column Models Design Variable Selection for Model Performance Enhancement," *Applied Mathematics and Computer Science*, Special Issue on Data Processing and Process Control, **8**, No. 4, 1998.
- 15. Rivera, D.E. and **K.S. Jun**, "An Integrated Identification and Control Design Methodology for Multivariable Process System Applications," *IEEE Control Systems Magazine*, Special Issue on Process Control, **20**, No. 3, pp. 25-37, June 2000.
- 16. **Vargas-Villamil, F.D.** and D.E. Rivera, "Multilayer Optimization and Scheduling Using Model Predictive Control: Application to Reentrant Semiconductor Manufacturing Lines," *Computers and Chemical Engineering*, **24**, No. 2, pp. 2009-2021, 2000.
- 17. Stenman, A., F. Gustafsson, D.E. Rivera, L. Ljung, T. McKelvey, "On Adaptive Smoothing of Empirical Transfer Function Estimates," *Control Engineering Practice*, **8**, No. 2, pp. 1309-1315, 2000.
- 18. **Ling, W.M.** and D.E. Rivera, "Control-Relevant Nonlinear System Identification Using Restricted Complexity Models," *Journal of Process Control*, **11**, No. 2, pp. 209-222, 2001.
- 19. **Vargas-Villamil, F.D.** and D.E. Rivera, "A Model Predictive Control Approach for Real-Time Optimization of Reentrant Manufacturing Lines," *Computers in Industry*, **45**, No. 1, pp. 45-57, 2001.
- 20. **Braun**, **M.W.**, D.E. Rivera, and A. Stenman. "A Model-on-Demand Identification Methodology for Nonlinear Process Systems," *International Journal of Control*, Vol. 74, Issue.18, pp.1708-1717, 2001.
- 21. **Braun, M.W.**, R. Ortiz-Mojica, and D.E. Rivera, "Design of Minimum Crest Factor Multisinusoidal Signals for Plant-Friendly Identification of Nonlinear Process Systems," *Control Engineering Practice*, Vol. 3, No. 3, pp. 301-313, March 2002.
- 22. **Braun, M.W.**, D.E. Rivera, W.M. Carlyle, and K.G. Kempf, "Application of Model Predictive Control to Robust Management of Multi-Echelon Demand Networks in Semiconductor Manufacturing," *Simulation: Transactions of the Society for Modeling and Simulation International*, Vol. 79, No. 3, pp.139-156, March 2003.
- 23. Vargas-Villamil, F.D., D.E. Rivera, and K.G. Kempf, "A Hierarchical Approach to Production Control of Reentrant Semiconductor Manufacturing Lines," *IEEE Transactions on Control Systems Technology*, 11, No. 4, pp. 578-587, July, 2003.
- 24. **Braun, M.W.**, D.E. Rivera, **M.E. Flores**, W.M.Carlyle, K.G. Kempf, "A Model Predictive Control Framework for Robust Management of Multi-Product, Multi-Echelon Demand Networks," *Annual Reviews in Control*, Special Issue on Enterprise Integration and Networking, Volume 27, Issue 2, pp. 229-245, 2003.
- 25. Rivera, D.E., "Teaching Semiphysical Modeling to Chemical Engineering Students using a Brine-Water Mixing Tank Experiment," *Chemical Engineering Education*, Vol. 39, No. 4, pgs. 308-315, 2005.
- 26. **Schwartz, J.D.**, **W. Wang**, and D.E. Rivera, "Optimal tuning of process control-based decision policies for inventory management in supply chains," *Automatica*, Special Issue on Optimal Control Applications to Management Sciences, Vol. 42, pgs. 1311 1320, 2006. *Most downloaded paper in Automatica during July-Sep 2006, 14th during Oct. Dec. 2006, and 5th during Jan Mar 2007. 9<sup>th</sup> most downloaded paper in the history of Automatica through 2014, with 7,202 full-text requests.*

- 27. **Wang, W.**, D.E. Rivera, and K.G. Kempf, "Model Predictive Control strategies for supply chain management in semiconductor manufacturing," *International Journal of Production Economics*, Special Issue on Building Core Competence Through Operational Excellence, Vol. 107, pgs. 56-77, 2007. 11<sup>th</sup> most downloaded paper in IJPE during Jan Mar 2007, 12th during Apr June 2007).
- 28. Rivera, D.E., **M.D. Pew**, and L.M. Collins, "Engineering approaches for the design and analysis of adaptive, time-varying interventions," *Drug and Alcohol Dependence*, Special Issue on Adaptive Treatment Strategies, Vol. 88, Supplement 2, pgs. S31-S40, May 2007.
- 29. Zafra-Cabeza, A., M.A. Ridao, E.F. Camacho, K.G. Kempf, and D.E. Rivera, "A stochastic predictive control approach applied to project risk management in semiconductor manufacturing," *Control Engineering Practice*, Vol. 15, Issue 8, pgs. 969-984, 2007. 22<sup>nd</sup> most downloaded paper in CEP during April through June 2007.
- 30. Mittelmann, H.D., **G. Pendse**, D.E. Rivera, and **H. Lee**, "Optimization-based design of plant-friendly multisine signals using geometric discrepancy criteria," *Computational Optimization and Applications*, Vol. 38, pgs 173-190, 2007.
- 31. Rivera, D.E., **H. Lee,** H.D. Mittelmann, and **M.W. Braun**, "High Purity Distillation: Using Plant-Friendly Multisine Signals for Experimental Testing of a Strongly Interactive Process," *IEEE Control Systems Magazine*, Special Issue on Applications of System Identification, Vol. 27, No. 5 pgs. 72 89, October 2007.
- 32. Rivera-Flores, D.E., "Una metodología para la identificación integrada con el diseño de controladores IMC-PID," (A methodology for integrated system identification with IMC-PID controller design), *Revista Iberoamericana de Automática e Informática Industrial* (Ibero-American Journal of Automatic Control and Industrial Computer Science), Vol. 4, No. 4, pgs. 129 134, October 2007.
- 33. **Wang, W**. and D.E. Rivera, "A Model Predictive Control algorithm for tactical decision-making in semiconductor manufacturing supply chain management," *IEEE Transactions on Control Systems Technology*, Vol. 16, No. 5, pgs. 841 855, September 2008.
- 34. **Schwartz, J.D.**, M.R. Arahal, D.E. Rivera, and K.D. Smith, "Control-relevant demand forecasting for tactical decision-making in semiconductor manufacturing supply chain management," *IEEE Transactions on Semiconductor Manufacturing*, Vol. 22, No. 1, pgs. 154 163, February 2009.
- 35. **Huang, D.**, H. Sarjoughian, **W. Wang, G. Godding**, D.E. Rivera, K.G. Kempf, and H.D. Mittelmann, "Simulation of semiconductor manufacturing supply-chain systems with DEVS, MPC, and KIB," *IEEE Transactions on Semiconductor Manufacturing*, Vol. 22, No. 1, pgs. 164 174, February 2009.
- 36. Rivera, D.E., **H. Lee**, H.D. Mittelmann, and **M.W. Braun**, "Constrained multisine input signals for plant-friendly identification of chemical process systems," *Journal of Process Control*, <a href="http://dx.doi.org/10.1016/j.jprocont.2008.08.006">http://dx.doi.org/10.1016/j.jprocont.2008.08.006</a>, Vol. 19, Issue 4, pgs. 623-635, April 2009.
- 37. **Wang, W.**, D.E. Rivera, and H.D. Mittelmann, "Inner and outer loop optimization in semiconductor manufacturing supply chain management," *Computational Management Science*, <a href="http://dx.doi.org/10.1007/s10287-008-0081-4">http://dx.doi.org/10.1007/s10287-008-0081-4</a>, Vol. 6, pgs. 411-434, October 2009.

- 38. **Schwartz, J.D** and D.E. Rivera, "A process control approach to tactical inventory management in production-inventory systems, *International Journal of Production Economics*, <a href="http://dx.doi.org/10.1016/j.ijpe.2010.01.011">http://dx.doi.org/10.1016/j.ijpe.2010.01.011</a>, Vol. 125, Issue 1, pgs. 111-124, May 2010.
- 39. Riley, W.T., D.E. Rivera, A. A. Autienza, W. Nilsson, S. Allison, and R. Mermelstein, "Health behavior models in the age of mobile interventions: are our theories up to the task?" <a href="http://dx.doi.org/10.1007/s13142-011-0021-7">http://dx.doi.org/10.1007/s13142-011-0021-7</a>, Translational Behavioral Medicine: Practice, Policy, Research, Vol. 1, No. 1, pgs. 53 71, March 2011.
- 40. Navarro-Barrientos, J.E., D.E. Rivera, and L.M. Collins, "A dynamical model for describing behavioural interventions for weight loss and body composition change," *Mathematical and Computer Modelling of Dynamical Systems*, <a href="http://dx.doi.org/10.1080/13873954.2010.520409">http://dx.doi.org/10.1080/13873954.2010.520409</a>, Vol. 17, No. 2, pgs. 183-203, April 2011.
- 41. Zafra-Cabeza A., D.E. Rivera, L.M. Collins, E.F. Camacho, and M.A. Ridao, "A risk-based Model Predictive Control approach to adaptive interventions in behavioral health," *IEEE Transactions on Control Systems Technology*, <a href="http://dx.doi.org/10.1109/TCST.2010.2052256">http://dx.doi.org/10.1109/TCST.2010.2052256</a>, Vol. 19, No. 4, pgs. 891-901, July 2011.
- 42. **Steenis, R.** and D.E. Rivera, "Plant-friendly signal generation for system identification using a modified SPSA methodology," *IEEE Transactions on Control Systems Technology*, <a href="http://dx.doi.org/10.1109/TCST.2010.2089795">http://dx.doi.org/10.1109/TCST.2010.2089795</a>, Vol. 19, No. 6, pgs. 1604-1612, Nov. 2011.
- 43. Thomas, D.M., J.E. Navarro-Barrientos, D.E. Rivera, S.B. Heymsfield, C. Bredlau, L.M. Redman, C.K. Martin, S.A. Lederman, L.M. Collins, and N.F. Butte, "A dynamic energy balance predicting gestational weight gain," *American Journal of Clinical Nutrition*, <a href="http://dx.doi.org/10.3945/ajcn.111.024307">http://dx.doi.org/10.3945/ajcn.111.024307</a>, vol. 95, pgs. 115-122, January, 2012.
- 44. **Roche, K.T.**, D.E. Rivera, and J.K. Cochran, "A control engineering framework for managing whole hospital occupancy," *Mathematical and Computer Modelling*, <a href="http://dx.doi.org/10.1016/j.mcm.2011.10.018">http://dx.doi.org/10.1016/j.mcm.2011.10.018</a>, Volume 55, Issues 3-4, pgs. 1401 1417, February, 2012.
- 45. Guzmán, J.L., D.E. Rivera, S. Dormido, and M. Berenguel, "An interactive software tool for system identification," *Advances in Engineering Software*, <a href="http://dx.doi.org/10.1016/j.advengsoft.2011.09.013">http://dx.doi.org/10.1016/j.advengsoft.2011.09.013</a>, Volume 45, No. 1, pgs. 115-123, March 2012.
- 46. Nandola, N. and D.E. Rivera, "An improved formulation of hybrid Model Predictive Control with application to production-inventory systems," *IEEE Transactions on Control Systems Technology*, <a href="http://dx.doi.org/10.1109/TCST.2011.2177525">http://dx.doi.org/10.1109/TCST.2011.2177525</a>, Vol. 21, No. 1, pgs. 121 135, January 2013.
- 47. Alvarez, J.D., J.L. Guzmán, D.E. Rivera, M. Berenguel, and S. Dormido, "Perspectives on control-relevant identification through the use of interactive tools," *Control Engineering Practice*, <a href="http://dx.doi.org/10.1016/j.conengprac.2012.09.019">http://dx.doi.org/10.1016/j.conengprac.2012.09.019</a>, Vol. 21, No. 2, pgs. 171-183, February 2013.
- 48. Hekler, E., M. Buman, **N. Poothakandiyil**, D.E. Rivera, J.M. Dzierzewski, A. Aiken-Morgan, C.S. McRae, B.L. Roberts, M. Marsiske, and P. Giacobbi, "Exploring Behavioral Markers of Long-term Physical Activity Maintenance: A Case Study of System Identification Modeling within a Behavioral Intervention," *Health Education and Behavior*, Special Supplement on System Science Applications in Health Promotion and Public Health, 40(IS) 51S–62S, 2013.

- 49. Trail, J., L.M. Collins, D.E. Rivera, R. Li, and M. Piper "Functional data analysis for dynamical system identification of behavioral processes," *Psych. Methods*, Vol 19(2), 175-187, 2014.
- 50. **Timms, K.P.**, D.E. Rivera, L.M. Collins, and M.E. Piper, "A dynamical systems approach to understand self-regulation in smoking cessation behavior change," *Nicotine and Tobacco Research*, Special Issue on New Methods for Advancing Research on Tobacco Dependence Using Ecological Momentary Assessments, 16 (Suppl 2): S159 S168, 2014.
- 51. Rivera, D.E. and H. Jimison, "Systems modeling of behavior change," *IEEE Pulse*, Vol. 41, No. 6, pgs. 41-47, 2013.
- 52. **Deshpande**, S., N.N. Nandola, D.E. Rivera, and J.W. Younger, "Optimized treatment of fibromyalgia using system identification and hybrid model predictive control, *Control Engineering Practice*, Vol. 33, pp. 161 173, 2014.
- 53. **Deshpande**, **S.** and D.E. Rivera, "Constrained optimal input signal design for data-centric estimation methods," *IEEE Transactions on Automatic Control*, Vol. 59, No. 11, pp. 2990 2995, 2014.
- 54. **Deshpande**, S., D.E. Rivera, J.W. Younger, and N. N. Nandola, "A control systems engineering approach for adaptive behavioral interventions: illustration with a fibromyalgia intervention," *Translational Behavioral Medicine*, Vol 4, No. 3, pp. 275-289, 2014. <u>Erratum</u> in Vo. 4, No. 3, pp. 439, 2014.
- 55. **Schwartz, J.D**. and D.E. Rivera, "A control-relevant approach to demand modeling for supply chain management," *Computers and Chemical Engineering*, Vol. 70, pp 78 90, 2014.
- 56. Savage, J.S., D.S. Downs, **Y. Dong**, and D.E. Rivera, "Control systems engineering for optimizing a prenatal weight gain intervention to regulate infant birth weight," *American Journal of Public Health*, Vol. 104, No. 7, pp. 1247-1254, July 2014
- 57. Piña, A.A., L.E. Holly, A.A. Zerr, and D.E. Rivera, "A personalized and control systems engineering conceptual approach to target childhood anxiety in the contexts of cultural diversity," *Journal of Clinical Child and Adolescent Psychology*, Volume 43, Issue 3, pages 442-453, 2014
- 58. **Timms, K.P.**, D.E. Rivera, L.M. Collins, and M.E. Piper, "Continuous-time system identification of a smoking cessation intervention," *International Journal of Control*, Special Issue: Applications of Continuous-Time Model Identification and Estimation, Vol. 87, Issue 7, pgs. 1423-1437, 2014.
- 59. Spruijt-Metz, D., Hekler, E., Saranummi, N., Intille, S., Korhonen, I., Nilsen, W., Rivera, D.E., Spring, B., Michie, S., Asch, D.A., Sanna, A., Salcedo, V.T., Kukakfa, R., Pavel, M., "Building new computational models to support health behavior change and maintenance: new opportunities in behavioral research," *Translational Behavioral Medicine*, 5 (3), pp. 335-346, 2015.
- 60. Riley, W.T., C.A. Martin, D.E. Rivera, E.B. Hekler, M.A. Adams, M.P. Buman, M. Pavel, A.C. King, "Development of a dynamic computational model of social cognitive theory," *Translational Behavioral Medicine*, 6 (4), pp.483-495, 2016.
- 61. Murray E., E.B. Hekler, G. Andersson, L.M. Collins, A. Doherty, C. Hollis, D.E. Rivera, R. West, J.C. Wyatt, "Evaluating digital health interventions: key questions and approaches," *American Journal of Preventive Medicine*, 51 (5), 2016, pgs. 843-851.

- 62. Hekler, E.B., S. Michie, M. Pavel, D.E. Rivera, L.M. Collins, H.B. Jimison, C. Garnett, S. Parral, D. Spruijt-Metz, "Advancing models and theories for digital change interventions," *American Journal of Preventive Medicine*, 51 (5), 2016, pgs. 825-832.
- 63. Diaz, J.M., S. Dormido, and D.E. Rivera, "ITTSAE: A set of interactive software tools for time series analysis education," *IEEE Control Systems*, 36 (3), art. no. 7476953, pp. 112-120, 2016.
- 64. Hekler, E.B., P. Klasnja, W.T. Riley, M.P. Buman, J. Huberty, D.E. Rivera, and C.A. Martin, "Agile science: creating useful products for behavior change in the real world," *Translational Behavioral Medicine*, 6 (2), pp. 317-328, 2016.
- 65. Korinek E.V., S.S. Phatak, C.A. Martin, M.T. Freigoun, D.E. Rivera, M.A. Adams, P. Klasnja, M.P. Buman, and E.B. Hekler, "Adaptive Step Goals and Rewards: A Longitudinal Growth Model of Daily Steps for a Smartphone-based Walking Intervention," *Journal of Behavioral Medicine*. Vol. 41, No. 1, pgs. 74-86, 2018.
- 66. Phatak S.S., **M.T. Freigoun, C.A. Martin,** D.E. Rivera, E.V. Korinek, M.A. Adams, M.P. Buman, P. Klasnja, and E.B. Hekler, "Modeling individual differences: a case study for the application of system identification for personalizing a physical activity intervention," *Journal of Biomedical Informatics*, Vol. 79, pgs. 82-97, 2018.
- 67. Hekler, E.B., D.E. Rivera, C.A. Martin, S.S. Phatak, M.T. Freigoun, E. Korinek, P. Klasnja, M.A. Adams, and M.P. Buman, "Tutorial for using control systems engineering to optimize adaptive mobile health interventions," *Journal of Medical Internet Research*, 20(6):e214, (2018) DOI: 10.2196/jmir.8622.
- 68. Downs, D.S., J.S. Savage, D.E. Rivera, J. Smyth, B.J. Rolls, E.E. Hohman, K. McNiett, A.R. Kunselman, C. Stetter, A.M. Pauley, K.S. Leonard, and **P. Guo**, "Individually-tailored, adaptive intervention to manage gestational weight gain: protocol for a randomized controlled trial in women with overweight and obesity," *JMIR Research Protocols*, Vol. 7, No. 6, (2018), DOI: 10.2196/resprot.9220.
- 69. Pauley, A.M., E.E. Hohman, J.S. Savage, D.E. Rivera, **P. Guo**, K.S. Leonard, and D.S. Downs, "Gestational weight gain intervention impacts determinants of healthy eating and exercise in overweight/obese pregnant women," *Journal of Obesity*, article ID 6469170, 2018, <a href="https://doi.org/10.1155/2018/6469170">https://doi.org/10.1155/2018/6469170</a>.
- 70. **Freigoun, M.T.**, D.E. Rivera, **P. Guo**, E.E. Hohman, A.D. Gernand, D.S. Downs, and J.S. Savage, "A dynamical systems model of intrauterine fetal growth," *Mathematical and Computer Modelling of Dynamical Systems*, Vol. 24, No. 6, pgs/661-687, 2018, DOI: 10.1080/13873954.2018.1524387
- 71. Savage, J.S., E.E. Hohman, K.M. McNitt, A.M. Pauley, K.S. Leonard, T. Turner, J.M. Pauli, A.D. Gernand, D.E. Rivera, and D.S. Downs, "Uncontrolled eating during pregnancy predicts fetal growth: the Healthy Mom Zone Trial," *Nutrients*, Vol. 11, No. 4, pgs. 899, 2019.
- 72. **Guo, P.**, D.E. Rivera, J.S. Savage, E.E. Hohman, A.M. Pauley, K.S. Leonard, and D. Symons Downs, "System identification approaches for energy intake estimation: enhancing interventions for managing gestational weight gain," *IEEE Transactions on Control Systems Technology*, Special Issue on System Identification and Control in Biomedical Applications, Vol. 28, No. 1, pgs. 63-78, Jan. 2020, <a href="https://doi.org/10.1109/TCST.2018.2871871">https://doi.org/10.1109/TCST.2018.2871871</a>.

- 73. Lopes dos Santos, P. **M. Freigoun**, **C.A. Martin**, D.E. Rivera, E.B. Hekler, R. Romano, and T.P. Perdicoúlis, "System identification of *Just Walk:* Using matchable-observable linear parametrizations," *IEEE Transactions on Control Systems Technology*, Special Issue on System Identification and Control in Biomedical Applications, Vol. 28, No. 1, pgs. 264-275, Jan. 2020, <a href="https://doi.org/10.1109/TCST.2018.2884833">https://doi.org/10.1109/TCST.2018.2884833</a>.
- 74. **Martin, C.A.**, D.E. Rivera, E.B. Hekler, W.T. Riley, M.P. Buman, M.A. Adams, and **A.B. Magann**, "Development of a control-oriented model of Social Cognitive Theory for optimized mHealth behavioral interventions," *IEEE Transactions on Control Systems Technology*, Vol. 28, No. 2, pgs. 331-346, March 2020, https://doi.org/10.1109/TCST.2018.2873538.
- 75. Conroy D.E., C.M. Lagoa, E.B. Hekler, and D.E. Rivera, "Engineering precision behavioral interventions to promote physical activity," *Exercise and Sport Sciences Reviews*, Vol. 48, No. 4, pgs. 170 179, 2020.
- 76. Leonard, K., A.M. Pauley, E.E. Hohman, **P. Guo**, D.E. Rivera, J. Savage, M. Buman, and D.S. Downs, "Identifying ActiGraph Non-Wear Time in Pregnant Women with Overweight or Obesity," *Journal of Science and Medicine in Sport*, Vol. 23, No. 12, pgs. 1197-1201, 2020.
- 77. Pauley, A. M., Hohman, E. E. Leonard, K. S., **Guo, P.**, McNitt, K. M. and Rivera, D. E. and Savage, J. S. and Downs, D. S., "Short Nighttime Sleep Duration and High Number of Nighttime Awakenings Explain Increases in Gestational Weight Gain and Decreases in Physical Activity but Not Energy Intake among Pregnant Women with Overweight/Obesity," *Clocks & Sleep*, Vol. 2, No. 4, pgs. 487-501, 2020.
- 78. Chevance, G., D. Baretta, N. Golaszewski, M. Takemoto, S. Shresha, S. Jain, D.E. Rivera, P. Klasnja, and E.B Hekler, "Goal setting and achievement for walking: a series of *N-of-1* digital interventions," *Health Psychology*, Vol. 40, No. 1, pgs 30-39, 2021.
- 79. Symons Downs, D., Savage, J. S., Rivera, D. E., Pauley, A. M., Leonard, K., Hohman, E., **Guo**, **P**., Stetter, C., and Kunselman, A. "Adaptive, behavioral intervention impacts weight gain, physical activity, energy intake, and motivational determinants: Results of a feasibility trial in pregnant women with overweight/obesity." *Journal of Behavioral Medicine*, 2021, <a href="https://doi.org/10.1007/s10865-021-00227-9">https://doi.org/10.1007/s10865-021-00227-9</a>.
- 80. Ranghetti, L., D.E. Rivera, **P. Guo**, A. Visioli, J. Savage, and D. Symons Downs, "A control-based observer approach for estimating energy intake during pregnancy," *Int. Journal of Robust and Nonlinear Control*, Special Issue on Control-Theoretic Approaches for Systems in the Life Sciences, 2022;1-23. <a href="https://doi.org/10.1002/rnc.6019">https://doi.org/10.1002/rnc.6019</a>.
- 81. **Guo, P.**, D.E. Rivera, Y. Dong, S. Deshpande, J. Savage, E. Hohman, A. Pauley, K. Leonard, and D. Symons Downs, "Optimizing interventions to regulate gestational weight gain with sequential decision policies using hybrid model predictive control," *Computers and Chemical Engineering*, Vol. 160, 2022, 107721, ISSN 0098-1354, <a href="https://doi.org/10.1016/j.compchemeng.2022.107721">https://doi.org/10.1016/j.compchemeng.2022.107721</a>.
- 82. Spruijt-Metz, D.; Marlin, B.M.; Pavel, M.; Rivera, D.E.; Hekler, E.; De La Torre, S.; El Mistiri, M.; Golaszweski, N.M.; Li, C.; Braga De Braganca, R.; Tung, K.; Kha, R.; Klasnja, P. "Advancing Behavioral Intervention and Theory Development for Mobile Health: The HeartSteps II Protocol," *Int. J. Environ. Res. Public Health*, 2022, *19*, 2267. https://doi.org/10.3390/ijerph19042267

- 83. Cevallos Valdiviezo, D. A., Martin Moreno, C. A., **El Mistiri, M.**, Rivera Flores, D. E. y Hekler, E. (2022) "Un esquema de decisiones para intervenciones adaptativas comportamentales de actividad física basado en control predictivo por modelo híbrido: ilustración con *Just Walk,* "*Revista Iberoamericana de Automática e Informática industrial*. <a href="https://doi.org/10.4995/riai.2022.16798">https://doi.org/10.4995/riai.2022.16798</a>
- 84. McNitt, K. M., E. E. Hohman, D. E. Rivera, **P. Guo**, A. M. Pauley, A. D. Gernand, D. Symons Downs, and J. S. Savage (2022). "Underreporting of Energy Intake Increases over Pregnancy: An Intensive Longitudinal Study of Women with Overweight and Obesity," *Nutrients* 14, no. 11: 2326. <a href="https://doi.org/10.3390/nu14112326">https://doi.org/10.3390/nu14112326</a>

# **National Conference Proceedings (Refereed Papers)**

- 1. Morari, M. S. Skogestad, and D.E. Rivera, "Implications of Internal Model Control for PID Controllers," *Proceedings of the 1984 American Control Conference*, San Diego, June 1984.
- 2. Rivera, D.E. and M. Morari, "Internal Model Control perspectives on model reduction," *Proceedings of the 1985 American Control Conference*, Boston, June 1985.
- 3. Lewin, D.R., R.E. Heersink, A. Skjellum, D.L. Laughlin, D.E. Rivera, and M. Morari, "ROBEX: robust control synthesis via expert system," *Proceedings of the IFAC 10th World Congress on Automatic Control*, Munich, Vol. 6, pgs. 369-374, 1987.
- 4. Rivera, D.E. and M. Morari, "Plant and controller reduction problems for closed-loop performance," *Proceedings of the 1988 Control and Decision Conference*, Austin, December, pgs. 1143-1148, 1988.
- 5. Rivera, D.E., "A structured singular value test for variable selection and pairing," *Proceedings of the 1989 American Control Conference*, Pittsburgh, pgs. 560-565, 1989.
- 6. Rivera, D.E., J.F. Pollard, L.E. Sterman, and C.E. Garcia, "An industrial perspective on control-relevant identification," *Proceedings of the 1990 American Control Conference*, San Diego, pgs. 2406-2411, 1990.
- 7. Rivera, D.E., "Control-relevant parameter estimation: a systematic procedure for prefilter design," *Proceedings of the 1991 American Control Conference*, Boston, pgs. 237-242, 1991.
- 8. Rivera, D.E. and **S. Gaikwad**, "Modeling for Control Design in Combined Feedback / Feedforward Control, *Proceedings of the 1992 American Control Conference*, Chicago, pgs. 1445-1446, June 1992.
- 9. Rivera, D.E., **K.S. Jun**, **E. Elisante**, V.E. Sater, and B.C. Horn, "Robustness and Identification Issues in Horizon Predictive Control with Application to a Binary Distillation Column," *Proceedings of the 1992 American Control Conference*, Chicago, pgs. 3031-3036, 1992.
- 10. Rivera, D.E. and **S. Gaikwad**, "Digital PID Controller Tuning using Prefiltered ARX Estimation," *Proceedings of the 31st IEEE Control and Decision Conference*, Tucson, Arizona, pgs. 68-69, 1992.
- 11. Rivera, D.E., **X. Chen**, and D.S. Bayard, "Experimental Design for Robust Process Control Using Schroeder-Phased Input Signals," *Proceedings of the 1993 American Control Conference*, San Francisco, pgs. 895-899, June 1993.
- 12. Rivera, D.E. and **S. Bhatnagar**, "Closed-loop identification of restricted complexity models using iterative refinement," *Proceedings of the 1993 American Control Conference*, San Francisco, pgs. 1993-1994, June 1993.
- 13. Rivera, D.E., **A. Desai**, T. Beaumariage and C. Roberts, "Knowledge-Based Framework for Controller Structure Selection in Intelligent Process Control," *Proceedings of the 1993 American Control Conference*, San Francisco, pgs. 1890-1894, June 1993.
- 14. **Gaikwad, S.V.** and D.E. Rivera, "CONTROL-ID: An Integrated Framework for System Identification and Process Control," *1994 Joint IEEE/IFAC Symposium on Computer-Aided Control System Design.*, Tucson, pgs 539-545, March 1994.

- 15. **Desai, A.** and D.E. Rivera, "Controller Structure Definition via Intelligent Process Control," *1994 Joint IEEE/IFAC Symposium on Computer-Aided Control System Design*, Tucson, pgs 601-602, March, 1994.
- 16. Rivera, D.E. and **S.V. Gaikwad**, "Systematic Techniques for Determining Modeling Requirements for SISO and MIMO Feedback Control Problems," *1994 IFAC Symposium on Advanced Control of Chemical Processes*, Kyoto, Japan, pgs. 17-22, May, 1994.
- 17. **Jun, Kyoung-Shik** and D.E. Rivera, "A Computer-Aided Design Tool for Robustness Analysis and Control-Relevant Identification of Horizon Predictive Control," *Fifth International Symposium on Process Systems Engineering*, Kyongju, Korea, pgs. 1071-1076, June, 1994.
- 18. Rivera, D.E., **S.V. Gaikwad** and X. Chen, "CONTROL-ID: A Demonstration Prototype for Control-Relevant Identification," *1994 American Control Conference*, Baltimore, pgs. 2055-2059, June, 1994.
- 19. **Bhatnagar**, **S.** and D.E. Rivera, "Closed-Loop Identification of Restricted Complexity Models for Feedback/Feedforward Control Using Iterative Refinement," *1994 American Control Conference*, pgs. 3012-3016, June, 1994.
- 20. Rivera, D.E., **S.V. Gaikwad**, **X. Chen**, and **S. Bhatnagar**, "CONTROL-ID: A Demonstration Prototype for Control-Relevant Identification of Process Systems," *10th IFAC Symposium on System Identification*, Copenhagen, Denmark, Vol. 4 pg. 5, 1994.
- 21. **Ling, W-M.** and D.E. Rivera, "Restricted complexity approximation of Volterra series models using a control-relevant approach," *1996 IFAC World Congress*, San Francisco, paper 7a-07-2, Volume M, pgs. 223-228.
- 22. **Gaikwad, S.V.** and D.E. Rivera, "Control-relevant input signal design for multivariable system identification: application to high purity distillation control," *1996 IFAC World Congress*, San Francisco, paper 7a-10-5P, Volume M, pgs. 349-354.
- 23. **Vargas-Villamil, F.D**. and D.E. Rivera, "Scheduling of reentrant manufacturing lines using a Model Predictive Control (MPC) approach," *1997 American Control Conference*, Albuquerque, NM, June 4-6, 1997, pgs. 1919-1923.
- 24. D.E. Rivera and **S. Adusumilli**, "A methodology for integrated system identification and PID controller design," *1997 IFAC Symposium on Advanced Control of Chemical Processes (ADCHEM '97)*, Banff, Canada, June 9-11, 1997, pgs. 19-24.
- 25. **Jun, K.S.** and D.E. Rivera, "High-order ARX estimation and its application to decentralized, decoupled, and full multivariable control," *1997 IFAC Symposium on Advanced Control of Chemical Processes (ADCHEM '97*), Banff, Canada, June 9-11, 1997, pgs. 499-504.
- 26. Rivera, D.E., **S. Zong** and **W.M. Ling**, "A control-relevant multivariable system identification methodology based on orthogonal multifrequency input perturbations," *1997 IFAC Symposium on System Identification (SYSID'97)*, Fukuoka, Japan, July 8-11, 1997, pgs 595-600.
- 27. **Ling, W.-M.** and D.E. Rivera, "Multivariable impulse response estimation via correlation analysis and its application towards automated system identification," *1997 IFAC Symposium on System Identification (SYSID'97)*, Fukuoka, Japan, July 8-11, 1997, pgs 1461-1466.

- 28. **Adusumilli, S.** and D.E. Rivera, "ASUtune: A program for integrated system identification and PID controller design," 1997 IFAC Symposium on System Identification (SYSID'97), Fukuoka, Japan, July 8-11, 1997, pgs. 1579-1585.
- 29. **Adusumilli, S.**, D.E. Rivera, S. Dash, and K. Tsakalis, "Integrated MIMO Identification and Robust PID Controller Design Using Loop Shaping," *1998 American Control Conference*, Philadelphia, PA, pgs. 1230-1234.
- 30. Stenman, A., F. Gustafsson, D.E. Rivera, L. Ljung, T. McKelvey, "On Adaptive Smoothing of Empirical Transfer Function Estimates," *1999 IFAC World Congress*, Beijing, China, Volume H, pgs. 415-420, 1999.
- 31. **Vargas-Villamil, F.D.** and D.E. Rivera, "Adaptive Model Predictive Control for Production Optimization and Inventory Control of Semiconductor Reentrant Manufacturing Lines," June, 2-4, *1999 American Control Conference*, San Diego, CA, pgs. 4087-4091.
- 32. **Braun, M.W.**, D.E. Rivera, A. Stenman, W. Foslien, and C. Hrenya, "Multi-level Pseudo-Random Signal Design and "Model on Demand" Estimation Applied to Nonlinear Identification of a RTP Wafer Reactor," June 2-4, *1999 American Control Conference*, San Diego, CA, pgs. 1573-1577.
- 33. **Adusumilli, S.**, S. Dash, D.E. Rivera, and K. Tsakalis, "A Comparison of Identification-Based Performance Bounds for Robust Process Control," *1999 IEEE Conference on Control Applications*, Hawaii, August 22-27, 1999, pgs 594-599.
- 34. **Braun, M.W.**, D.E. Rivera, A. Stenman, and W. Foslien, "Comparison of Global Nonlinear Models and Model on Demand Estimation Applied to Identification of a RTP Wafer Reactor," *1999 Control and Decision Conference*, Phoenix, AZ, pgs. 3950-3955.
- 35. **Braun, M.W**, **B. McNamara**, D.E. Rivera, and A. Stenman, "Model-on-Demand Identification for Control: An Experimental Study and Feasibility Analysis for MoD-based Predictive Control," *Proceedings of the IFAC Symposium on System Identification* (SYSID 2000), Santa Barbara, CA, pages 439-440.
- 36. **Flores, M.E.** and D. E. Rivera, "pIDtune: A Graphical Package for Integrated System Identification and PID Controller Design," *Proceedings of the IFAC Symposium on System Identification* (SYSID 2000), Santa Barbara, CA, pages 681-686.
- 37. Rivera, D.E. and **M. E. Flores**, "Beyond Step Testing and Process Reaction Curves: Introducing Meaningful Identification Concepts in the Undergraduate Chemical Engineering Curriculum," *Proceedings of the IFAC Symposium on System Identification* (SYSID 2000), Santa Barbara, CA, pages 815-820.
- 38. **Braun, M.W., R. Ortiz-Mojica**, and D.E. Rivera, "Design of Minimum Crest Factor Multisinusoidal Signals for Plant-Friendly Identification of Nonlinear Process Systems," *Proceedings of the IFAC Symposium on System Identification* (SYSID 2000), Santa Barbara, CA, 1097-1102.
- 39. Rivera, D.E. and **M.E. Flores**. "Using a Gas-Oil Furnace Simulation to Introduce Meaningful System Identification Concepts in an Undergraduate Control Course," *AIChE 2000 Topical Conference on Chemical Engineering Education*, Paper 61c, pgs. 321-328, November, 2000.

- 40. **Yang, L.** and D.E. Rivera, "Integrated Identification and Model Predictive Control Using Iterative Refinement," *Proceedings of the 2001 American Control Conference*, Arlington, VA, pgs. 1190-1195, June 25-27, 2001.
- 41. **Braun, M.W.**, D.E. Rivera, W. M. Carlyle, and K. G. Kempf, "A Model Predictive Control Framework for Robust Management of Multi-Product, Multi-Echelon Demand Networks," *15<sup>th</sup> IFAC World Congress*, Barcelona, Spain, Paper T-Mo-A16, pgs. 1-6 (electronic), July 21-26, 2002.
- 42. Rivera, D.E., **M.W. Braun**, and H.D. Mittelmann, "Constrained multisine inputs for plant-friendly identification of chemical processes," *15<sup>th</sup> IFAC World Congress*, Barcelona, Spain, Paper T-We-A11, pgs. 1-6 (electronic), July 21-26, 2002.
- 43. **Wang, W.**, D.E. Rivera, and K.G. Kempf, "Centralized Model Predictive Control Strategies for Inventory Management in Semiconductor Manufacturing Supply Chains," *2003 American Control Conference*, Denver, CO., pgs. 585-590, June 4-6, 2003.
- 44. **Lee, H.**, D.E. Rivera, and H.D. Mittelmann, "Constrained minimum crest factor multisine signals for plant-friendly identification of highly interactive systems," *13<sup>th</sup> IFAC Symposium on System Identification*, Rotterdam, The Netherlands, pgs. 947-952, August 27-29, 2003.
- 45. Rivera, D.E., **H. Lee**, **M.W. Braun**, and H.D. Mittelmann, "Plant-friendly system identification: a challenge for the process industries," *13<sup>th</sup> IFAC Symposium on System Identification*, Rotterdam, The Netherlands, pgs. 917-922, August 27-29, 2003.
- 46. Rivera, D.E. "Teaching semiphysical modeling to chemical engineering students using a brine-water mixing tank experiment," *13<sup>th</sup> IFAC Symposium on System Identification*, Rotterdam, The Netherlands, pgs. 1607-1612, August 27-29, 2003.
- 47. **Wang, W.**, D. E. Rivera, K.G. Kempf and K. D. Smith, "A Model Predictive Control Strategy for Supply Chain Management in Semiconductor Manufacturing under Uncertainty," *2004 American Control Conference*, Boston, MA, pgs. 4577-4582, June 30-July 2, 2004.
- 48. **Schwartz, J.D.**, D.E. Rivera, and K.G. Kempf, "Towards control-relevant forecasting in supply chain management," *2005 American Control Conference*, pgs. 202-207, Portland, Oregon, June 8-10, 2005.
- 49. **Wang, W**., D.E. Rivera, and K.G. Kempf, "A Novel Model Predictive Control algorithm for supply chain management in semiconductor manufacturing," pgs. 208-213, *2005 American Control Conference*, Portland, Oregon, June 8-10, 2005.
- 50. **Lee, H.** and D.E. Rivera, "Control-relevant curvefitting for plant-friendly multivariable system identification," *2005 American Control Conference*, pgs. 1431-1436, Portland, Oregon, June 8-10, 2005.
- 51. Rivera, D.E. and **M.D. Pew**, "Evaluating PID control for Supply Chain Management: A Freshman Design Project," *2005 IEEE Control and Decision Conference*, pgs. 3415-3419, Seville, Spain, Dec. 12-15, 2005.
- 52. Sarjoughian, H.S., **D. Huang**, G. Godding, **W. Wang**, D.E. Rivera, H.D. Mittelmann, and K.G. Kempf, "Hybrid Discrete-Event Simulation with Model Predictive Control for Semiconductor Supply Chain Manufacturing," *2005 Winter Simulation Conference*, pgs. 256-266, Orlando, FL, Dec. 4-7, 2005.

- 53. Barker, H.A., D.E. Rivera, A.H. Tan, and K.R. Godfrey, "Perturbation Signal Design," *14<sup>th</sup> IFAC Symposium on System Identification (SYSID 2006)*, pgs. 1121 1126, Newcastle, Australia, March 29-31, 2006.
- 54. **Schwartz, J.D.** and D.E. Rivera, "Control-relevant demand modeling for supply chain management," *14<sup>th</sup> IFAC Symposium on System Identification (SYSID 2006)*, pgs. 267-272, Newcastle, Australia, March 29-31, 2006.
- 55. Rivera, D.E., **H. Lee**, H.D. Mittelmann, and **G. Pendse**, "Optimization-based design of plant-friendly multisine signals using geometric discrepancy criteria," pgs. 1133 1138, *14<sup>th</sup> IFAC Symposium on System Identification (SYSID 2006)*, pgs. 1133 1138, Newcastle, Australia, March 29-31, 2006.
- 56. **Lee, H.** and D.E. Rivera, "CR-IDENT: A Matlab Toolbox for Multivariable Control-Relevant System Identification," *14<sup>th</sup> IFAC Symposium on System Identification (SYSID 2006)*, pgs. 708-713, Newcastle, Australia, March 29-31, 2006.
- 57. **Lee, H.** and D.E. Rivera, "An Integrated Input Signal Design and Control-Relevant Parameter Estimation Approach for Highly Interactive Multivariable Systems," *2006 American Control Conference*, Minneapolis, MN, pgs. 1665 1670, June 14-16, 2006.
- 58. **Schwartz, J.D.** and D.E. Rivera, "Simulation-based optimal tuning of Model Predictive Control policies for supply chain management using simultaneous perturbation stochastic approximation," *2006 American Control Conference*, pgs. 556 561, Minneapolis, MN, June 14-16, 2006.
- 59. **Schwartz, J.D.** and D.E. Rivera, "Process control education using inventory management in supply chains,"  $7^{th}$  *IFAC Symposium on Advances in Control Education (ACE 2006)*, paper ThP03.4, pgs. 1-6, Madrid, Spain, June 21 23, 2006.
- 60. **Huang, D., G.S. Godding**, H.S. Sarjoughian, D.E. Rivera, and K.G. Kempf, "Flexible experimentation and analysis of hybrid DEVS and MPC models," *2006 Winter Simulation Conference*, pgs. 1863-1870, Washington, D.C., December 3 6, 2006.
- 61. Zafra-Cabeza, A., D.E. Rivera, L.M. Collins, E.F. Camacho, M.A. Ridao, "A risk-based Model Predictive Control approach to adaptive interventions in behavioral health," *45<sup>th</sup> IEEE Conference on Decision and Control*, pgs. 673-678, San Diego, CA, Dec. 13 15, 2006.
- 62. **Lee, H.,** D.E. Rivera, H.D. Mittelmann, and **G. Pendse**, "Optimization-based design of plant-friendly input signals for Model-on-Demand Estimation and Model Predictive Control," *2007 American Control Conference*, pgs. 1560-1565, New York City, NY, July 11 13, 2007.
- 63. **Schwartz, J.D.** and D.E. Rivera, "Multi-objective control-relevant demand modeling for production and inventory control," *3<sup>rd</sup> Annual IEEE Conference on Automation Science and Engineering*, pgs. 710 715, Scottsdale, AZ, Sept. 22 25, 2007.
- 64. **Schwartz, J.D.**, M.R. Arahal, and D.E. Rivera, "Control-relevant demand forecasting for management of a production-inventory system," *2008 American Control Conference*, pgs. 4053 4058, Seattle, Washington, June 11-13, 2008.

- 65. Guzmán, J.L., D.E. Rivera, S. Dormido, and M. Berenguel, "*ITSIE*: An Interactive Software Tool for System Identification Education," pgs. 752 757, 15<sup>th</sup> IFAC Symposium on System Identification (SYSID 2009), St. Malo, France, July 6-8, 2009.
- 66. **Schwartz, J.D.** and D.E. Rivera, "A System Identification Approach to PDE Modeling of a Semiconductor Manufacturing Process," pgs. 964 969, 15<sup>th</sup> IFAC Symposium on System Identification (SYSID 2009), St. Malo, France, July 6-8, 2009.
- 67. Guzmán, J.L., D.E. Rivera, S. Dormido, and M. Berenguel, "Teaching system identification through interactivity," 8<sup>th</sup> IFAC Symposium on Advances in Control Education (ACE 2009), Kumamoto, Japan, October 21-23, 2009.
- 68. **Steenis, R.** and D.E. Rivera, "Plant-friendly signal generation for system identification using a modified SPSA methodology," pgs. 470 475,  $48^{th}$  *IEEE Conference on Decision and Control*, Shanghai, China, December 16 18, 2009.
- 69. **Schwartz, J.D.** and D.E. Rivera, "Control-relevant estimation of demand models for closed-loop control of a production-inventory system," pgs. 416-421, 48<sup>th</sup> IEEE Conference on Decision and Control, Shanghai, China, December 16 18, 2009.
- 70. Stoica, C., M.R. Arahal, D.E. Rivera, P. Rodriguez-Ayerbe, and D. Dumur, "Application of robustified model predictive control to a production-inventory system," pgs. 3993-3998, 48<sup>th</sup> IEEE Conference on Decision and Control, Shanghai, China, December 16 18, 2009 (received the General Chairs Recognition Award for Interactive Papers, 48<sup>th</sup> IEEE-CDC/CCC).
- 71. Navarro-Barrientos, J.E., D.E. Rivera, and L.M. Collins, "A dynamical systems model for understanding behavioral interventions for weight loss," published in S.-K. Chai, J.J. Salerno, and P.L. Mabry (Eds.): 2010 International Conference on Social Computing, Behavioral Modeling, and Prediction (SBP 2010), LNCS 6007, pp. 170-179. Springer, Heidelberg (2010). Conference held in Bethesda, MD, March 29 April 1, 2010.
- 72. **Steenis, R.** and D.E. Rivera, "Probabilistic uncertainty description for an ETFE estimated plant using a sequence of multi-sinusoidal signals," *Proceedings of the 2010 American Control Conference*, Baltimore, MD, June 30 July 2, 2010, pp. 3722-3728 (2010).
- 73. Nandola, N. and D.E. Rivera, "A novel Model Predictive Control formulation for hybrid systems with application to adaptive behavioral interventions," *Proceedings of the 2010 American Control Conference*, Baltimore, MD, June 30 July 2, 2010, pp. 6286-6292 (2010).
- 74. Nandola, N. and D.E. Rivera, "Model-on-Demand Predictive Control formulation for nonlinear hybrid systems with application to adaptive behavioral interventions," *49<sup>th</sup> IEEE Conference on Decision and Control*, Atlanta, GA, December 15 17, 2010, pp. 6113 6118 (2010).
- 75. **Deshpande**, S., N. Nandola, D.E. Rivera, and J. Younger, "A control engineering approach to designing an optimized treatment plan for fibromyalgia," *Proceedings of the 2011 American Control Conference*, San Francisco, CA, June 29 July 1, 2011, pp. 4798 4803 (2011).
- 76. Álvarez, J.D., J.L. Guzmán, D.E. Rivera, M. Berenguel, and S. Dormido, "*ITCRI*: An interactive software tool for control-relevant identification education", *Proceedings of the 18<sup>th</sup> IFAC World Congress*, Milan, Italy, August 28 September 2, 2011, pp. 6367 6372 (2011).

- 77. Nandola, N. and D.E. Rivera, "Hybrid Model Predictive Control applied to production-inventory systems," *Proceedings of the 18<sup>th</sup> IFAC World Congress*, Milan, Italy, August 28 September 2, 2011, pp. 7328 7333 (2011).
- 78. Gúzman, J.L., D.E. Rivera, M. Berenguel, and S. Dormido, "*i-pIDtune*: An interactive tool for integrated system identification and PID control," *IFAC Conference on Advances in PID control (PID'12)*, paper WeB2.3, Brescia, Italy, March 28-30, 2012, pp. 1-6, (2012).
- 79. **Dong, Y.**, D.E. Rivera, D.M. Thomas, J.E. Navarro-Barrientos, D.S. Downs, J.S. Savage, and L.M. Collins, "A dynamical systems model for gestational weight gain behavioral interventions," *Proceedings of the 2012 American Control Conference*, Montreal, Canada, June 27-29, 2012, pgs. 4059-4064, (2012).
- 80. Álvarez, J.D., J.L. Gúzman, D.E. Rivera, M. Berenguel, and S. Dormido, "*ITCRI*: An interactive tool for evaluating control-relevant identification," *16<sup>th</sup> IFAC Symposium on System Identification (SYSID 2012)*, Brussels, Belgium, July 11-13, 2012, pgs. 1529 1534, (2012)..
- 81. **Deshpande**, S., D.E. Rivera, and J. Younger, "Towards patient-friendly input signal design for optimized pain treatment interventions," *16<sup>th</sup> IFAC Symposium on System Identification (SYSID 2012)*, Brussels, Belgium, July 11-13, 2012, pgs. 1311 1316, (2012).
- 82. **Timms, K.P.**, D.E. Rivera, L.M. Collins, and M.E. Piper, "System identification modeling of a smoking cessation intervention," *16*<sup>th</sup> *IFAC Symposium on System Identification (SYSID 2012)*, Brussels, Belgium, July 11-13, 2012, pgs. 786 791, (2012).
- 83. Rivera, D.E., "Optimized behavioral interventions: what does system identification and control engineering have to offer?" *16<sup>th</sup> IFAC Symposium on System Identification (SYSID 2012)*, plenary paper, Brussels, Belgium, July 11-13, 2012, pgs. 882 893, (2012).
- 84. **Timms, K.P.**, D.E. Rivera, L.M. Collins, and M.E. Piper, *Proc. of the 2013 American Control Conference*, Washington DC, June 17-19, 2013, pgs. 1967–1972, (2013).
- 85. **Dong**, Y., D.E. Rivera, D.S. Downs, J.S. Savage, D. Thomas, and L.M. Collins, "Hybrid model predictive control for optimizing gestational weight gain behavioral interventions," *Proc. of the 2013 American Control Conference*, Washington DC, June 17-19, 2013, pgs. 1973–1978, (2013).
- 86. Lopes dos Santos, P., **S. Deshpande**, D.E. Rivera, T-P Azevedo-Perdicoúlis, J.A. Ramos, and J. Younger, "Identification of affine linear parameter varying models for adaptive interventions in fibromyalgia treatment," *Proc. of the 2013 American Control Conference*, Washington DC, June 17-19, 2013, pgs. 1979–1984, (2013).
- 87. **Deshpande**, **S.** and D.E. Rivera, "Optimal input design for data-centric estimation methods," *Proc. of the 2013 American Control Conference*, Washington DC, June 17-19, 2013, pgs. 3930 3935, (2013).
- 88. **Deshpande, S.** and D.E. Rivera, "A data-centric system identification approach to input signal design for Hammerstein systems," *52nd IEEE Conference on Decision and Control*, Florence, Italy, pgs. 5192 5197, (2013).

- 89. Lopes dos Santos, P., T-P Azevedo-Perdicoúlis, J.A. Ramos, J.L. Martins de Carvalho, and D.E. Rivera, "Identification of LPV state space systems by a separable least squares approach," *52nd IEEE Conference on Decision and Control*, Florence, Italy, pgs. 4104-4109, 2013.
- 90. **Dong, Y., Deshpande, S.**, Rivera, D.E., Downs, D.S., Savage, J.S. Hybrid model predictive control for sequential decision policies in adaptive behavioral interventions, *Proceedings of the 2014 American Control Conference*, Portland, OR, pp. 4198-4203, 2014.
- 91. **Martín, C.A.**, Rivera, D.E., Riley, W.T., Hekler, E.B., Buman, M.P., Adams, M.A., King, A.C., A dynamical systems model of Social Cognitive Theory, *Proceedings of the 2014 American Control Conference*, Portland, OR, pp. 2407-2412, 2014.
- 92. **Timms, K.P.**, Rivera, D.E., Piper, M.E., Collins, L.M., A Hybrid Model Predictive Control strategy for optimizing a smoking cessation intervention, *Proceedings of the 2014 American Control Conference*, Portland, OR, pp. 2389-2394, 2014.
- 93. Guzmán, J.L., Rivera, D.E., Berenguel, M., Dormido, S., ITCLI: An interactive tool for closed-loop identification, *Proceedings of the 19th IFAC World Congress on International Federation of Automatic Control, IFAC 2014*, Cape Town, South Africa, Vol. 19, pp. 12249-12254, 2014.
- 94. Riley, W.T., **Martin, C.A.**, Rivera, D.E., The importance of behavior theory in control system modeling of physical activity sensor data, *Proceedings of the 36th Annual International Conference of the IEEE Engineering in Medicine and Biology Society*, Chicago, Illinois, pp. 6880-6883, 2014.
- 95. **Timms, K.P.**, **Martin, C.A.**, Rivera, D.E., Hekler, E.B., Riley, W. Leveraging intensive longitudinal data to better understand health behaviors, *Proceedings of the 36th Annual International Conference of the IEEE Engineering in Medicine and Biology Society*, Chicago, Illinois, pp. 6888-6891, 2014.
- 96. **Deshpande**, S., Rivera, D.E., Data-centric input signal design for highly interactive dynamical systems, *Proceedings of the 2014 IEEE Conference on Decision and Control*, Los Angeles, CA, pp. 1023-1028, 2014
- 97. **Deshpande**, **S.**, Rivera, D.E., Towards data-centric input signal design using sparse polynomial optimization, *Proceedings of the 2014 IEEE Conference on Decision and Control*, Los Angeles, CA, pp. 1893-1898, 2014.
- 98. Lopes Dos Santos, P., Azevedo-Perdicoulis, T.-P., Ramos, J.A., **Deshpande, S.**, Rivera, D.E., Martins De Carvalho, J.L., LPV system identification using a separable least squares support vector machines approach, *Proceedings of the 2014 IEEE Conference on Decision and Control*, Los Angeles, CA, pp. 2548-2554, 2014.
- 99. **Martin, C.A.**, Deshpande, S., Hekler, E.B., Rivera, D.E., A system identification approach for improving behavioral interventions based on Social Cognitive Theory, *Proc. of the 2015 American Control Conference*, Chicago, Illinois, pp. 5878-5883, 2015.
- 100. **Martin, C.A.**, Rivera, D.E., Hekler, E.B., Design of informative identification experiments for behavioral interventions, *Proc. Of the 17<sup>th</sup> IFAC Symposium on System Identification (SYSID 2015)*, Beijing, China, pp.1325-1330, 2015.
- 101. Diaz, J.M., S. Dormido, and D.E. Rivera, Interactive education for time-domain time series analysis

- using ITTSAE, Proc. Of the 17th IFAC Symposium on System Identification (SYSID 2015), Beijing, China, pp. 751-756, 2015.
- 102. Rivera, D.E., J.L. Guzman, M. Berenguel, and S. Dormido, Understanding closed-loop identification with ITCLI, *Proc. Of the 17<sup>th</sup> IFAC Symposium on System Identification (SYSID 2015)*, Beijing, China, pp. 739-744, 2015.
- 103. **Martin, C.A.**, Rivera, D.E., Hekler, E.B., An identification test monitoring procedure for MIMO systems based on statistical uncertainty estimation, *Proc. of the 2015 IEEE Conference on Decision and Control*, Osaka, Japan, pp. 2719-2724, 2015.
- 104. Murray, T., E.B. Hekler, D. Spruijt-Metz, D.E. Rivera, and A. Raij, "Formalization of computational human behavior models for contextual persuasive technology" *Lecture Notes in Computer Science* (including subseries Lecture Notes in Artificial Intelligence and Lecture Notes in Bioinformatics), 9638, pp. 150-161, 2016.
- 105. **Guo**, **P.**, Rivera, D.E., Downs, D.S., Savage, J.S., "Semi-physical identification and state estimation of energy intake for interventions to manage gestational weight gain," *Proceedings of the 2016 American Control Conference*, pp. 1271-1276, 2016.
- 106. **Martin, C.A.**, Rivera, D.E., Hekler, E.B. "A decision framework for an adaptive behavioral intervention for physical activity using hybrid model predictive control," *Proceedings of the 2016 American Control Conference*, pp. 3576-3581, 2016.
- 107. **Martin, C.A.**, Rivera, D.E., Hekler, E.B. "A control engineering approach for optimizing physical activity behavioral interventions," *IEEE Ecuador Technical Chapters Meeting*, ETCM 2016, pp. 1-6, 2016.
- 108. **Martin, C.A.**, Rivera, D.E., Hekler, E.B. "An enhanced identification test monitoring procedure for MIMO systems relying on uncertainty estimates," *Proceedings of the IEEE 55th Conference on Decision and Control*, CDC 2016, pp. 2091-2096, 2016.
- 109. **Freigoun, M., Martin, C.A, Magann, A.,** Korinek, E., Phatak, S., Rivera, D.E., & Hekler, E.B., "System Identification of *Just Walk*: A Behavioral mHealth Intervention for Promoting Physical Activity," *Proceedings of the 2017 American Control Conference*, pgs. 116-121, 2017.
- 110. Murray, T., E.B. Hekler, D. Spruijt-Metz, D.E. Rivera, and A. Raij, "Lessons learned in the development of a behavior modeling tool for health intervention design: BehaviorSim," *Advances in Applied Digital Human Modeling and Simulation*, pp. 279-290, 2017.
- 111. Lopes dos Santos, P., **M.T. Freigoun**, D.E. Rivera, E.B. Hekler, **C.A. Martin**, R. Romano, T-P Perdicoulis, and J.A. Ramos, "A MoliZoft system identification approach of the *Just Walk* data," Proceedings of the 20<sup>th</sup> IFAC World Congress, Tolouse, France, pgs. 12508-12513, 2017.
- 112. **Guo, P.**, D.E. Rivera, J.S. Savage, and D.S. Downs, "State estimation under correlated partial measurement losses: implications for weight control interventions," Proceedings of the 20<sup>th</sup> IFAC World Congress, Tolouse, France, pgs. 13532-13537, 2017.

- 113. **Martin, C.A.**, D.E. Rivera, and E.B. Hekler, "Control-relevant design of system identification experiments to improve behavioral interventions," *Proceedings of the 20<sup>th</sup> IFAC World Congress*, Toulouse, France, pgs. 15115-15120, 2017.
- 114. Lopes dos Santos, P., R. Romano, T-P Perdicoulis, D.E. Rivera and J.A. Ramos, "LPV system identification using the matchable observable linear identification approach," Proceedings of the 56<sup>th</sup> Annual IEEE Conf. on Decision and Control, Melbourne, Australia, pgs. 4626-4631, 2017.
- 115. **Guo, P.**, D.E. Rivera, A.M. Pauley, K.S. Leonard, J.S. Savage, and D.S. Downs, "A Model-on-Demand methodology for energy intake estimation to improve gestational weight control interventions," Proceedings of the 18<sup>th</sup> IFAC Symposium on System Identification (SYSID 2018), Stockholm, Sweden, IFAC-PapersOnline, Vol. 51, Issue 15, pgs. 144-149, 2018.
- 116. Salazar, C.A, A. A. Aguirre, C. A. Martín, D. E. Rivera, "An Adaptive Identification Test Monitoring Procedure for Nonlinear Behavioral Interventions," *Proceedings of the 21<sup>st</sup> IFAC World Congress*, Vol. 53, Issue 2, Pages 16476-16481, 2020.
- 117. **El Mistiri, M.**, D. E. Rivera, P. Klasnja, J. Park and E. Hekler, "Enhanced Social Cognitive Theory Dynamic Modeling and Simulation Towards Improving the Estimation of 'Just-In-Time' States," *Proceedings of the 2022 American Control Conference*, Atlanta, GA, June 8 10, 2022, pgs. 468 473.
- 118. **Kha, R.**, D. E. Rivera, P. Klasnja and E. Hekler, "Model Personalization in Behavioral Interventions using Model-on-Demand Estimation and Discrete Simultaneous Perturbation Stochastic Approximation," *Proceedings of the 2022 American Control Conference*, Atlanta, GA, June 8 10, 2022, pgs. 671 676.
- 119. **El Mistiri, M.**, D. E. Rivera, P. Klasnja, J. Park and E. Hekler, "Model Predictive Control Strategies for Optimized mHealth Interventions for Physical Activity," *Proceedings of the 2022 American Control Conference*, Atlanta, GA, June 8 10, 2022, pgs. 1392 1397.
- 120. Rivera, D.E., **M. El Mistiri**, **Z. Shi**, "Using SIR Epidemic Modeling and Control to Teach Process Dynamics and Control to Chemical Engineers," *Proceedings of the 13<sup>th</sup> IFAC Symposium on Advances in Control Education* (ACE 2022), Hamburg, Germany, July 24-27, 2022, in press.
- 121. Guzmán J.L., K. Zakova, I.K. Craig, T. Hägglund, D.E. Rivera, J.E. Normey-Rico, P. Moura-Oliveira, L. Wang, A. Serbezov, T. Sato, and M. Beschi, "Teaching Control during the COVID-19 Pandemic," *Proceedings of the 13<sup>th</sup> IFAC Symposium on Advances in Control Education* (ACE 2022), Hamburg, Germany, July 24-27, 2022, in press.
- 122. Khan, O., M. El Mistiri, D. E. Rivera, C. A. Martin, and Eric Hekler, "A Kalman filter-based Hybrid Model Predictive Control Algorithm for Mixed Logical Dynamical Systems: Application to Optimized Interventions for Physical Activity," *submitted to the 61<sup>st</sup> IEEE Conference on Decision and Control*, Cancún, Mexico, Dec. 6-9, 2022.

# National Conference Proceedings (Reviewed Papers, Abstracts, and Presentations)

- 1. Rivera, D.E., "Multitask capabilities for a PET microcomputer using FORTH," *Proceedings of the 1982 Rochester FORTH conference*, pgs. 103 111, May 1982.
- 2. Rivera, D.E., C. Webb, and M. Morari, "A control-relevant identification methodology," *AIChE Annual Meeting*, New York, Paper No. 82b, pgs. 1-20, November, 1987.
- 3. Rivera, D.E., J.F. Pollard, and C.E. García, "Control-relevant parameter estimation via prediction-error methods: implications for digital PID and QDMC control," *AIChE Annual Meeting*, Chicago, Paper 4a, pgs. 1-35, 1990.
- 4. Rivera, D.E., "Towards an integrated methodology for the identification and design of robust, low-order controllers," Paper 154a, *AIChE Annual Meeting*, Los Angeles, pgs. 1-25, 1991.
- 5. Rivera, D.E. and **A. Tedja**, "A control-relevant methodology for closed-loop identification," Paper 144b, *AIChE Annual Meeting*, Los Angeles, pgs. 1-26, 1991.
- 6. Rivera, D.E. "Monitoring Tools for PRBS Testing in System Identification," Paper 131d, *AIChE Annual Meeting*, Miami Beach, pgs. 1-18, 1992.
- 7. **Hamu, D**. C. Roberts, T. Beaumariage, **A. Desai** and D.E. Rivera, "A Knowledge-base Framework for Intelligent Process Control," *1993 Society for Computer Simulation Western Multiconference*, San Diego, pgs. 74-77, January 1993.
- 8. Rivera, D.E., V.E. Sater, and **M.K. Shetty**, "A Novel Laboratory for Process Control Education," *Proceedings of the 1993 ASEE Pacific Southwest Section Annual Meeting and Conference*, pgs. 247-264, October 1993.
- 9. **Gaikwad**, **S.V.** and D.E. Rivera, "Integrated Identification and Control for Model Predictive Controllers," paper 227a, *1994 AIChE Annual Meeting*, San Francisco, November 15, 1994, pgs. 1-23.
- 10. **Jun, K.S.** and D.E. Rivera, "A Control-Relevant Identification Methodology for Inferential Control Systems Using Partial Least Squares Estimation," Paper 226g, 1994 AIChE Annual Meeting, San Francisco, November 13-18, 1994, pgs. 1-14.
- 11. **Gaikwad, S.V.** and D.E. Rivera, "Control-relevant identification of ill-conditioned systems: two high-purity distillation case studies," Paper 183i, *1995 AIChE Annual Meeting*, Miami Beach, November 15, 1995, pgs. 1-7.
- 12. **Ling, W-M.** and D.E. Rivera, "Control-relevant model reduction of Volterra series models," Paper 183c, *1995 AIChE Annual Meeting*, Miami Beach, November 15, 1995, pgs. 1-17.
- 13. Rivera, D.E. "Control-Relevant Identification of Multivariable, Highly Interactive Systems," 1997 Honeywell World-Wide Controls Workshop, Phoenix, AZ January 15, 1997, pgs. 398-442.
- 14. Rivera, D.E., **S. Adusumilli**, **S. Zong**, **S.V. Gaikwad**, and **X. Chen**, "CONTROL-ID and ASUtune: Two Demonstration Prototypes for Integrated System Identification and Process Control," 1997 Honeywell World-Wide Controls Workshop, Phoenix, AZ January 15, 1997, pgs. 446-466.

- 15. Rivera, D.E. "Retos en la identificación de procesos con modelos multivariables, con aplicación a una columna de destilacion de alta pureza," (Challenges in system identification for the process industries, with application to high purity distillation), invited plenary paper, II Congress of the Colombian Society for Automatic Control, Bucaramanga, Colombia, March 20, 1997, pgs. 1-17.
- 16. **Jun, K.S.**, D.E. Rivera, K.S. Tsakalis, H.M. Liaw, E. Hall, and C. Stein, "PID Optimization for Temperature Control of Epitaxial Growth," 191st Electrochemical Society Meeting, Montreal, Quebec, May 6-8, 1997. Abstract: 296, pgs. 373-374;
- 17. **Adusumilli, S.** and D.E. Rivera, "Integrated system identification and PID control design using Laguerre basis functions," paper 214b, pgs. 1-33, 1997 AIChE Annual Meeting, Los Angeles.
- 18. **Vargas-Villamil, F.D.** and D.E. Rivera, "Long-term scheduling of semiconductor fabrication plants using Model Predictive Control, paper 211f, pgs. 1-27, 1997 AIChE Annual Meeting, Los Angeles.
- 19. Rivera, D.E., **S. Zong**, and **W.M. Ling**, "A multivariable system identification methodology based on "zippered" Schroeder-phased inputs," paper 195a, pgs. 1-10, 1997 AIChE Annual Meeting, Los Angeles.
- 20. Rivera, D.E., **S. Adusumilli**, A. Stenman, F. Gustavsson, T. McKelvey, L. Ljung, "Just-in-time models for improved identification and control," paper 193f, pgs. 1-8, 1997 AIChE Annual Meeting, Los Angeles.
- 21. **Jun, K.S.** and D.E. Rivera, "Systematic Identification, Control Structure Selection, and Controller Tuning for Temperature Control of Epitaxial Growth," 1998 SEMATECH Advanced Equipment Control/Advanced Process Control Symposium X, Vail, Colorado, pgs. 673-686.
- 22. **Vargas-Villamil, F.D.** and D.E. Rivera, "Multi-Layer Optimization and Scheduling of Re-entrant Semiconductor Manufacturing Lines," 1998 SEMATECH Advanced Equipment Control/Advanced Process Control Symposium X, Vail, Colorado, pgs. 687-705.
- 23. Rivera, D.E., "Metodología para la Identificación Integrada de Sistemas y Diseño de Controladores PID," III Simposio Internacional de Automatización, TECSUP, Lima, Peru, October 21, 1999. Pgs. 1-15.
- 24. Rivera, D.E. and **M. E. Flores**, "Plant-friendly Closed-Loop Identification Using Model Predictive Control," Process Modeling, Identification and Estimation Session, 1999 AIChE National Meeting, Dallas, paper 227d, pgs. 1-8.
- 25. **Vargas-Villamil, F.D.** and D.E. Rivera, "A Model Predictive Control Approach for Real-Time Optimization of Reentrant Manufacturing Lines," *Proceedings of the International Conference on Modeling and Analysis of Semiconductor Manufacturing* (MASM 2000), pgs. 177-182, May 2000.
- 26. **Braun, M.W., R. Ortiz-Mojica**, and D.E. Rivera, "Design of Minimum Crest Factor Multisinusoidal Signals for Model-On-Demand System Identification and Model Predictive Control," AIChE 2000 Annual Meeting, Paper 252c, pgs. 1 37, November, 2000.
- 27. **Braun, M.W.**, D.E. Rivera, and A. Stenman, "Model-On-Demand Model Predictive Control for Nonlinear Process Systems," AIChE 2000 Annual Meeting, Paper 256h, pgs. 1 40, November, 2000.
- 28. **Flores, M.E.**, D.E. Rivera, and V. Smith-Daniels, "Managing Supply Chains Using Model Predictive Control," AIChE 2000 Annual Meeting, Paper 262f, pgs. 1-10, November, 2000.

- 29. **Braun, M.W.**, D.E. Rivera, W. M. Carlyle, and K. G. Kempf, "Robust management of multiproduct, multi-echelon demand networks using Model Predictive Control," Paper 285e, 2001 AIChE Annual Meeting, Reno, NV, Nov. 4-9, 2001, pp. 1-55.
- 30. Rivera, D.E., **M.W. Braun**, and H.D. Mittelmann, "Design of plant-friendly signals for fast identification using constrained minimum crest factor inputs," Paper 279h, 2001 AIChE Annual Meeting, Reno, NV, Nov. 4-9, 2001, pp. 1-6.
- 31. **Braun, M.W.**, D.E. Rivera, W. M. Carlyle, and K. G. Kempf, "A Model Predictive Control Framework for Robust Management of Multi-Product, Multi-Echelon Demand Networks," 2002 NSF Design, Service and Manufacturing Grantees and Research Conference, San Juan, Puerto Rico, pgs. 1-15, January 7-10, 2002
- 32. **Braun, M.W.**, D.E. Rivera, W. M. Carlyle, and K. G. Kempf, "Application of Model Predictive Control to Robust Management Of Multi-Product, Multi-Echelon Demand Networks in Semiconductor Manufacturing," International Conference on Modeling and Analysis of Semiconductor Manufacturing (MASM 2002), April 10-12, 2002, pgs. 387-392.
- 33. Rivera, D.E., **H. Lee**, **M.W. Braun**, and H.D. Mittelmann, "Identification of multivariable process systems using constrained minimum crest factor multisine inputs," paper 255f, 2002 AIChE Annual Meeting, Indianapolis, IN, Nov. 3-8, 2002.
- 34. Rivera, D.E., **W. Wang**, **M.W. Braun**, and K.G. Kempf, "Control-oriented approaches to inventory management in semiconductor manufacturing supply chains," paper 268c, 2002 AIChE Annual Meeting, Indianapolis, IN, Nov. 3-8, 2002.
- 35. **Wang, W.**, J. Ryu, D.E. Rivera, K.G. Kempf, "An Integrated Optimization and Control Approach for Managing Semiconductor Manufacturing Supply Chains under Uncertainty." Paper 446d: pgs 1-34, 2003 AIChE Annual Meeting, San Francisco, Nov. 16-21, 2003.
- 36. **Lee, H.**, D.E. Rivera, and H.D. Mittelmann, "A Novel Approach to Plant-Friendly Identification of Highly Interactive Systems," Paper 436a: pgs 1-15, 2003 AIChE Annual Meeting, San Francisco, Nov. 16-21, 2003.
- 37. **Wang, W.**, D.E. Rivera, and K.G. Kempf, "A Novel Model Predictive Control Strategy for Tactical Decision-Making in Semiconductor Manufacturing Supply Chain Management," paper 421e, pgs. 1-25, 2004 AIChE Annual Meeting, Austin, TX, November 7-12, 2004.
- 38. Lee, H. and D.E. Rivera, "A Control-Relevant, Plant-Friendly System Identification Methodology Using Shifted and "Zippered" Input Signals," paper 414r: pgs. 1-28, 2004 AIChE Annual Meeting, Austin, TX, November 7-12, 2004.
- 39. Rivera, D.E., H.D. Mittelmann, H.S. Sarjoughian, and K.G. Kempf, "A Novel Model Predictive Control Algorithm for Supply Chain Management in Semiconductor Manufacturing," pgs. 1-7, 2005 NSF DMII Grantees' Conference, Scottsdale, Arizona, January 3-6, 2005.
- 40. Rivera, D.E., **M.D. Pew**, L.M. Collins, and S.A. Murphy, "Engineering approaches for the design and analysis of adaptive, time-varying interventions," 2<sup>nd</sup> Annual Meeting of the Network on Methodological Challenges in Developing Adaptive Treatment Strategies, University of Michigan, Ann Arbor, MI, September 14-15, 2005.

- 41. Rivera, D.E., **W. Wang**, and **J.D. Schwartz**, and Karl G. Kempf, "A Model Predictive Control Approach for Tactical Decision-Making in Semiconductor Manufacturing Supply Chain Management," SIAM Conference on Mathematics and Industry, Detroit, MI, October 24-26, 2005.
- 42. **Schwartz, J.D.**, D.E. Rivera, and K.G. Kempf, "Optimal operation of semiconductor manufacturing supply chains under uncertainty using simulation-based optimization," 2005 AIChE Annual Meeting, Cincinnati, OH, October 30 November 4, 2005.
- 43. **Lee, H.** and D.E. Rivera, "An integrated methodology for plant-friendly input signal design and control-relevant estimation of highly interactive processes," 2005 AIChE Annual Meeting, Cincinnati, OH, October 30 November 4, 2005.
- 44. **D.E. Rivera**, H. Lee, H. D. Mittelmann, and **G. Pendse**, "Optimization-based design of plant-friendly input signals for data-centric estimation and control," 2005 AIChE Annual Meeting, Cincinnati, OH, October 30 November 4, 2005.
- 45. Rivera, D.E., "Engineering control approaches for the design and analysis of adaptive interventions," 14<sup>th</sup> Annual Meeting of the Society for Prevention Research," symposium on New Approaches for Adaptive Intervention Science based on Engineering Methods, San Antonio, TX, June 2, 2006.
- 46. Rivera, D.E., H.D. Mittelmann, H.S. Sarjoughian, and K.G. Kempf, "Combined control-oriented and discrete-event simulation approaches for supply chain semiconductor manufacturing," 2006 NSF DMII Grantees' Conference, St. Louis, MO, July 24-27, 2006.
- 47. **Schwartz, J.D.** and D.E. Rivera, "Control-relevant demand modeling for supply chain management," presented at the symposium "Supply Chain Optimization," 2006 AIChE Annual Meeting, paper 465e, Session: Supply Chain Optimization, pgs. 1-17, San Francisco, November 12 17, 2006.
- 48. BeLue, Rhonda, D. E. Rivera, and J. Dziak, "Using dynamical systems methodology to inform and improve community-based participatory research for health disparities: a tutorial," 15<sup>th</sup> Annual Meeting of the Society for Prevention Research," Washington, D.C., May 31, 2007.
- 49. **Schwartz, J.D.** and D.E. Rivera, "GOALI: Process control approaches to supply chain management in semiconductor manufacturing," NSF International Research and Education in Engineering (IREE) Grantees' Workshop, West Lafayette, IN, Oct. 30 Nov. 1, 2007.
- 50. Rivera, D.E., H. Sarjoughian, H.D. Mittelmann, and K.D. Smith, "Multi-objective control-relevant demand modeling for production and inventory control," 2008 NSF CMMI Engineering Research and Innovation Conference, Knoxville, TN, January 7-10, 2008.
- 51. Zafra-Cabeza, A., D.E. Rivera, L.M. Collins, M.A. Ridao, and E.F. Camacho, "A risk-based model predictive control approach to adaptive interventions for the prevention and treatment of drug abuse,"  $16^{th}$  Annual Meeting of the Society for Prevention Research, San Francisco, CA, May 27-30, 2008.
- 52. Rivera, D.E., "Engineering Control Approaches for the Design and Analysis of Adaptive Behavioral Interventions," Mentored K Awardees Meeting, National Institute on Drug Abuse, Bethesda, MD, July 24 25, 2008.

- 53. Rivera, D.E. and L.M. Collins, "A control engineering approach for the design and analysis of adaptive behavioral interventions," 2008 INFORMS Annual Meeting, Washington, D.C., October 12 15, 2008.
- 54. Rivera, D.E. and L.M. Collins, "Engineering control approaches for the design and analysis of adaptive behavioral interventions," 2008 AIChE Annual Meeting, Philadelphia, PA, paper 487d, Session: Modeling and Control of Diseases, November 16 21, 2008.
- 55. Navarro-Barrientos, J.E., Y. Min, D.E. Rivera, D. Downs, L. Birch, and L.M. Collins, "A dynamical systems model of an intervention to prevent excessive gestational weight gain," 17<sup>th</sup> Annual Meeting of the Society for Prevention Research, Washington, D.C., May 27 29, 2009.
- 56. Walls, T.A. and D.E. Rivera, "Control engineering-based approaches to modeling substance abuse data," 17<sup>th</sup> Annual Meeting of the Society for Prevention Research, Washington, D.C., May 27 29, 2009.
- 57. Rivera, D.E. and L.M. Collins, "Engineering control approaches for optimizing behavioral interventions," 2009 American Control Conference, St. Louis, Special Session on Control Engineering and Related Systems Approaches for Improving Behavioral Health, June 10-12, 2009.
- 58. Navarro-Barrientos, J.E., D.E. Rivera, L.M. Collins, D. Downs, L. Birch, and D. Thomas, "A dynamical systems model of an intervention to prevent excessive gestational weight gain," Facilitating Interdisciplinary Research: Methodological and Technological Innovation in the Behavioral and Social Sciences, Bethesda, MD, October 8, 2009.
- 59. Rivera, D.E., "Engineering control approaches for the design and analysis of adaptive behavioral interventions,"  $9^{th}$  Annual Conference of the National Hispanic Science Network on Drug Abuse: Advances in Etiologic and Intervention Models in Hispanic Addiction Research, Coconut Grove, FL, October 29-31, 2009.
- 60. Stoica, C., M.R. Arahal, D.E. Rivera, P. Rodriguez-Ayerbe, and D. Dumur. "Application of Robustified Model Predictive Control to a Production-Inventory System," Retreat Day of the ART Centre of Excellence for Complex Dynamic Systems and Control, Newcastle, Australia, November 20, 2009.
- 61. Navarro-Barrientos, J.E., D.E. Rivera, D. Thomas, L.M. Collins, D. Symons Downs, and L. L. Birch, "A dynamical systems framework for modeling behavior change interventions to prevent excessive gestational weight gain," 18<sup>th</sup> Annual Meeting of the Society for Prevention Research, Denver, CO, June 1–4, 2010.
- 62. Nandola, N. N. and D.E. Rivera, "Robust optimal decision policies for adaptive, time-varying interventions using Model Predictive Control,"  $18^{th}$  Annual Meeting of the Society for Prevention Research, Denver, CO, June 1-4, 2010.
- 63. Nandola, N. N., D.E. Rivera, and L.M. Collins, "Robust optimal approaches for adaptive, time-varying interventions using Model Predictive Control,"  $72^{nd}$  Annual Meeting of the College on Problems of Drug Dependence, Scottsdale, Arizona, June 12-17, 2010.
- 64. Nandola, N. and D.E. Rivera, "Data-centric modeling and predictive control for nonlinear hybrid systems, with application to adaptive behavioral interventions," 2010 AIChE Annual Meeting, Salt Lake City, UT, paper 288c, Session: Modeling and Control of Biomedical Systems I, November 7 12, 2010.

- 65. Rivera, D.E., N. Nandola, and **S. Deshpande**, "Robust optimal decision policies for adaptive time-varying interventions using Model Predictive Control," 32<sup>nd</sup> Annual Meeting of the Society for Behavioral Medicine, Invited Symposium on Drawing from Ideas in Engineering and Computer Science to Build Better Behavioral Interventions," Washington, DC, April 27-30, 2011.
- 66. Trail, J., **K.P. Timms**, M.E. Piper, D.E. Rivera, and L.M. Collins, "Functional data meditational analysis of craving and negative affect in smoking cessation data," Symposium on Recent Developments from the Methodology Center at Penn State, Modern Modeling Methods (M³) Conference, University of Connecticut, May 25-26, 2011.
- 67. Rivera, D.E., **K.P. Timms**, J.B. Trail, J.E. Navarro-Barrientos, M.E. Piper, and L.M. Collins, "A novel dynamical systems approach to statistical mediation analysis," 19<sup>th</sup> Annual Meeting of the Society for Prevention Research, Invited Symposium on Idiographic Methods: Important Alternative Research Methods for the Behavioral Sciences, Washington, DC, May 31-June 1, 2011.
- 68. García, C.E. and D.E. Rivera, "Internal model control, model predictive control, and Shell," 2011 AIChE Annual Meeting, Minneapolis, MN, paper 764c, Session in honor of Manfred Morari's 60<sup>th</sup> Birthday-II, Oct. 16-21, 2011.
- 69. **Deshpande**, S., N. Nandola, D.E. Rivera, and J.W. Younger, "A system identification and control engineering approach for designing an optimized treatment plan for fibromyalgia," 2011 AIChE Annual Meeting, Minneapolis, MN, paper 764c, Session: Control in Medicine and Biology, Oct. 16-21, 2011.
- 70. Rivera, D.E., **K.P. Timms**, J.B. Trail, M.E. Piper, and L.M. Collins, "Dynamical Systems Modeling using EMA Data: An Illustration from Smoking Cessation," 2012 SRNT Annual Meeting Pre-conference Workshop on New Methods, Houston, Texas, March 13, 2012.
- 71. Rivera, D.E., "Using control system engineering to enable optimized behavioral interventions," 33rd Annual Meeting & Scientific Sessions of the Society of Behavioral Medicine, New Orleans, LA, April 11-14, 2012.
- 72. **Dong, Y.** Daniel Rivera, Diana Thomas, Danielle Downs, Jennifer Williams, Linda Collins, "A Dynamical Systems Model of an Adaptive Intervention to Prevent Excessive Gestational Weight Gain," Society for Prevention Research Annual Meeting, May 29-June 1, 2012.
- 73. **Timms, K**., Daniel Rivera, Linda Collins, Megan Piper, "Development and Evaluation of Novel Dynamical Systems Models for a Smoking Cessation Intervention," Society for Prevention Research Annual Meeting, May 29-June 1, 2012.
- 74. Trail, J. Linda Collins, Daniel Rivera, Megan Piper, "Dynamical Systems Approach for Adaptive Behavioral Development and Evaluation of Novel Dynamical Systems Models for a Smoking Cessation Intervention," Society for Prevention Research Annual Meeting, May 29-June 1, 2012.
- 75. Riley, W.T., C.A. Martin, D.E. Rivera, E.B. Hekler, M. Buman, M. Adams, A. King, and M. Pavel, "The development of a control systems model of Social Cognitive Theory," 2014 Annual Meeting of the Society of Behavioral Medicine, Philadelphia, PA, April, 2014.
- 76. Rivera, D.E. and E.B. Hekler, "Adaptive behavioral interventions: some representative approaches," 2014 Annual Meeting of the Society of Behavioral Medicine, Philadelphia, PA, April, 2014.

- 77. Downs, D.S., J.S. Savage, D.E. Rivera, **Y. Dong**, and L.M. Collins, "Control systems engineering for optimizing an individually-tailored, adaptive intervention to manage gestational weight gain," 2014 Annual Meeting of the Society of Behavioral Medicine, Philadelphia, PA, April, 2014.
- 78. **Timms, K.P.**, D. E. Rivera, L.M. Collins, M.E. Piper, "Development of an engineering-based optimized smoking intervention," 2014 Annual Meeting of the Society of Behavioral Medicine, Philadelphia, PA, April, 2014.
- 79. Hekler, E.B., D.E. Rivera, W. Riley, T. Murray, and D. Spruijt-Metz, "Advances in Behavioral Theory Simulations: Using Dynamical Systems Modeling to Improve Theories of Health-Related Behaviors," 2014 Annual Meeting of the Society of Behavioral Medicine, Philadelphia, PA, April 2014.
- 80. **Dong, Y.,** D.E. Rivera, D.S. Downs, J.S. Savage, and L.M. Collins, "Theory of Planned Behavior with Self-Regulation Dynamical Systems Model for an Adaptive Intervention to Manage Gestational Weight Gain," 2014 Annual Meeting of the Society of Behavioral Medicine, Philadelphia, PA, April 2014.
- 81.Hekler, E.B., R. Fletcher, D.E. Rivera, S. Kumar, and W. Nilsen, "Engineering behavior: engineering and computer science work that facilitate better mHealth research," 2015 Annual Meeting of the Society of Behavioral Medicine, San Antonio, TX, April, 2015.
- 82. Rivera, D.E., "Facilitating mHealth behavioral research using dynamical systems modeling and control systems engineering," 2015 Annual Meeting of the Society of Behavioral Medicine, San Antonio, TX, April, 2015.
- 83. Symons Downs, Savage, J.S., Rivera, D.E., Pauley, A., Hess, L., and **Guo, P**. "Preliminary findings of an individually-tailored "just-in-time" adaptive intervention to manage prenatal weight gain." 2016 Annual Meeting of the Society of Behavioral Medicine, Washington DC. 1 Apr. 2016.
- 84. Phatak S., Hekler, E.B., Rivera, D.E., **Martin, C.A., Freigoun, M.T.** "Building a Dynamical Model to Predict 'Ambitious but Doable' Daily Step Goals," 2016 Annual Meeting of the Society of Behavioral Medicine, Washington DC. 1 Apr. 2016.
- 85. **Freigoun, M.T., P. Guo**, D.E. Rivera, E.E. Hohman, A. Pauley, D.S. Downs, and J.S. Savage, "A dynamical systems model for understanding how the intrauterine environment affects fetal growth in an intervention context," 2017 Annual Meeting of the Society of Behavioral Medicine, San Diego, CA, March 30, 2017.
- 86. Symons Downs, D., J. Savage, D.E. Rivera, K.S. Leonard, A.M. Pauley, E.H. Hohman, and **P. Guo**, "Feasibility studies to inform physical activity and weight management interventions in women: three real-world examples," 2017 Annual Meeting of the Society of Behavioral Medicine, San Diego, CA, March 31, 2017.
- 87. Symons Downs, D., J. Savage, D.E. Rivera, K.S. Leonard, A.M. Pauley, E.H. Hohman, and **P. Guo**, "mHealth self-monitoring of physical activity and weight within a prenatal intervention to manage gestational weight gain," 2017 Annual Meeting of the Society of Behavioral Medicine, San Diego, CA, March 31, 2017.

- 87. Rivera, D.E., **P. Guo**, D.S. Downs, and J.S. Savage, "Evaluation of methods for predicting daily energy intake and handling misreporting in pregnant women," 2017 Annual Meeting of the Society of Behavioral Medicine, San Diego, CA, April 1, 2017.
- 88. Downs, D.S., Guastaferro, K., D.E. Rivera, and B. Spring, "Optimizing Behavioral and Biobehavioral Interventions: Three illustrative examples," 2019 Annual Meeting of the Society of Behavioral Medicine, Washington, D.C., March 6-9, 2019.
- 89. Rivera, D.E., "*Just Walk*: a personalized mHealth physical activity intervention based on control systems engineering," 2019 Annual Meeting of the Society of Behavioral Medicine, Washington, D.C., March 6-9, 2019.
- 90. Leonard, K.S., A.M. Pauley, **P. Guo**, E.E. Hohman, D.E. Rivera, and J.S. Savage. "Accelerometer wear time threshold in pregnant women with overweight and obesity," 2019 Annual Meeting of the Society of Behavioral Medicine, Washington, D.C., March 6-9, 2019
- 91. Pauley, A.M., E.E. Hohman, D.E. Rivera, J.S. Savage, K.S. Leonard, and **P. Guo**. "Association between sleep and depressive symptoms in pregnant women with overweight and obesity," 2019 Annual Meeting of the Society of Behavioral Medicine, Washington, D.C., March 6-9, 2019.
- 92. Chevance, G., Natalie, G., Klasnja, P., Rivera, D. E., Perski, O., & Hekler, E. B. (2020). "How to prevent intervention habituation in digital health behavior change interventions? a computational framework for just-in-time adaptive interventions" in *Annals of Behavioral Medicine* (Vol. 54).
- 93. Leonard, K. S., Pauley, A. M., Hohman, E. E., Rivera, D. E., Savage, J. S., & Downs, D. S. (2020). "Increased 2nd trimester physical activity may regulate resting metabolism and weight gain in women with overweight" in *Annals of Behavioral Medicine* (Vol. 54).
- 94. Spruijt-Metz, D., Klasnja, P., Marlin, B., Pavel, M., Rivera, D. E., Golaszewski, N. M., Chevance, G., & Hekler, E. B. (2020). "Advancing new approaches to ecological momentary assessment to enable modeling of granular dynamics on physical activity" in *Annals of Behavioral Medicine* (Vol. 54).
- 94. Pauley, A. M., Leonard, K. S., Hohman, E. E., McNitt, K. M., **Guo, P.**, Rivera, D. E., Savage, J. S., & Downs, D. S. (2020). "Influence of a prenatal weight management intervention on sleep quality," in *Annals of Behavioral Medicine* (Vol. 54).
- 95. Leonard, K. S., Pauley, A. M., Hohman, E. E., McNitt, K. M., **Guo, P.**, Rivera, D. E., Savage, J. S., & Downs, D. S. (2020). "Prenatal intervention reduces postpartum depressive symptoms for women with overweight but not obesity," in *Annals of Behavioral Medicine* (Vol. 54).
- 96. Pauley, A. M., E. E. Hohman, K. Leonard, **P. Guo**, K. M. McNitt, D.E. Rivera, J. Savage, and D. S. Downs. (2021). "Sleep behaviors predict increases in gestational weight gain among pregnant women with overweight/obesity." in *Annals of Behavioral Medicine* (Vol. 55).

#### **Books**

1. Lopes dos Santos, P., T. P. Azevedo Perdicoúlis, C. Novara, J.A. Ramos, and D.E. Rivera (eds.), **Linear Parameter-Varying System Identification: New Developments and Trends**, World Scientific Co. Pte. Ltd., Singapore, 2012.

## **Chapters in Books Authored**

- 1. Cuthrell, J.E., D.E. Rivera, W.J. Schmidt and J.A. Vegeais, "Solution to the Shell Standard Control Problem," in **The Second Shell Process Control Workshop: solutions to the Shell Standard Control Problem**, D.M. Prett, C.E. Garcia, and B.L. Ramaker (eds.) Butterworths, Stoneham, MA 1990.
- 2. McFarlane, R.L. and D.E. Rivera, "System Identification for Distillation Control," chapter in **Practical Distillation Control**, W.L. Luyben (ed.), Van Nostrand Reinhold, pgs. 96-139, 1992.
- 3. Rivera, D.E., "Modeling Uncertainty in Control Systems: A Process Control Perspective," **The Modeling of Uncertainty in Control Systems**, R. Smith, and M. Dahleh (eds.), Springer-Verlag, London, pgs. 69-75, 1994.
- 4. Rivera, D.E. and **M.E. Flores** (2004). Internal Model Control, in *6.43*. *Control Systems, Robotics and Automation*, edited by Heinz Unbehauen, in **Encyclopedia of Life Support Systems (EOLSS)**, Developed under the auspices of the UNESCO, EOLSS Publishers, Oxford, UK, <a href="http://www.eolss.net">http://www.eolss.net</a>.
- 5. Novara, C., P. Lopes dos Santos, T.-P. Azevedo Perdicoúlis, J.A. Ramos, and D.E. Rivera, "Chapter 1: Introduction," in **Linear Parameter-Varying System Identification: New Developments and Trends**, World Scientific Co. Pte. Ltd., Singapore, pgs. 1-9, 2012.
- 6. Nilsen, W., E. Ertin, E. B Hekler, S. Kumar, I. Lee, R. Mangharam, M. Pavel, J.M. Rehg, W. Riley, D.E. Rivera, D. Spruijt-Metz, "Modeling opportunities in mHealth cyber-physical systems," in **Mobile Health: Sensors, Analytic Methods, and Applications**, (J. Regh, S. Murphy, and S. Kumar, eds.), pgs. 443-453, (2017).
- 7. Rivera, D.E., C.A. Martin, K.P. Timms, S. Deshpande, N. Nandola, and E.B. Hekler, "Control systems engineering for optimizing behavioral *mHealth* interventions," in **Mobile Health: Sensors, Analytic Methods, and Applications**, (J. Regh, S. Murphy, and S. Kumar, eds.), pgs. 455-493, (2017).
- 8. Rivera, D.E., E.B. Hekler, J.S. Savage, and D. Symons Downs, "Intensively adaptive interventions using control systems engineering: two illustrative examples," in **Optimization of Behavioral**, **Biobehavioral**, and **Biomedical Interventions**, (L.M. Collins and K.C. Kugler, eds.), pgs. 121-173, (2018).

### Other Publications

- 1. Rivera, D.E. Book review of **Integrated Process Control and Automation**, by J.E. Rijnsdorp, *Chem. Eng. Sci.*, **48**, 3878, November 1993.
- 2. **Vargas-Villamil, F.D.** and D.E. Rivera, "Control-theoretic Approaches to Scheduling of Semiconductor Manufacturing Processes: Outer Level Control using a Model Predictive Control Strategy" report to the Intel Research Council, August, 1996.
- 3. **Jun, K.S.** and D.E. Rivera, "Improved Temperature Control of a SiGe Epitaxy Reactor Via the Computer-Aided Design Tool EPICON", report to Motorola Semiconductor Products Sector, August, 1996.
- 4. Kempf, K.G., K.D. Smith, B. Toperzer, **M.W. Braun**, D.E. Rivera, and **W. Wang** "Managing Supply Chains with Model Predictive Control," U.S. Patent No. 7,054,706; filed June 30, 2003, awarded May 30, 2006.
- 5. Rivera, D.E., **M.D. Pew**, L.M. Collins, and S.A. Murphy, "Engineering approaches for the design and analysis of adaptive, time-varying interventions," Technical Report 05-73, The Methodology Center, Pennsylvania State University.
- 6. Rivera, D.E., Book Review of **PID Control: New Identification and Control Design Methods**, by M.A. Johnson and M.H. Moradi, *IEEE Control Systems Magazine*, Vol. 26, No. 1, pgs. 95-97, 2006.
- 7. Fassois, S.D. and D.E. Rivera, "Applications of System Identification," introduction to the Special Issue, *IEEE Control Systems Magazine*, Vol. 27, No. 5, pgs. 24-26, 2007.
- 8. Lovera, M., C. Novara, P.L. dos Santos, and D. Rivera, guest editorial, Special Issue on Applied LPV Modeling and Identification, *IEEE Transactions on Control Systems Technology*, Vol. 19, No. 1, pgs. 1 4, 2011.
- 9. Hjalmarsson, H., C.R. Rojas, and D.E. Rivera, "System identification: A Weiner-Hammerstein benchmark," *Control Engineering Practice*, Vol. 20, Issue 11, pgs. 1095-1246, 2012.
- 10. Riley, W.T. and Rivera, D.E., Methodologies for optimizing behavioral interventions: introduction to special section, *Translational Behavioral Medicine*, 4 (3), pp. 234-237, 2014.
- 11. Regruto, D., Dabbene, F. and Rivera, D.E., Guest editorial: Special issue on relaxation methods in identification and estimation problems, *IEEE Transactions on Automatic Control*, 59 (11), pp. 2869-2870, 2014.
- 12. Rivera, D.E., "IEEE Control Systems Society Outreach Fund (Member Activities)", *IEEE Control Systems Magazine*, 39 (4) pgs. 15-83, 2019.
- 13. Mercere, G., A. Medvedev, D.E. Rivera, C. Scoglio, and B. Jayawardhana, "Foreword: Identification and Control in Biomedical Applications," *IEEE Transactions on Control Systems Technology*, 28 (1), pgs. 1-2, 2020.

### **Invited Presentations**

- 1. "Control-relevant parameter estimation: implications for the identification and control of process systems," Department of Chemical, Bio and Materials Engineering, Arizona State University, June 19, 1990.
- 2. "Insights into the control of semiconductor manufacturing," Technology '90 Conference, Mesa, Arizona, December 5, 1990.
- 3. "New directions in identification research: control-relevant identification," Honeywell Advanced Control Workshop, Phoenix, Arizona, January 21, 1991.
- 4. "Control systems engineering: enabling profitable, safe, and environmentally sound operation of process plants," New Generation Hispanic Researchers and their Research Programs: The ASU Agenda in Business, Sciences, and Social Science Symposium, Culture and Diversity: Teaching, Learning and the Curriculum of the 21st Century University, ASU West, April 8, 1991.
- 5. "New directions in control systems engineering for the process industries: ASU's response to the challenge," Keynote Speaker, Honeywell Advanced Control Symposium Phoenix, Arizona, January 23, 1992.
- 6. "New directions in identification research: control-relevant identification," Du Pont Process Control Seminar Series, Newark, Delaware, April 23, 1992.
- 7. "Modeling uncertainty in control systems: a process control perspective" NSF/AFOSR Workshop on the Modeling of Uncertainty in Control Systems, Santa Barbara, CA, June 20, 1992.
- 8. "New directions in identification research: control-relevant identification," presentation at M.W. Kellogg, Houston, Texas, August 13, 1992.
- 9. "Recent identification research (and other matters) at Arizona State University," presentation at Shell Development Co., Houston, Texas, August 14, 1992.
- 10. "Intelligent Process Control Research at ASU," Honeywell Advanced Control Symposium Phoenix, Arizona, January 27, 1993.
- 11. "System Identification Via Intelligent Process Control," Honeywell Advanced Control Symposium, Phoenix, AZ, April 5, 1994.
- 12. "CONTROL-ID: A Demonstration Prototype for Control-Relevant Identification," presentation at Westhollow Research Center, Shell Development Company, May 16, 1994.
- 13. "Modeling for Process Control via Control-Relevant System Identification," presentation at Honeywell Technology Center, Minneapolis, May 17, 1994.
- 14. "Process Control Applications of MACSYN Technologies," Automation and Control Technology Seminar at Jet Propulsion Laboratories, Pasadena, CA, August 19, 1994.
- 15. "PID Tuning from Plant Data Using Control-Relevant Models," Advanced Control Symposium, 22nd Honeywell Users Group Meeting, Tempe, AZ, June 14, 1995.

- 16. "PID Tuning from Plant Data Using Control-Relevant Models," tutorial presentation, SEMATECH AEC/APC Workshop, New Orleans, LA, November 5, 1995.
- 17. "A control-relevant methodology for multivariate system identification," seminar at the Dept. of Chemical Engineering, University of Alberta, Edmonton, Canada, January 29, 1996.
- 18. "Scheduling a reentrant manufacturing line using Model Predictive Control (MPC)," 2nd Workshop on Semiconductor Manufacturing Control and Optimization, Arizona State University, February 14, 1996.
- 19. "System identification for process control: challenges, opportunities, and new directions," plenary international tutorial lecture, Society of Instrument and Control Engineers of Japan, 35th Annual Conference, Tottori, Japan, July 26, 1996.
- 20. "System identification for process control: challenges, opportunities, and new directions," Division of Automatic Control Lecture Series, Department of Electrical Engineering, Linköping University, Sweden, September 5, 1996.
- 21. "Challenges, opportunities, and new directions in system identification for process control: integrated system identification and PID controller design," Department of Signals, Sensors, and Systems, Royal Institute of Technology (KTH), Stockholm, Sweden, October 16, 1996.
- 22. "A control-relevant identification methodology for multivariable system identification with application to high-purity distillation," Department of Signals, Sensors, and Systems, Royal Institute of Technology (KTH), Stockholm, Sweden, October 17, 1996.
- 23. "A control-relevant identification methodology for multivariable system identification with application to high-purity distillation," PROcess Systems Engineering Trondeim (PROST) Seminar Series, Norwegian University of Science and Technology (NTNU), Trondheim, Norway, November 8, 1996.
- 24. "The Value of Lennart Ljung's Work from an International Perspective," Chester Carlson Award Ceremony, Royal Swedish Academy of Engineering Sciences, Stockholm, Sweden, November 19, 1996.
- 25. "System identification for process control: challenges, opportunities, and new directions," Division of Automatic Control, Lund Institute of Technology, Lund, Sweden, November 25, 1996.
- 26. "A control-relevant methodology for multivariable system identification with application to high-purity distillation," Department of Chemical Engineering, Danish Technical University, Lyngby, Denmark, November 28, 1996.
- 27. "A control-relevant methodology for multivariable system identification with application to demanding process control problems," Department of Mechanical Engineering, Delft University of Technology, Delft, The Netherlands, November 29, 1996.
- 28. "Retos en la identificación de procesos con modelos multivariables, con aplicación a una columna de destilacion de alta pureza," (Challenges in system identification for the process industries, with application to high purity distillation), invited plenary talk, II Congress of the Colombian Society for Automatic Control, Bucaramanga, Colombia, March 20, 1997.

- 29. Panel member, "Process Control Education for the Next Century" 1997 IFAC Symposium on Advanced Control of Chemical Processes (ADCHEM '97), Banff, Canada, June 9-11, 1997.
- 30. "A Tool for Robust Multivariable PID Controller Design," presentation to Honeywell IAC and Hi-Spec Solutions, Phoenix, Arizona, November 7, 1997.
- 31. "System Identification for Process Control: Challenges, Opportunities, and New Directions," presentation to the Department of Chemical Engineering, University of Utah, April 21, 1998.
- 32. "System Identification for Process Control: Challenges, Opportunities, and New Directions," presentation to Process Systems Engineering Research Group, Kyoto University, Japan, July 27, 1998.
- 33. "Control Methods for Process Applications," Faculty of Technology and Metallurgy, University "St. Cyril and Methodius", Skopje, Macedonia, May 20-22, 1998.
- 34. "Control Methods for Process Applications: Multivariable Control Issues," U.S. Water Conservation Laboratory, Phoenix, AZ, March 29, 1999.
- 35. "Metodología para la Identificación Integrada de Sistemas y Diseño de Controladores PID," III Simposio Internacional de Automatización, TECSUP, Lima, Peru, October 21, 1999.
- 36. "Comparison of Global Nonlinear Modeling and Model-on-Demand Estimation and Control to Process Systems," ASU Symposium on Advanced Modeling, Scheduling, and Control Solutions, December 3, 1999
- 37. "Comments on Model Requirements for Next Generation Integrated MPC and Dynamic Optimization," discussant role in the Modeling and Identification session, Chemical Process Control 6, Tucson, Arizona, January 8, 2001.
- 38. "Academic versus Corporate Research Environments", Panel Member, Minority CHE Faculty 2001+: A Workshop to Develop Minority Leaders in the ChE Academy, National Science Foundation, March 4-6, 2001, Arlington, VA.
- 39. "What it is like to be a Chemical Engineer", presentation to 1st Grade Class at Mesa Lincoln Elementary School, May 29, 2001 (similar presentations made in May, 2002 and 2003).
- 40. "Inventory Management in Supply Chains: Insights Gained from a Process Control Perspective," seminar to the Department of Chemical Engineering, Purdue University, October 11, 2001.
- 41. "PID Controller Tuning Via Internal Model Control: A Modern Approach," tutorial presentation, 2002 Quality and Productivity Research Conference, Tempe, AZ, June 7, 2002.
- 42. "Model on Demand Estimation for Improved Identification and Control of Process Systems," IMA "Hot Topics" Workshop on Data-Driven Control and Optimization, University of Minnesota, Minneapolis, MN, December 6, 2002.
- 43. "Identification of Chemical Process Systems using Constrained Minimum Crest Factor Multisine Inputs," 17<sup>th</sup> International Forum on Process Analytical Chemistry (IFPAC), Scottsdale, AZ, January 22, 2003.

- 44. "Engineering Process Control: Can it Impact the Management of Chronic, Relapsing Disorders?" seminar to The Methodology Center, Penn State University, March 19, 2003.
- 45. "Inventory Management in Semiconductor Manufacturing Demand Networks: Insights Gained from a Process Control Perspective," Global Manufacturing and Supply Network "Knowledge Connection" Speaker Series, Intel Research Council, June 17, 2003.
- 46. "Model-on-Demand Estimation for Improved Identification and Control of Process Systems," Workshop on Models for Intensive Longitudinal Data and Functional Data, McGill University, October 11 and 12, 2003.
- 47. "How to Make a College Professor Happy," presentation to the Los Padres Foundation 2004-2005 College Orientation Program (a minority scholarship program), City College, NY, April 5, 2004.
- 48. "Engineering Process Control Perspectives on Adaptive, Time-Varying Interventions," Brown Bag seminar to the Methodology Center, Penn State University, April 7, 2004.
- 49. "Identificación de Sistemas para Aplicaciones en el Control de Procesos," (System Identification for Process Applications), international plenary speaker, five lectures presented at the CEA-IFAC (Spanish Automatic Control Council) Summer School, Playa de Calpe, Alicante, Spain, May 31 June 4, 2004; <a href="http://www.isa.upv.es/cursosceaifac04/">http://www.isa.upv.es/cursosceaifac04/</a>
- 50. "Plant-Friendly' System Identification: A Challenge for the Process Industries," keynote presentation, Process Systems Engineering track, 2004 Canadian Society of Chemical Engineers Annual Meeting, Calgary, AB, October 5, 2004.
- 51. "Inventory management in supply chains: insights gained from a process control perspective," UCLA Systems, Dynamics, and Control seminar series, December 2, 2005.
- 52. "Inventory management in supply chains (and beyond): insights gained from a process control perspective," Department of Chemical Engineering, Northeastern University, February 24, 2006.
- 53. "Inventory management in supply chains: insights gained from a process control perspective," McMaster University, Department of Chemical Engineering, March 6, 2006.
- 54. "Plant-Friendly System Identification: A Challenge for the Process Industries," Fields Institute Seminar Series on Industrial Optimization, University of Toronto, March 7, 2006.
- 55. "What it is like to be a Puerto Rican and a Chemical Engineer," presentation to 2nd Grade Class at Lincoln Elementary School, Mesa, Arizona, May 19, 2006.
- 56. "Engineering control approaches for the design and analysis of adaptive interventions," Efficiency and innovation in research design, 2006 spring retreat, The Methodology Center, Pennsylvania State University, June 5, 2006.
- 57. "Manejo de Inventarios en Cadenas de Suministro: Perspectivas Basadas en el Control de Procesos," (Inventory management in supply chains: insights gained from a process control perspective), presentation to the Automatic Control, Electronics, and Robotics research group, University of Almería, Spain, June 27, 2006.

- 58. "Some perspectives on the GOALI program," GOALI symposium, 2006 NSF Design, Service, and Manufacturing Grantees and Research Conference, St. Louis, MO, July 25, 2006.
- 59. "Chemical Engineering Research Activities at Arizona State University," presentation to the College of Engineering, Universidad Nacional Autónoma Mexicana (UNAM), Mexico City, Mexico, Nov. 30, 2006.
- 60. "Manejo de Inventarios en Cadenas de Suministro: Perspectivas Basadas en el Control de Procesos," (Inventory management in supply chains: insights gained from a process control perspective), presentation to the Department of Systems and Automatic Control, University of Seville, March 14, 2007.
- 61. "Introduction to Mechanistic Models and Control Theory," tutorial presentation for the Statistical and Applied Mathematical Sciences Institute (SAMSI) 2007 program on Challenges in Dynamic Treatment Regimes and Multi-Stage Decision Making, NC State, Research Triangle Park, NC, June 20, 2007.
- 62. "Perspectivas Sobre la Gestión de Cadenas de Suministro Basadas en el Control de Procesos," (Perspectives on supply chain management using process control), presentation to students in the Advanced Process Control course at the University of Almería, Spain, October 11, 2007.
- 63. "Manejo de Inventarios en Cadenas de Suministro: Perspectivas Basadas en el Control de Procesos," (Inventory management in supply chains: insights gained from a process control perspective), presentation to the Automatic Control group at the University of Salamanca, Spain, October 15, 2007.
- 64. "Manejo de Inventarios en Cadenas de Suministro: Perspectivas Basadas en el Control de Procesos," (Inventory management in supply chains: insights gained from a process control perspective), presentation to the Department of Systems Engineering and Automatic Control, University of Valladolid, Spain, October 17, 2007.
- 65. "Identificación amigable al proceso: un reto para la industria química," (Plant-friendly system identification: a challenge for the chemical process industries," presentation to the Department of Systems Engineering and Automatic Control, University of Valladolid, Spain, October 18, 2007.
- 66. "Hacia una sociedad automatizada. A donde vamos?" (Towards an automated society: where are we headed?), radio show broadcast October 24, 2007 through the Spanish National Public Radio Network, <a href="http://teleuned.uned.es/realaudiocemav/2007/2008/2007/10/20071024\_02.wma">http://teleuned.uned.es/realaudiocemav/2007/2008/2007/10/20071024\_02.wma</a>.
- 67. "Inventory management in supply chains (and beyond): insights gained from a process control perspective," Control Systems and Dynamics seminar series, Department of Mechanical and Aerospace Engineering, University of California-San Diego, February 1, 2008.
- 68. "Engineering control approaches for the design and analysis of adaptive behavioral interventions," Seminar in Industrial and Operations Engineering, University of Michigan, February 13, 2008.
- 69. "Engineering control approaches for the design and analysis of adaptive behavioral interventions," seminar presentation to the Prevention Science Methodology Group (PSMG), <a href="http://psmg.usf.edu">http://psmg.usf.edu</a>, University of South Florida, February 26, 2008.
- 70. "Inventory management in supply chains and adaptive interventions in behavioral health: insights gained from a process control perspective," presentation to the Department of Chemical Engineering, Penn State University, March 18, 2008.

- 71. "A brief introduction to system identification," presentation to the Brown Bag Seminar Series, The Methodology Center, Penn State University, March 20, 2008.
- 72. "Engineering control approaches for the design and analysis of adaptive behavioral interventions," presentation to the Mathematics and Cognition seminar series, Arizona State University, April 8, 2008.
- 73. "Engineering control approaches for the design and analysis of adaptive behavioral interventions," invited presentation for the Atlantic Coast Symposium on the Mathematical Sciences in Biology and Biomedicine, <a href="http://www.ncsu.edu/cqsb/program.html">http://www.ncsu.edu/cqsb/program.html</a>, North Carolina State University, April 24, 2008.
- 74. "What it is like to be a Puerto Rican and a Chemical Engineer," presentation to kindergarten class at Edison Elementary School, Mesa, Arizona, May 19, 2008.
- 75. "Enfoques de la ingeniería de control para el análisis y diseño de intervenciones adaptativas para mejorar la salud del comportamiento," (Engineering control approaches for the design and analysis of adaptive behavioral interventions), seminar to the Department of Computing and Computer Languages, University of Almería, Spain, September 11, 2008.
- 76. "A Brief Introduction to Dynamical Models, System Identification, and Control Systems Engineering," R21 Roadmap Initiative Panel Meeting, Department of Human Development and Family Studies, Penn State University, September 16, 2008.
- 77. "Control engineering and dynamical systems approaches to improving behavioral interventions," 2008 fall retreat, The Methodology Center, Pennsylvania State University, September 18, 2008.
- 78. "A Brief Introduction to Dynamical Models, System Identification, and Control Systems Engineering," R21 Roadmap Initiative Panel Meeting, Center for the Continuum of Care, Treatment Research Institute, University of Pennsylvania, November 20, 2008.
- 79. "A dynamical systems and control engineering perspective for optimizing behavioral interventions," presentation to the PSY 598 Prevention Science seminar, Arizona State University, February 20, 2009.
- 80. "A Brief Introduction to Dynamical Models, System Identification, and Control Systems Engineering," R21 Roadmap Initiative Panel Meeting, Prevention Research Center, Arizona State University, March 23, 2009.
- 81. "A Brief Introduction to Dynamical Models, System Identification, and Control Systems Engineering," R21 Roadmap Initiative Panel Meeting, Systems Neuroscience and Pain Lab, Stanford School of Medicine, March 25, 2009.
- 82. "A dynamical systems and control engineering perspective for optimizing behavioral interventions," Center for Tobacco Research and Intervention, University of Wisconsin-Madison, May 4, 2009.
- 83. "Inventory management in supply chains and adaptive interventions in behavioral health: insights gained from a process control perspective," Process Systems Research Group, Department of Chemical and Biological Engineering, University of Wisconsin-Madison, May 5, 2009.
- 84. "What it is like to be a Puerto Rican and a Chemical Engineer," presentation to early kindergarten class at Porter Elementary School, Mesa, Arizona, May 19, 2009.

- 85. "Inventory management in supply chains and adaptive interventions in behavioral health: insights gained from a process control perspective," Systems and Control Seminar Series, Institute for Systems Theory and Automatic Control, University of Stuttgart, Germany, June 29, 2009.
- 86. "Connecting the dots in optimized behavioral interventions with control systems engineering," 2009 fall retreat, The Methodology Center, Pennsylvania State University, December 8-9, 2009.
- 87. "A dynamical systems and control engineering perspective for optimizing behavioral interventions: an update," presentation to the PSY 598 Prevention Science seminar, Arizona State University, March 5, 2010.
- 88. "Research and Educational Activities in the Control Systems Engineering Laboratory (CSEL)," ASU AIChE Student Chapter "Meet the Professors", April 13, 2010.
- 89. "Research Activities in Behavioral Health at the Control Systems Engineering Laboratory," presentation to the research center directors, College of Nursing and Healthcare Innovation, Arizona State University, April 22, 2010.
- 90. "What it is like to be a Puerto Rican and a Chemical Engineer," presentation to kindergarten/1<sup>st</sup> grade ESL classroom at Robson Elementary School, Mesa, Arizona, May 20, 2010.
- 91. "Engineering control theory: can it impact adaptive interventions?" tutorial presentation at the preconference workshop on systems science methodologies in prevention research, 18<sup>th</sup> Annual Meeting of the Society for Prevention Research, Denver, CO, June 1, 2010.
- 92. "Applying ideas from control systems engineering to behavioral interventions," invited talk at NIDA and OBSSR-sponsored workshop on behavioral intervention optimization: capitalizing on engineering, computer science, and technology, Rockville, MD, June 28, 2010.
- 93. Optimized behavioral interventions for weight loss: what does dynamical systems and control engineering have to offer?" Obesity-Related Behavioral Intervention Trials (ORBIT) steering committee meeting, Baltimore, MD, September 20, 2010.
- 94. "Optimized behavioral interventions: what does control systems engineering have to offer?" Joint Prevention and Methodology Seminar, Penn State University, October 6, 2010.
- 95. "Using control theory during behavioral interventions interventions," School for Engineering of Matter, Transport, and Energy Scholarly Gathering, October 21, 2010.
- 96. "Optimized behavioral interventions: what does control systems engineering have to offer?" presentation to the Automatic Control Group, Department of Electrical Engineering, Linköping University, Sweden, November 15, 2010.
- 97. "Optimized behavioral interventions: what does control systems engineering have to offer?" presentation to the Automatic Control Group, Department of Signals, Sensors, and Systems, Royal Institute of Technology (KTH), Stockholm, Sweden, November 19, 2010.
- 98. "Optimized behavioral interventions: what does control systems engineering have to offer?" Decision and Control Laboratory seminar series, Georgia Institute of Technology, December 13, 2010.

- 99. "Why I chose to study for a doctorate and become a professor," 9<sup>th</sup> Annual More Graduate Education @ Mountain States Alliance (MGE@MSA) Student Research Conference, Tempe, AZ, February 8, 2011.
- 100. "Optimized behavioral interventions: what does dynamical systems and control engineering have to offer?" invited talk at the NIH and SBM-sponsored pre-conference workshop: From discovery to public health impact: new approaches to developing, testing, and optimizing behavioral interventions, 32<sup>nd</sup> Annual Meeting of the Society of Behavioral Medicine, Washington, D.C., April 26, 2011.
- 101. "Robust, optimal decision policies for adaptive, time-varying behavioral interventions using model predictive control," talk at invited symposium: Drawing on ideas from engineering and computer science to build better behavioral interventions. 32<sup>nd</sup> Annual Meeting of the Society of Behavioral Medicine, Washington, D.C., April 29, 2011.
- 102. "A dynamical systems and control engineering approach for optimizing pain treatment interventions," invited talk to the Stanford Systems Neuroscience and Pain Lab (SNAPL), Stanford University School of Medicine, June 28, 2011.
- 103. "Optimized behavioral interventions: what does system identification and control systems engineering have to offer?" presentation to the Dipartimento di Automatica e Informatica, Politecnico di Torino, Turin, Italy, August 26, 2011.
- 104. "Dynamical systems and control engineering: how can these impact behavioral interventions?" lecture presentation to the fall 2011 BDE 598 Fundamentals of Biological Design course, September 26, 2011.
- 105. "Adaptive behavioral interventions: what does control systems engineering have to offer?" Talk at the fall 2011 colloquium series: The Science of Tailoring Treatment: Adaptive Interventions Based on Culture and Preference, The Center for Personalized Prevention Research in Children's Mental Health (CPPR), University of Minnesota, Minneapolis, MN, October 19, 2011.
- 106. "Optimized behavioral interventions: what does control systems engineering have to offer?" seminar at the Institute for Health Promotion and Disease Prevention Research (IPR), University of Southern California, Los Angeles, CA, January 27, 2012.
- 107. "How to prepare during your doctoral program for success after graduation as a postdoctoral researcher and as a junior faculty member," 10<sup>th</sup> Annual More Graduate Education @ Mountain States Alliance (MGE@MSA) Student Research Conference, Tempe, AZ, February 14, 2012.
- 108. "Optimized behavioral interventions: what does control systems engineering have to offer?" seminar at the School for Nutrition and Health Promotion (SNHP), Arizona State University, March 29, 2012.
- 109. "Optimized behavioral interventions: what does control systems engineering have to offer?" seminar at the School for Nutrition and Health Promotion (SNHP), Wednesday Workshop Series, Institute for Public Health and Medicine, Feinberg School of Medicine, Northwestern University, Dec. 19, 2012.
- 110. "Dynamical modeling and control systems engineering for optimizing behavioral interventions: implications for resilience, CERP HARNESS meeting: towards a systems model of resilience, Beckman Center, UC-Irvine, January 22, 2013.

- 111. "Intervenciones optimas para la salud del comportamiento: que ofrecen la identificación de sistemas y las técnicas de control?", Depto. Informática e Ing. Sistemas, Universidad de Zaragoza, Spain, March 26, 2013.
- 112. "Intervenciones optimas para la salud del comportamiento: que ofrecen la identificación de sistemas y las técnicas de control?", XI Simposio CEA de Ingeniería de Control, Universidad Politécnica de Valencia, Spain, April 10, 2013.
- 113. "Optimized behavioral interventions: what does control systems engineering have to offer?," Behaviour Change Initiative Lecture Series, University College London, April 22, 2013.
- 114. "Intervenciones optimas para la salud del comportamiento: que ofrecen la identificación de sistemas y las técnicas de control?", Universidad de Almería, Spain, May 3, 2013.
- 115. "Intervenciones optimas para la salud del comportamiento: que ofrece la ingeniería de sistemas de control?", Facultad de Psicología, Universidad Nacional de Educación a Distancia, Madrid, Spain, May 7, 2013.
- 116. "Optimized behavioral interventions: what do system identification and control engineering have to offer?" Faculdade de Engenharia, Universidade do Porto, Portugal, May 14, 2013.
- 117. "Intervenciones optimas para la salud del comportamiento: que ofrecen la identificación de sistemas y las técnicas de control?", Departamento de Ingeniería de Sistemas y Automática, Escuela Superior de Ingenieros, Universdad de Sevilla, Spain, May 21, 2013.
- 118. "Working in behavioral science: experiences of a control systems engineer," 2013 American Control Conference Special Session on Expanding the Scope of Control Systems Science into the Domain of Human Behavior: Opportunities and Challenges, June 17, 2013.
- 119. "Optimized behavioral interventions: what does control systems engineering have to offer?," National Cancer Institute Crosstalk Lecture and Science of Research and Technology Branch Speaker Series, July 17, 2013.
- 120. "Applying dynamical systems modeling to time-intensive data," NCI Big Data and Theory Advancement (Big D.A.T.A) Workshop, September 19, 2013.
- 121. "Optimized interventions for weight loss: what does control systems engineering have to offer?" EARLY Trials Webinar, October 25, 2013.
- 122. "Optimized behavioral interventions: what does control systems engineering have to offer?" Fifth CSL Symposium on Emerging Topics in Control and Modeling: Social and Economic Behavior, University of Illinois at Urbana-Champaign, November 7, 2013.
- 123. "Identificación interactiva de sistemas a lazo cerrado con ITCLI (Interactive closed-loop identification using ITCLI)," series programa de master y doctorado de ingeniería de sistemas y control, Universidad Nacional de Educación a Distancia (UNED), Madrid, Spain, March 19, 2014.
- 124. "Optimizing behavioral interventions using control systems engineering: illustration from an adaptive intervention for managing gestational weight gain," Department of Kinesiology Symposium, Penn State University, Nov. 6, 2014.

- 125. "Control systems engineering of human behavior change: the final frontier," SEMTE Symposium on Advanced Control Methods for Emerging Applications, Dec. 12, 2014.
- 126. "How do you solve a problem like Skyler? (his eating behavior, that is)," NSF Workshop on Dynamical Modeling of Health Behavior Change, University College-London, September 8-9, 2015.
- 127. "Dynamic modeling of weight and body composition change using the Theory of Planned Behavior and Self-Regulation," 2<sup>nd</sup> Mathematical Sciences in Obesity Course, University of Alabama-Birmingham School of Public Health, June 22-26, 2015.
- 128. "An identification test monitoring procedure for MIMO systems based on statistical uncertainty estimation," Dept. ELEC, Vrije Universiteit Brussels (VUB), June 9, 2015.
- 129. "Optimizing behavioral interventions: what does control systems engineering have to offer?" The Meadows Center for Preventing Educational Risk, University of Texas at Austin, Feb. 11, 2015.
- 130. "A process control perspective to managing production-inventory systems: modeling, forecasting, and control," LCCC (Lund Center for Control of Complex Engineering Systems) Workshop on Process Control, Lund University, Sweden, September 28-30, 2016.
- 131. "Optimized behavioral interventions using system identification and control: two illustrative examples," Dept. of Electrical Engineering, Systems and Signals Groups, Royal Institute of Technology, (KTH), Stockholm, Sweden, February 9, 2017.
- 132. "Optimized behavioral interventions using system identification and control: two illustrative examples," School of Engineering, University of Newcastle, Newcastle, Australia, August 9, 2017.
- 133. "The CSS Outreach Program: Providing Community Service," invited breakout session presentation, Brilliant minds: bright futures, IEEE Sections Congress 2017, Sydney, Australia, August 13, 2017.
- 134. "Behavioral interventions: what does control engineering have to offer?", IEEE Fort Huachuca Section, October 19, 2017.
- 135. "Behavioral interventions: what does control engineering have to offer?", IEEE Tucson Section, October 19, 2017.
- 136. Faculty speaker at the Western Alliance to Expand Student Opportunities (WAESO) Student Research Conference, March 2, 2018.
- 137. "Translating behavior change theories into models for adaptive mHealth interventions," MD2K 2018 mHealth Training Institute, UCLA, July 30, 2018.
- 138. "Optimized personalized behavioral interventions using system identification and control: two illustrative examples," SAMSI Opening Workshop for Program on Statistical, Mathematical, and Computational Methods for Precision Medicine (PMED), NC State University, Raleigh, NC, August 16, 2018.
- 139. Faculty speaker at SEMTE transfer session, October 26, 2018.

- 140. "Optimization of Behavioral Interventions An Illustrative Example of an Adaptive Intervention," (with D. Symons Downs and J. Savage Williams), Society of Behavioral Medicine Webinar Series: Behavior Change Grand Rounds, December 13, 2018.
- 141. Faculty speaker at SEMTE transfer session, April 12, 2019.
- 142. "Using control systems engineering to optimize adaptive mobile health interventions," NIH Office of Disease Prevention "Mind the Gap" seminar, with Eric Hekler, June 4, 2019.
- 143. "El uso de la ingeniería de control y automatización para optimizer intervenciones de comportamiento," seminar at the Escuela Superior Politécnica del Litoral (ESPOL), Guayaquil, Ecuador, Facultad de Ingeniería en Electricidad y Computación (FIEC), June 21, 2019.
- 144. "Optimizing behavioral mHealth interventions: what does control systems engineering have to offer?" MD2K 2019 mHealth Training Institute, UCLA, July 31, 2019 *keynote talk*.
- 145. Faculty speaker at SEMTE transfer session, November 7, 2019.
- 146. "Optimizing behavioral mHealth interventions: what does control systems engineering have to offer?" MD2K webinar series, December 5, 2019.
- 147. Faculty speaker at the Western Alliance to Expand Student Opportunities (WAESO) Student Research Conference, March 3, 2020.
- 148. "Integrating Systems and Sectors Toward Obesity Solutions: Part 1," (moderator), National Academies of Science, Engineering, and Medicine, April 6, 2020.
- 149. "Using Systems Applications to Inform Obesity Solutions," (reflections), National Academies of Science, Engineering, and Medicine, September 16, 2020.
- 150. "Optimizing behavioral mHealth interventions using control systems engineering: the Control Optimization Trial (COT)," NIH Office of Disease Prevention "Mind the Gap" webinar, Sept. 30, 2020.
- 151. "Algunos problemas de identificación de sistemas asociados con intervenciones de comportamiento," Conferencias "Hablemos De," ESPOL, Guayaquil, Ecuador, January 8, 2021.
- 152. "Teaching system identification to chemical engineers," David Himmelblau Award webinar, CAST webinar series, February 25, 2021.
- 153. "Use of the Theory of Planned Behavior (TPB) in a Gestational Weight Gain (GWG) Intervention," 2021 Mathematical Sciences in Obesity Research Workshop, Indiana University School of Public Health, July 31, 2021.
- 154. "Use of the Theory of Planned Behavior (TPB) in a Gestational Weight Gain (GWG) Intervention," 2022 Mathematical Sciences in Obesity Research Workshop, Indiana University School of Public Health, June 24, 2022.

# **Research Grants**

# **Sponsored Research**

# **External Awards**

<u>Monetary Contributions</u>: Total external support of \$15,831,887, with investigator share corresponding to \$5,299,963. (\* denotes an ASU Foundation account)

	Date	ORCA	Proposal Title	Sponsor	Sole, Main	Total	% D.s.s
1	5/15/91-	# EE5-	Identification and Robustness	Honeywell	PI or Co-PI Main PI	Amount \$21,878	Rec. 50%
	8/15/91	1003	Issues in Horizon Predictive Control with Application to a Distillation Column	Foundation			
2	9/1/91- 8/31/93	ACA- 2369	System identification for process control: control-relevant identification	National Science Foundation	Sole PI	\$63,200	100%
3	8/1/91- 5/31/94	703- 1045*	Honeywell Graduate Fellowships	Honeywell Foundation	Co-PI	\$150,000	50%
4	8/1/91- 12/31/95	703- 1075*	Unrestricted grants for system identification research	Shell Development Co.	Sole PI	\$55,000	100%
5	1/1/92- 12/31/92	703- 1037*	1992 Program Funds-Control Systems Engineering Laboratory	Honeywell Industrial Automation and Control	Main PI	\$85,000	50%
6	1/1/93- 12/31/93	703- 1037*	1993 Year Program Funds- Control Systems Engineering Laboratory	Honeywell Industrial Automation and Control	Main PI	\$100,000	50%
7	5/15/93- 6/30/98	ACT- 4505	Control Systems Engineering Laboratory	DuPont Educational Aid Program	Sole PI	\$54,000	100%
8	12/14/93	703- 1045*	Honeywell Graduate Fellowships	Honeywell Foundation	Co-PI	\$30,000	50%
9	4/13/94	703- 1037*	1994 Program Funds-Control Systems Engineering Laboratory	Honeywell Industrial Automation and Control	Main PI	\$100,000	50%
10	5/24/94	703- 1045*	Honeywell Graduate Fellowships	Honeywell Foundation	Co-PI	\$20,000	50%
11	12/15/94- 12/31/97	DWT - 4599	A Control-Theoretic Approach to Scheduling of Semiconductor Fabrication Processess	Intel Research Council	Co-PI	\$111,000	50%
12	7/12/95	703- 1037*	1995 Program Funds-Control Systems Engineering Laboratory	Honeywell Industrial Automation and Control	Main PI	\$100,000	50%
13	2/1/96- 5/31/96	ACT- 0001	Benefits of Advanced Process Control Methods in Semiconductor Manufacturing	Motorola Semi- Conductor Products Sector	Co-PI	\$15,732	50%

# Research Grants Sponsored Research - External Awards

	Date	ORCA #	Proposal Title	Sponsor	Sole, Main PI or Co-PI	Total Amount	% Rec.
14	5/15/96- 5/15/97	GFT- 4652	Improved Operation of the SO <sub>2</sub> Scrubbers at the Coronado Generating Station	ASU-SRP Joint Program	Sole PI	\$15,467	100%
15	5/16/97- 12/31/98	ACV- 8256	Fellowship for Srinivas Adusumilli	Honeywell Technology Center	Sole PI	\$16,200	100%
16	7/1/99 6/30/01	ACT- 0027	Control Systems Engineering Laboratory	DuPont Educational Aid Program	Sole PI	\$13,000	100%
17	7/16/00- 8/31/01	GAA- 0001	Designing and Managing Dynamic Supply Chains Using Model-On-Demand Predictive Control	National Science Foundation	Co-PI	\$100,000	40%
18	4/1/01- 12/31/02	XST- 0051	A Modular, Scalable Approach to Modeling and Analysis of Semiconductor Supply Networks	Intel Research Council	Co-PI	\$150,000	14%
19	3/1/02- 8/31/05	ACT- 0058	Constrained Multisine Inputs for Plant-Friendly Identification of Chemical Processes	American Chemical Society - Petroleum Research Fund	Main PI	\$120,000	60%
20	7/1/02- 12/31/04	ACT- 0069	Supply Chain Management Research Using Process Control Approaches	Intel Research Council	Sole PI	\$150,000	100%
21	7/1/03- 12/31/04	AC9- 1003	Honeywell support for the Control Systems Engineering Laboratory	Honeywell International Foundation	Sole PI	\$25,000	100%
22	6/1/04- 8/31/06	ACS- 0013	Control Engineering Approaches to Adaptive, Time-Varying Interventions in Drug Abuse Prevention	National Institutes of Health - NIDA	Sole PI	\$97,500	100%
23	10/1/04- 3/31/06	ACS- 0018	GOALI: Process Control Approaches to Supply Chain Management in Semiconductor Manufacturing	National Science Foundation	Main PI	\$120,000	44%
24	11/1/04- 10/31/07	ACS- 0034	Improving Short-term Demand Forecasting in Supply Chain Management	Intel Research Council	Sole PI	\$150,000	100%
25	3/1/05 – 2/28/10	KMS- 0008	Phase II: Minority Graduate Education @ Mountain States Alliance (MGE@MSA)	National Science Foundation	Co-PI	\$9,000,000	5%
26	3/24/05- 9/30/07	ACS- 0040	REU: GOALI: Process Control Approaches to Supply Chain Management in Semiconductor Manufacturing	National Science Foundation	Sole PI	\$6,000	100%
27	9/1/06- 9/30/07	ACS- 0103	IREE: GOALI: Process Control Approaches to Supply Chain Management in Semiconductor Manufacturing	National Science Foundation	Sole PI	\$17,000	100%

# Research Grants Sponsored Research - External Awards

	Date	ORCA #	Proposal Title	Sponsor	Sole, Main PI or Co-PI	Total Amount	% Rec.
28	9/30/07- 8/31/12	ACS- 0120	K25 Mentored Quantitative Research Career Development Award: Control Engineering Approaches to Adaptive Interventions for Fighting Drug Abuse	National Institutes of Health – OBSSR and NIDA	Sole PI	\$875,000	100%
29	9/26/07- 7/31/11	ACS- 0133	R21 Facilitating Interdisciplinary Research via Methodological and Technological Innovation in the Behavioral and Social Sciences: Dynamical Systems and Related Approaches to Improving Behavioral Interventions	National Institutes of Health – OBSSR and NIDA	Co-PI (with L.M. Collins of Penn State University)	\$1,133,000	45%
30	1/1/13- 12/31/14	ACS- 0232, 0246	F31: Optimizing Smoking Interventions with Dynamic Modeling and Control Engineering	National Institutes of Health - NIDA	Sole PI	\$66,033	100%
31	8/15/13- 4/30/19	ACS- 0241, 0299	A Control Systems Approach to Optimized Interventions for Gestational Weight Gain	Penn State University (NIH-NHLBI prime)	Sole PI	\$477,085	100%
32	8/1/14- 7/31/16	NTS- 0039	EAGER: Defining a Dynamical Behavioral Model to Support a Just-in-Time Adaptive Intervention	National Science Foundation	Co-PI (with E. Hekler)	\$287,544	45%
33	9/15/15- 8/31/18	ACS- 0280	Using Dynamical Systems Modeling to Understand the Effects of an Individually- Tailored Prenatal Weight Gain Intervention	Penn State University (NIH-NHLBI prime)	Sole PI	\$79,233	100%
34	9/19/18- 8/31/22		U01CA229445: Operationalizing Behavioral Theory for mHealth: Dynamics, Context, and Personalization	National Institutes of Health/ National Cancer Institute	Consortium PI (PIs P. Klasnja, B. Marlin, and D. Spruitz- Metz)	\$2,028,000	15%
35	3/6/2019- 2/28/2023		R01LM013107 SCH: Control Systems Engineering for Counteracting Notification Fatigue: An Examination of Health Behavior Change	National Institutes of Health/ National Library of Medicine	MPI (with Eric Hekler and Predrag Klasnja)	\$377,000 (ASU Share)	100%
36	7/14/2020 - 6/30/2025		R01CA244777 Optimizing Individualized and Adaptive mHealth Interventions via Control Systems Engineering Methods	National Institutes of Health/ National Cancer Institute	MPI (with Eric Hekler)	\$820,000 (ASU Share)	100%

## In-kind Contributions (equipment, software, and service donations)

- 1. Computing Equipment Lease, ET7-1003, Digital Equipment Corporation, July 1, 1991 June 30, 1993, in-kind contribution. Total equipment cost: \$459,204.
- 2. Research Equipment (Two 90 MHz Pentium PC's), (with K. Tsakalis), \$19,094.00, Intel Corporation, May 10, 1995.
- 3. Process Dynamics, Control, and Scheduling Research and Education. Intel Equipment Donation (five 600 Mhz Pentium III Dell computers), \$17,430, October 1999.
- 4. Microsoft Software Donation (accompanying Intel Dell Donation): Windows NT Workstation, MS Office 2000, and Visual Studio, \$9,985, October 1999.
- 5. PI system Donation, OSIsoft, \$30,000, August, 2001.
- 6. Donations and discounts of equipment and services provided by Honeywell Industrial Automation and Control in support of the undergraduate process control laboratory. Since the original TDC3000 donation in 1990 (valued at over \$1M), there have been two major hardware upgrades to the platform (in 2000 and 2003, estimated cost: \$265,000); software upgrades have occurred almost yearly since 1990.
- 7. Research Equipment (Four 3.2 Mhz Dell Pentium PCs; with H. Sarjoughian, CSE), Intel Corporation, \$5,983.00, November 2004.

#### **Internal Grants**

- 1. "A control-relevant methodology for closed-loop identification," ACR-B709, ASU Faculty Grant-In-Aid Program, January 1 December 31, 1991, \$5,000.
- 2. "Understanding Engineering Systems Via a Brine-Water Mixing Tank Experiment," ASU Center for Research on Education in Science, Mathematics, Engineering, and Technology (CRESMET), \$6,000, February 1 June 30, 1999.
- 3. "Enterprise Systems and Supply Chain Dynamics Education for Chemical Engineering Undergraduates," ASU Center for Research on Education in Science, Mathematics, Engineering, and Technology (CRESMET), \$10,000, February 15, 2001 August 15, 2001.
- 4. "A Control-Oriented Framework for Strategic Analysis in Supply Chain Management," (with M. Carlyle, C. Kirkwood, H. Mittelmann, and D.L. Shunk), ASU Institute for Manufacturing Enterprise Systems (IMES) Seed Funding Initiative, \$65,328.
- 5. "Computing Infrastructure Funds," Ira A. Fulton School of Engineering, Nov. 2004, \$11,600.
- 6. "ERC 275 Office and 522 Lab Move Computer Equipment Funds," Ira A. Fulton School of Engineering, summer, 2007, \$18,000.

# **Student Theses and Dissertations Supervised**

### **Masters Theses Supervised**

- 1. Emrod Elisante, "Robustness and identification issues in Horizon Predictive Control with Application to a Binary Distillation Column," M.S., May, 1992.
- 2. Sujit V. Gaikwad, "Advanced process control for distributed control systems via reduced-order controllers," M.S., May, 1993.
- 3. Manoj K. Shetty, "Development of an Instructional Control Systems Engineering Lab Using the TDC 3000 System," M.S., December, 1993.
- 4. Saurabh Bhatnagar, Thesis title: "Iterative Restricted Complexity Modeling via Closed-Loop Identification" M.S., December, 1993.
- 5. Abhijit P. Desai, "Towards the Development of Intelligent Process Control Strategies," M.S., December 1994.
- 6. Xiangqin Chen, "Experimental Design for Robust Process Control Using Schroeder-Phased Input Signals," M.S., May, 1995.
- 7. Wei-Ming Ling, "Modeling Strategies for Model-Based Process Control," M.S.E., May, 1996.
- 8. Shan Zong, "Minimal Crest Factor Input Signal Design and Frequency-Domain Curvefitting for Control-Relevant Identification," M.S., December 1997.
- 9. Raul Ortiz-Mojica, "Minimum Crest Factor Input Design for Plant-Friendly Identification of Process Systems," M.S., August 2000.
- 10. Martin W. Braun, "Model-on-Demand Model Predictive Control for Nonlinear Process Systems," M.S.E., May, 2001.
- 11. Wenlin Wang, "Model Predictive Control Strategies for Supply Chain Management in Semiconductor Manufacturing." M.S.E, December, 2004.
- 12. Jay D. Schwartz, "Stochastic Optimization and System Identification for Next-Generation Supply Chain Management," M.S.E., May, 2007.
- 13. Sunil Deshpande, "A Control Engineering Approach For Designing An Optimized Treatment Plan for Fibromyalgia," M.S., Electrical Engineering, May 2011.
- 14. Gustavo Seixas, "A System Identification Approach to Dynamically Modeling and Understanding Physical Activity Behaviors," M.S., Chemical Engineering, December, 2016.
- 15. Andre Brewer, "Surface Plasmon-Polariton Enhanced Lasing: Numerical Studies," (M. Sukharev, co-chair), M.S., Chemical Engineering, May, 2017.
- 16. Tarunima Mandal, "Regularized Identification of Dynamic Models for the Personalization of a Physical Activity Intervention," July 13, 2020.

## **Masters Applied Projects Supervised**

- 1. Michael Procopio, "Semi-physical modeling of Just Walk: a behavioral mHealth intervention," M.S., Chemical Engineering, May 2018 (chair).
- 2. José Angel Valero, "Application of nonlinear Internal Model Control to the COVID-19 pandemic," M.S., Chemical Engineering, May 2021 (chair).
- 3. Jaime García, "Control of COVID-19: SIR modeling enhanced with SCT dynamics," M.S., Chemical Engineering, May 2022 (chair).

### **Doctoral Dissertations Supervised**

- 1. Kyoung-Shik Jun, "Control-Relevant Identification Methodology with Application to a High-Purity Distillation Column." Ph.D., August, 1995.
- 2. Sujit V. Gaikwad, "Conrol Relevant Identification of Multivariate Systems in the Chemical Process Industries," Ph.D., December 1996.
- 3. Wei-Ming Ling, "Control-oriented modeling of nonlinear process systems," Ph.D., December 1997.
- 4. Felipe Vargas-Villamil, "Hierarchical Production Optimization and Inventory Control of Semiconductor Reentrant Manufacturing Lines," Ph.D., May 1999.
- 5. Srinivas Adusumilli, "Novel Methodologies for Integrated Identification and Robust Process Control," Ph.D. December 1999.
- 6. Martin W. Braun, "Model-on-Demand Nonlinear Estimation and Model Predictive Control: Novel Methodologies for Process Control and Supply Chain Management," Ph.D., December 2001.
- 7. Wenlin Wang, "Model Predictive Control strategies for supply chain management in semiconductor manufacturing." Ph.D., August, 2006.
- 8. Hyunjin Lee, "A plant-friendly multivariable system identification framework based on identification test monitoring," Ph.D., December 2006.
- 9. Kevin Roche, "Capacity planning and decision support methodologies in healthcare: a queueing and control-theory based approach," Ph.D., Industrial Engineering (co-chaired with Jeffrey Cochran), August, 2008.
- 10. Jay D. Schwartz, "Next generation supply chain management: control, optimization, and system identification, "Ph.D., December 2008.
- 11. Richard N. Steenis, "Plant-friendly input design for system identification and robust control performance," Ph.D. (Electrical Engineering), December 2009.
- 12. Sunil Deshpande, Ph.D., Electrical Engineering, "Novel system identification and control methodologies for optimized behavioral interventions," May 2014.

- 13. Yuwen (Shirley) Dong, Ph.D., Chemical Engineering, "Modeling and control of adaptive interventions for reducing excessive gestational weight gain" December 2014.
- 14. Kevin P. Timms, Ph.D., Biological Design, "Dynamic modeling and control of smoking cessation interventions," December 2014.
- 15. César A. Martin-Moreno, Ph.D., Electrical Engineering, "A system identification and control engineering approach for optimizing mHealth behavioral interventions based on Social Cognitive Theory," August 2016.
- 16. Penghong Guo, Ph.D., Chemical Engineering, "System identification, state estimation, and control approaches to gestational weight gain interventions," December 2018.

## **Postdoctoral Research Associates Supervised**

- 1. Kyoung-Shik Jun (Ph.D., Arizona State University), control engineering approaches to semiconductor manufacturing, 1995 1997.
- 2. Jun-Hyung Ryu (Ph.D., Imperial College), optimization and control of supply chain management systems in semiconductor manufacturing, 2002 2004.
- 3. Jesús Emeterio Navarro Barrientos (Ph.D., Humboldt University, Berlin), simulation and dynamic modeling of interventions for behavioral health, 2008 2010.
- 4. Naresh Nandola (Ph.D., IIT-Bombay), development of Model Predictive Control strategies for adaptive behavioral interventions, 2008 2010.
- 5. Penghong Guo, (Ph.D., ASU), control approaches to gestational weight gain interventions, spring to summer, 2019.
- 6. Owais Khan (Ph.D., Pakistan Institute of Engineering and Applied Sciences), control engineering approaches to physical activity interventions, October 2021 to current.

#### **Professional and Scientific Service**

## **Membership in Professional Societies**

Fellow, American Institute of Chemical Engineers (AIChE); Fellow, Society of Behavioral Medicine (SBM); Senior Member, Institute of Electrical and Electronic Engineers (IEEE);

#### **Conference Activities**

- 1. Organizer and co-chairman, session on "System Identification for Control System Design", 1990 American Control Conference, San Diego, May 1990.
- 2. Panel member, session on "Dynamic Process Simulation, Modeling and Identification," Fourth International Conference on Chemical Process Control, South Padre Island, Texas, February 17-22, 1991.
- 3. Organizer and co-chairman, session on "Modeling and Identification for Robust Process Control" at the 1991 American Control Conference, Boston, June 1991.
- 4. Organizer and chairman, session on "Robust Process Control" at the 1992 American Control Conference, Chicago, June 1992.
- 5. Organizer and chairman, session on "Modeling and Identification for Control" at the 1992 AIChE Annual Meeting, Miami Beach, November, 1992.
- 6. Chairman, session on "Identification-V" at the 1993 American Control Conference, San Francisco, June, 1993.
- 7. Organizer and chairman, session on "Nonlinear Process Control", 1993 AIChE Annual Meeting, St. Louis, November, 1993.
- 8. Chaired Student Paper Session at the IEEE/IFAC Joint Symposium on Computer-Aided Control System Design '94, March 8, 1994.
- 9. Chaired Session FA-10, "Fault Detection and Isolation" at the 1994 American Control Conference, July 1, 1994.
- Moderator for Gordon Research Conference on Statistics in Chemistry and Chemical Engineering, topic: "System identification: modeling and parameter estimation from a control perspective," August 1, 1994.
- 11. Co-chaired session on "Advanced Techniques for Industrial Applications," 1996 IFAC World Congress, San Francisco, July 1, 1996.
- 12. Chaired session "Chemical Processes" at the 1997 IFAC Symposium on System Identification (SYSID'97), Fukuoka, Japan, July 8-11, 1997
- 13. Organized and chaired invited session for the 1998 American Control Conference, Philadelphia, "Plant-friendly control-relevant identification for the process industries," June 24, 1998.

- 14. Co-chaired session in honor of W. David Smith, 1999 AIChE Annual Meeting, Dallas, November 3, 1999.
- 15. Registration Chair and member, International Program Committee, IFAC Symposium on System Identification (SYSID 2000), Santa Barbara, CA, June 21-23, 2000.
- 16. Organized and co-chaired session for SYSID 2000, Santa Barbara, CA, "Education and training in system identification," June 22, 2000.
- 17. Organized and co-chaired session for SYSID 2000, Santa Barbara, CA, "Input Sequences in Linear and Nonlinear Identification," June 23, 2000.
- 18. Panel Member, "Academic versus Corporate Research Environments", Minority CHE Faculty 2001+: A Workshop to Develop Minority Leaders in the ChE Academy, NSF headquarters, March 4-6, 2001, Arlington, VA.
- 19. Session Organizer and Chair, Session 10B12, Integration Between Scheduling, Planning and Control (joint with 10c), AIChE Annual Meeting, Reno, NV, November 2001.
- 20. Member, International Program Committee, IASTED Conference on Intelligent Systems and Control, held in Tsukuba, Japan, October 1-4, 2002.
- 21. Member, Technical Program Committee, 2003 American Control Conference held in Denver, Colorado June 4-6, 2003.
- 22. Session Chair for 2003 American Control Conference, Denver, "Control of Industrial Processes."
- 23. Member, International Program Committee, IFAC Symposium on System Identification (SYSID 2003), held in Rotterdam, The Netherlands, August 27-29, 2003.
- 24. Organizer and session co-chair for SYSID 2003, Rotterdam, The Netherlands, "Experimental Modeling for Process Control: Input Signal Design Considerations," August 28, 2003.
- 25. Organizer and session co-chair for SYSID 2003, Rotterdam, The Netherlands, "Education and training in system identification," August 29, 2003.
- 26. Organizer and session chair for tutorial session on Control-Oriented Approaches to Supply Chain Management in Semiconductor Manufacturing, 2004 American Control Conference, Boston, MA, July 2, 2004.
- 27. Session Chair for 2005 American Control Conference, Portland, "Modeling and Identification for Process Control"
- 28. Associate Editor for Interactive Papers, 2005 IEEE Conference on Decision and Control, Seville, Spain, December 2005.
- 29. Session Chair for 2005 IEEE Conference on Decision and Control and European Control Conference, Seville, Spain, "Control Education II," December 13, 2005.

- 30. Member, International Program Committee, 14<sup>th</sup> IFAC Symposium on System Identification (SYSID 2006), Newcastle, Australia, March 29- 31, 2006.
- 31. Session co-chair for the IFAC Symposium on System Identification (SYSID 2006), "Identification for control," Newcastle, Australia, March 29, 2006.
- 32. Session chair for the IFAC Symposium on System Identification (SYSID 2006), "Input and perturbation signal design for system identification I" Newcastle, Australia, March 31, 2006.
- 33. Co-organizer, two sessions for the IFAC Symposium on System Identification (SYSID 2006), "Input and perturbation signal design for system identification I and II" Newcastle, Australia, March 31, 2006.
- 34. Member, International Program Committee, IFAC Symposium on Advances in Control Education (ACE 2006), Madrid, Spain, June 21 23, 2006.
- 35. Session chair, IFAC Symposium on Advances in Control Education (ACE 2006), "Process Control Education II," June 23, 2006.
- 36. Member, International Program Committee, 2007 IEEE Conference on Decision and Control, New Orleans, LA, December 12 14, 2007.
- 37. Session Chair for the 2008 American Control Conference, Seattle, Washington, "System Identification I," June 11, 2008.
- 38. Member, International Program Committee, 2008 IEEE Multi-conference on Systems and Control, San Antonio, TX, Sept. 3 5, 2008.
- 39. Member, International Program Committee, 2008 IEEE Conference on Decision and Control, Cancún, Mexico, December 9 11, 2008.
- 40. Co-organizer, invited session for the 2008 IEEE Conference on Decision and Control, Cancún, Mexico, "Advances in LPV System Identification: Methods and Applications," Dec. 11, 2008.
- 41. Co-organizer and chair, special session for the 2009 American Control Conference, St. Louis, MO, "Control engineering and related systems approaches for improving behavioral health," June 11, 2009.
- 42. Member, International Program Committee, 15<sup>th</sup> IFAC Symposium on System Identification (SYSID 2009), Saint-Malo, France, July 6 8, 2009.
- 43. Session chair for the 15<sup>th</sup> IFAC Symposium on System Identification (SYSID 2009), "Applications I" St. Malo, France, July 7, 2009.
- 44. Member, International Program Committee, 18<sup>th</sup> IEEE International Conference on Control Applications (IEEE CCA 2009), St. Petersburg, Russia, July 8 10, 2009.
- 45. Member, International Program Committee, 8<sup>th</sup> IFAC Symposium on Advances in Control Education (ACE 2009), Kumamoto, Japan, October 21 23, 2009.

- 46. Member, International Program Committee, UK Automatic Control Council (UKACC) International Conference on Control (CONTROL 2010), Coventry, United Kingdom, September 7-10, 2010.
- 47. Session co-chair for the 2010 American Control Conference, "Model Predictive Control III", Baltimore, MD, July 2, 2010.
- 48. Co-organizer and co-chair, invited session for the 2010 IEEE Conference on Decision and Control, Atlanta, GA, "System identification in biology and medicine," December 16, 2010.
- 49. Session chair for the 18<sup>th</sup> IFAC World Congress, Milan, Italy. Session: "Process Control Applications I," Aug. 31, 2011.
- 50. Session co-organizer (with B. Pasik-Duncan) for the 18<sup>th</sup> IFAC World Congress, Milan, Italy. Session: "Advanced Concepts for Modeling and Teaching Complex Control Systems," Aug. 30, 2011.
- 51. Session chair for the 2011 Annual AIChE Meeting, "Process Modeling and Identification," Minneapolis, MN, October 20, 2011.
- 52. Session co-organizer (with D. Regruto and F. Dabbene), 50<sup>th</sup> IEEE Conference on Decision and Control, Orlando, FL, Session: "Convex Relaxation Techniques in System Identification," Dec. 13, 2011.
- 53. Member, International Program Committee, 12<sup>th</sup> IFAC Symposium on Advances in PID Control (PID'12), Brescia, Italy, March 28 30, 2012.
- 54. Member, International Program Committee, 9<sup>th</sup> IFAC Symposium on Advances in Control Education (ACE 2012), Nizhny Novgorod, June 19 21, 2012.
- 55. Member, International Program Committee, 16<sup>th</sup> IFAC Symposium on System Identification (SYSID 2012), Brussels, Belgium, July 11 13, 2012.
- 56. Member, International Program Committee, 51<sup>st</sup> IEEE Conference on Decision and Control, Maui, Hawaii, December 10-13, 2012.
- 57. Invited session organizer and chair, 2012 ACC, Montreal, Canada.
- 58. Member, International Program Committee, 2013 American Control Conference, Washington, DC, June 17 19, 2013.
- 59. Special session organizer, 2013 ACC.
- 60. Invited session organizer and chair, 2013 ACC.
- 61. Finance Chair and member of the Operating Committee, 2014 American Control Conference, Portland, Oregon, June 4-6, 2014.
- 62. Member, International Program Committee, 17<sup>th</sup> IFAC Symposium on System Identification (SYSID 2015), Beijing, China, Oct. 19 21, 2015.

- 63. Member, International Program Committee, 55<sup>th</sup> IEEE Conference on Decision and Control, Las Vegas, NV, Dec. 12-14, 2016.
- 64. Vice Chair for Invited Sessions, 2016 American Control Conference, Boston, MA, July 6-8, 2016.
- 65. Member, International Program Committee, 22<sup>nd</sup> IEEE International Conference on Emerging Technologies and Factory Automation (ETFA 2017), Limassol, Cyprus, Sept. 12-15, 2017.
- 66. Member, International Program Committee, 56<sup>th</sup> IEEE Conference on Decision and Control, Melbourne, Australia, Dec. 12-15, 2017.
- 67. Member, International Program Committee, 2018 American Control Conference, Milwaukee, WI, June 27-29, 2018.
- 68. Member, International Program Committee, 3<sup>rd</sup> IFAC Conference on Advances in Proportional-Integral-Derivative Control (PID 2018), Ghent, Belgium, May 9-11, 2018
- 69. Associate Editor-at-Large, European Control Conference 2018, Limassol, Cyprus, June 12-15, 2018.
- 70. Member, International Program Committee, 18<sup>th</sup> IFAC Symposium on System Identification (SYSID 2018), Stockholm, Sweden, July 9 11, 2018.
- 71. Session Chair, MoA05: Mechanical and Energy Systems, 18<sup>th</sup> IFAC Symposium on System Identification (SYSID 2018), Stockholm, Sweden, July 9 11, 2018.
- 72. Member, International Program Committee, 12<sup>th</sup> Advances in Control Education (ACE 2019), Philadelphia, PA, July 7 9, 2019.
- 73. Member, International Program Committee, 24<sup>th</sup> IEEE International Conference on Emerging Technologies and Factory Automation (ETFA 2019), Zaragoza, Spain, Sept. 10-13, 2019.
- 74. Associate Editor, 1.1 Systems and Signals Modeling, Identification, and Signal Processing, IFAC 2020 World Congress, Berlin, Germany, July 12-17, 2020.
- 75. Member, International Program Committee, 19<sup>th</sup> IFAC Symposium on System Identification (SYSID 2021), Padova, Italy, July 14-16, 2021.
- 76. Planning Committee Member, Integrating Systems and Sectors Toward Obesity Solutions: A Virtual Workshop, Roundtable on Obesity Solutions, Health and Medicine Division, National Academies of Science, Engineering, and Medicine, April 6 (part 1) and June 30 (part 2), 2020.
- 77. Planning Committee Member, Using Systems Applications to Inform Obesity Solutions, Roundtable on Obesity Solutions, Health and Medicine Division, National Academies of Science, Engineering, and Medicine, September 16, 2020.

#### Journal Referee and Editorial Service

AICHE Journal, IEEE Transactions on Automatic Control, IEEE Transactions on Control Systems Technology, Automatica, Chemical Engineering Science, International Journal of Control, I&EC Research, Computers and Chemical Engineering, Optimal Control: Applications and Methods, Journal of Process Control

Reviewed papers for numerous American Control, Decision and Control, and IFAC conferences and symposia since 1990.

Associate Editor, IEEE Control Systems Magazine (2003 – 2007)

Guest Editor (with S. Fassois, Univ. of Patras), Special Section on Applications of System Identification, *IEEE Control Systems Magazine*, October, 2007.

Associate Editor, *IEEE Transactions in Control Systems Technology* (2003 – 2010)

Guest Editor (with M. Lovera, C. Novara, and P.L. dos Santos), Special Issue on Applied LPV Modeling and Identification, *IEEE Transactions on Control Systems Technology*, January 2011.

Guest Editor (with D. Regruto and F. Dabbene), Special Issue on Relaxation Methods in Identification and Estimation Problems, *IEEE Transactions on Automatic Control*, November 2014.

Guest Editor (with G. Mercere, B. Jayawardhana, A. Medvedev, and C. Scoglio), Special Issue on System Identification and Control in Biomedical Applications, *IEEE Transactions on Control Systems Technology*. Publication date: 2019.

Guest Editor (with G. Giordano, D. Bates, P. Palumbo, and Philip E. Paré), Special Issue on Control-Theoretic Approaches for Systems in the Life Sciences, *International Journal of Robust and Nonlinear Control*. Submissions due: April 30, 2021; anticipated publication: 2022.

## **Proposal Reviewer Service**

Reviewed proposals for the National Science Foundation - Chemical Reaction Processes Program, the Division of International Programs, and the Division of Design, Manufacture, and Industrial Innovation; have also reviewed proposals for the National Research Council of Canada and the South African Foundation for Research Development.

Panel member for NSF Research Experience for Undergraduates Sites program, Fall 1995.

Panel member for NSF Information Technology Research Program, April, 2001; external reviewer, May, 2004.

Panel member for NIH National Heart, Lung, and Blood Institute, "Translating Basic Behavioral and Social Science Discoveries into Interventions to Reduce Obesity: Centers for Behavioral Intervention Development", June 1-2, 2009.

#### Other

Member, International Federation on Automatic Control (IFAC) Technical Committee on Modeling, Identification, and Signal Processing (October 2003 – present).

Deputy Chair, IEEE Technical Committee on System Identification and Adaptive Control (May 2006 – June 2007).

Chair, IEEE Technical Committee on System Identification and Adaptive Control, July 2007 – Dec. 2012.

Member, Working Group on Benchmark Problem Papers, Technical Committee on Modeling, Identification, and Signal Processing (July 2009– present).

Member, Steering Committee, IEEE Technical Committee on Industrial Process Control (May 2010 – present).

Member, Senior Member Review Panel, IEEE Admission and Advancement Committee, Phoenix, Arizona, February 18, 2012.

Founder and Chair, IEEE Technical Committee on Medical and Healthcare Systems, 2012 – 2014.

IEEE Control Systems Society Outreach Task Force Chair, 2014 – current.

Member, Senior Member Application Review Panel, IEEE Admission and Advancement Committee, Arizona Biltmore Hotel, Nov. 18, 2017.

#### **Short Course Development and Instruction**

- 1. "An overview of distillation column control," presented at Icotron, Phoenix, June 11, 1991.
- 2. "Process identification for control system design," Instrument Society of America International Conference and Exhibit, Anaheim, CA, Oct. 30, 1991.
- 3. "Model-based tuning of PID and PID-like controllers," Instrument Society of America International Conference and Exhibit, Anaheim, CA, Oct. 30, 1991.
- 4. "System Identification for Process Control," short course offered to Honeywell Industrial Automation and Control, Phoenix, Arizona, June 20-24, 1994.
- 5. "PID Tuning from Plant Data Using Control-Relevant Models," tutorial seminar presented as part of the SEMATECH AEC/APC Workshop, New Orleans, LA, November 5, 1995.
- 6. "PID Tuning via Internal Model Control: A Modern Approach," short course offered to Mitsubishi Chemical, Mizushima, Japan, July 23, 1996.

- 7. "Control Methods for Process Applications," short course taught at Linköpings universitet Tekniska Högskolan Institutionen för Systemteknik Reglerteknik. Linköping, Sweden, Session One: Introduction to Internal Model Control, September 25, 1996, Session Two: Multivariable Control Issues, September 26, 1996, Session Three: Intro to Model Predictive Control, October 10, 1996, Session Four: Model Predictive Control Case Studies, October 11, 1996.
- 8. "System Identification for Process Control," short course for Honeywell IAC engineers, Phoenix, February 3, 5, and 19, 1997.
- 9. "Control Methods for Process Applications," short course for Honeywell Technology Center, Minneapolis, February 26-27, 1997
- 10. "Sintonización de controladores PID a partir de datos de planta utilizando modelos de control relevantes," (PID controller tuning from plant data using control-relevant models), workshop presented as part of the 2nd Congress of the Colombian Society for Automatic Control, Bucaramanga, Colombia, March 22, 1997.
- 11. "System Identification for the Process Industries: A Short Course," short course taught at Honeywell Technology Center, Minneapolis, May 12-14, 1997.
- 12. "System Identification for the Process Industries: A Short Course," short course taught at Mitsubishi Chemical, Mizushima, Japan, July 1-4, 1997.
- 13. "System Identification for the Process Industries: A Short Course," short course taught at ECOPETROL, Cartagena Refinery, Colombia, March 16-18, 1998.
- 14. Teaching activities with the Faculty of Technology at UKIM (University "St. Cyril and Methodius") in Skojpe, Macedonia, May 20-22, 1998. Course: "Control Methods for Process Applications"
- 15. "System Identification for the Process Industries: A Short Course," short course taught at Mitsubishi Chemicals, Kashima Plant, Japan, July 21-24, 1998.
- 16. "Principles of System Identification," short course for industry taught at the Center for Professional Development, Arizona State University campus, January 11-15, 1999.
- 17. "System Identification for the Process Industries: A Short Course," short course taught at REPSOL-YPF, Madrid, Spain, November 19-23, 2001.
- 18. "Principles of System Identification," short course taught at the Department of Chemical and Petroleum Engineering, University of Calgary, AB, Canada, October 16-18, 2002.
- 19. "Identificación de Sistemas para Aplicaciones en el Control de Procesos," (System Identification for Process Applications), presented at the CEA-IFAC (Spanish Automatic Control Council) Summer School, Playa de Calpe, Alicante, Spain, May 31 June 4, 2004; *also listed as an invited talk*.
- 20. "Principles of System Identification," short course taught at the Department of Chemical and Petroleum Engineering, University of Calgary, AB, Canada, September 30 October 2, 2004.

- 21. "Principios de la Identificación de Sistemas," (Principles of System Identification), short course presented at the Spanish National Distance Learning University (Universidad Nacional de Educación a Distancia -UNED), Madrid, Spain, October 10-14, 2005.
- 22. "Principles of System Identification," short course taught at the Department of Chemical and Petroleum Engineering, University of Calgary, AB, Canada, October 19–21, 2006.
- 23. "Principios de la Identificación de Sistemas," (Principles of System Identification), short course presented at the Spanish National Distance Learning University (Universidad Nacional de Educación a Distancia -UNED), Madrid, Spain, September 17 28, 2007.
- 24. "Principios de la Identificación de Sistemas," (Principles of System Identification), short course presented at the University of Almería, Andalucía, Spain, September 8 10, 2008.
- 25. "Principles of System Identification," short course taught at the Whirlpool Corporation Research and Engineering Center, Benton Harbor, Michigan, September 30 October 2, 2009.
- 26. "Identificación de Sistemas Dinámicos," (Identification of dynamical systems), 32-hour short course taught as part of the professional master's program at the Escuela Superior Politécnica del Litoral (ESPOL) in Guayaquil, Ecuador, June 14 22, 2019.
- 27. "Control Avanzado de Procesos Industriales," (Advanced Control of Industrial Processes), 40-hour short course taught as part of the professional master's program at the Escuela Superior Politécnica del Litoral (ESPOL) in Guayaquil, Ecuador, Feb.4 20, 2022.

## **University Committee Service**

## University

- 1. Department Representative, ASU Academic Senate 1999-2001
- 2. Member, University Affairs Committee, ASU Academic Senate, 2000-2001.
- 3. CHE Department Representative, ASU Academic Senate, 2008-2011.
- 4. Fulton Schools of Engineering Representative, ASU Academic Standards Committee 2011-2015.
- 5. Member, Review of General Education Requirements at ASU, Provost-level *Ad Hoc* Committee, 2021.

#### College

- 1. Sub-taskforce on "Ability to Use Computers for Communications, Analysis and Design," Engineering Curriculum taskforce, member, fall 1990.
- 2. Member, College of Engineering Curriculum Committee, ECE 380 Probability and Statistics For Engineering Problem Solving Subcommittee
- 3. Member, Engineering Excellence 2000 "Developments and Opportunities in Manufacturing" Task Team.
- 4. Member, Computer-Integrated Manufacturing Systems Research Center (CIMSYRC) Advisory Board, 1996-1998.
- 5. Member, ECE 384 Numerical Methods for Engineers Evaluation Committee
- 6. Department Representative, Dean's Advisory Personnel Committee, CEAS, 1997-2000.
- 7. Chair, CEAS Student Affairs Committee, 2000-2003.
- 8. Department Representative, Fulton School Sabbatical Review Committee, 2004 2006.
- 9. Department Representative, Math/Fulton School Liaison Committee, 2004 2007.
- 10. Member, Fulton Fellowship Enhancement Opportunities Committee, 2005 2007.
- 11. Department Representative, Fulton School Committee of Review, 2006 2007.
- 12. ChE Representative, Fulton School Standards Committee, 2005 2007, 2008 2011.
- 13. SEMTE Representative, Fulton Schools of Engineering Executive Committee, 2011 2015.
- 14. SEMTE representative, Fulton Schools of Engineering Curriculum Committee, 2019-2021.

## **Department/School and Program**

- 1. Chair, Chemical Engineering Graduate Recruiting and Affairs Committee, 1993-1996.
- 2. Member, Chemical Engineering Undergraduate Curriculum Committee, 1997-2001.
- 3. Member, Computers and Networking Committee, 1997-2000.
- 4. Member, Budget Committee, 1997 2007.
- 5. Member, ChE Faculty Search Committee, Atmospheric Chemistry, 1999-2000.
- 6. Member, ChE Faculty Search Committee, Biotechnology, 2000.
- 7. EEO/AA Representative, ChE Faculty Search Committee, Protein Engineering, 2000-2001.
- 8. EEO/AA Representative, ChE Faculty Search Committee, Semiconductor Mfg, 2001-2002.
- 9. Chair, ChE Faculty Search Committee, Process Design and Operations, 2000-2001, 2001-2002.
- 10. Member, CME Self-Study Committee, 2001-2002.
- 11. Member, CME Strategic Planning Ad Hoc Committee, 2001-2003.
- 12. Chair, Chemical Engineering Graduate Admissions Committee, 2003-2007 (member since 2001).
- 13. Chair, Chemical Engineering Awards Committee, 2008 2009; member, 2010.
- 14. Member, Graduate Program Committee, 2008 2010.
- 15. Member, Graduate Recruitment Committee, 2008 2010
- 16. Member, ABET Committee, 2008 2010.
- 17. Member, School for the Engineering of Matter, Transport, and Energy (SEMTE) Personnel Committee, 2011 2014.
- 18. Member, School for the Engineering of Matter, Transport, and Energy (SEMTE) Personnel Committee, 2017 2020.
- 19. Co-chair (with Spring Berman), Control Theory and Applications Faculty Search, 2019-2020.

# Instruction

# **Courses Taught**

Semester	Course #	Course Title	Course Contact Hours/Week	Enroll- ment
F-1990	CHE 461	Process Dynamics and Control (lecture only),	2	26
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
S-1991	CHE 561	Advanced Process Control	3	8
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
F-1991	CHE 461	Process Dynamics and Control	8 (two lecture hrs + two 3 hr lab sessions)	25
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
S-1992	CHE 561	Advanced Process Control	3	13
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
F-1992	CHE 461	Process Dynamics and Control	8 (two lecture hrs + two 3 hr lab sessions)	24
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control	,	
S-1993	CHE 494/598	Computer-Based System Identification and Control	3	7
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
F-1993	CHE 461	Process Dynamics and Control	8 (two lecture hrs + two 3 hr lab sessions)	29
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
S-1994	ECE 384	Introduction to Numerical Methods	2	55
	CHE 494/598	Computer-Based System Identification and Control	3	6
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
F-1994	CHE 461	Process Dynamics and Control	11 (two lecture hrs + three 3 hr lab	46
	CHE 591	Graduate Topical Seminar: Process		

Semester	Course #	Course Title	Course Contact Hours/Week	Enroll- ment
		Dynamics and Control	110u15/ WCCK	
S-1995	CHE 494/598, EEE 598	Introduction to System Identification	3	16
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
F-1995	CHE 461	Introduction to Process Dynamics and Control (also offered via Distance Learning and NTU as CH 541-W: Principles of Process Dynamics and Control)	11 (two lecture hrs + three 3 hr lab sessions)	48 (on- campus) 13 (via Distance Learning)
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		<b>C</b> /
S-1996	CHE 494/598, EEE 598	Introduction to System Identification (also taught via Distance Learning)	3	10
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
F-1996 -		On sabbatical with the Division of		
S-1997		Automatic Control, Linköping University, Sweden and Honeywell Technology Center, Phoenix, Arizona		
F-1997	CHE 461	Process Dynamics and Control (also offered via Distance Learning as CHE 598: Principles of Process Dynamics and Control	12 (three lecture hrs + three 3 hr lab sessions)	56
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
S-1998	CHE 494/598, EEE 598	Introduction to System Identification	3	19
	ECE100M	Introduction to Engineering – Modeling	2	39
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
F-1998	ECE 394C	Understanding Engineering Systems via Conservation	5 (three lecture hrs + one 2 hr recitation)	21
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		

Semester	Course #	Course Title	Course	Enroll-
			Contact Hours/Week	ment
S-1999	ECE 394C	Understanding Engineering Systems via Conservation	5 (three lecture hrs + one 2 hr recitation)	18
	ChE 494/561	Advanced Process Control	3	6
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
F-1999	ChE 461/598	Introduction to Process Dynamics and Control	12 (three lecture hrs + three 3 hr lab sections)	48
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
S-2000	ECE 394C	Understanding Engineering Systems via Conservation	9 (three lecture hrs + three 2 hr recitation sections)	55
	ChE 494/561	Advanced Process Control	3	11
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
F-2000	ChE 461/598	Introduction to Process Dynamics and Control	12 (three lecture hrs + three 3 hr lab sections)	58
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
S-2001	ChE 461/598	Introduction to System Identification, (7 on campus, 4 distance learning)	3	11
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control		
F-2001	ChE 461	Introduction to Process Dynamics and Control	12 (three lecture hrs + three 3 hr lab sections)	46
	CHE 591	Graduate Topical Seminar: Process Dynamics and Control	,	
S-2002	ECE 394C	Understanding Engineering Systems via Conservation	5 (three lecture hrs + one 2 hr recitation)	42

G .		C m'd	C	T 11 .
Semester	Course #	Course Title	Course	Enrollment
			Contact Hours/Week	
S-2002	ChE	Introduction to System	3	8
	494/598	Introduction to System Identification (also offered via	3	o
(Cont)	494/390	NTU as CH 767-W, 5 students on-		
		campus, 3 via distance learning).		
F-2002	ECE 100	Introduction to Engineering	8	92
1-2002	LCL 100	Design, two sections (4 credits ea.)	O	)2
S-2003	ECE 100	Introduction to Engineering Design	4	45
5-2003	ChE	Advanced Process Control (also	3	10
	494/561	offered via NTU as CH 741-W, 4	3	10
	17 1/301	on-campus, 2 via local distance		
		learning, 4 via NTU).		
F-2003*	ChE 461	Introduction to Process Dynamics	3	48
1 2005		and Control lecture		
S-2004*	ChE	Introduction to System	3	14
	494/598	Identification (also offered through		
		ASUengineeringonline.com;		
		6 students on-campus,		
		8 via distance learning).		
F-2004*	ChE	Process Dynamics and Control	3	36
	461/598	lecture (also offered through		
		ASUengineeringonline.com		
	ChE 591	Chemical Engr. Graduate Seminar	1	20
S-2005*	ChE	Introduction to System	3	11 total
	494/598,	Identification		ChE 494
	EEE 598			(2)
				ChE/EEE 598
E 2007*	CLE	D : 10 + 1	2	(9)
F-2005*	ChE	Process Dynamics and Control	3	26
C 2006*	461/598 ChE	lecture Advanced Process Control	3	6 total
S-2006*	494/598	Advanced Process Control	3	ChE 494
	434/330			(3)
				ChE 598
				(3)
F-2006	ChE	Process Dynamics and Control	3	32 total
1-2000	461/598	Trocess Byhamnes and Control	3	ChE 461
	101/250			(31)
				ChE 598
				(1)
	ChE 591	Chemical Eng. Graduate Seminar	1	32
S-2007	ChE	Transport Phenomena I:	3	56 total
	231/501	Fluid Mechanics		ChE 231
				(55)
				ChE 501
				(1)

Semester	Course #	Course Title	Course Contact Hours/Week	Enrollment
S-2007 (Cont)	ChE 494/598	Introduction to System Identification	3	21 total ChE 494 (9) ChE 598 (12)
F-2007 - S-2008		On sabbatical		
F-2008		No courses taught (protected time NIH K25 award)		
S-2009	ChE 494/598	Introduction to System Identification	3	19 total ChE 494 (6) ChE 598 (13)
F-2009 S-2010 F-2010		No courses taught (protected time NIH K25 award)		
S-2011	ChE 494/598	Introduction to System Identification	3	12 total ChE 494 (4) ChE 598 (8)
F-2011		No courses taught (protected time from NIH K25 award)		
S-2012	ChE 494/561	Advanced Process Control	3	11 total ChE 494 (3) ChE 561 (8)
F-2012	ChE 461	Process Dynamics and Control	3	79
S-2013	ChE 494/561	Advanced Process Control	3	11 total ChE 494 (3) ChE 561 (9)
F-2013	ChE 461	Process Dynamics and Control	3	92

Semester	Course #	Course Title	Course	Enrollment
Semester	Course II	Course Title	Contact	Emonnent
			Hours/Week	
S-2014	ChE	Introduction to System	3	13 total
2 201 .	494/598	Identification		ChE 494 (4)
				ChE 598 (9)
F-2014	ChE 461	Process Dynamics and Control	3	131
S-2015	ChE	Introduction to System	3	26 total
	494/598	Identification		ChE 494 (7)
				ChE 598 (19)
F-2015		On sabbatical		
S-2016	ChE	Advanced Process Control	3	25 total
	494/561			ChE 494 (8)
				ChE 561 (17)
F-2016	ChE 461	Process Dynamics and Control	3	138
S-2017	ChE	Introduction to System	3	23 total
	494/598	Identification		ChE 494 (13)
				ChE 598 (10)
F-2017	ChE 461	Process Dynamics and Control	3	116
S-2018	ChE	Introduction to System	3	32 total
	494/598	Identification		ChE 494 (13)
				ChE 598 (19)
F-2018	ChE 384	Numerical Methods for Chemical	3	47
		Engineers		
F-2018	ASU 101	ASU: The Experience	1	19
S-2019	ChE	Introduction to System	3	12
	494/598	Identification		
F-2019	ChE 461	Process Dynamics and Control	3	153
S-2020	ChE	Principles of System Identification	3	30
	494/598			
F-2020	ChE 461	Process Dynamics and Control	3	148
S-2021		Paid release time		
		(no teaching assignment)		
F-2021		Paid release time		
		(no teaching assignment)		
S-2022	ChE	Advanced Process Control	3	7 total
	494/561			ChE 494 (1)
				ChE 598 (8)

<sup>\*</sup>reduced course load from having paid 1 month release time per semester.

## **New Courses and Course Materials Developed**

#### **Undergraduate Level:**

CHE 231: Transport Phenomena I: Fluid Mechanics

CHE 384: Numerical Methods for Chemical Engineers

CHE 461: Introduction Process Dynamics and Control

ECE 100: Introduction to Engineering Design

ECE 384: Introduction to Numerical Methods

ECE 394C: Understanding Engineering Systems Using Conservation Principles

### Graduate Level:

CHE 494/561: Advanced Process Control

CHE 494/598: Computer-Based System Identification and Control (1993, 1994)

CHE 494/598, EEE 598 Introduction to System Identification (first offered in 1995).

All graduate courses have been offered with a 494 equivalent. The 494 version has reduced workload requirements and is available as a technical elective to senior undergraduates.

All graduate courses (and a lab-free version of ChE 461) have been offered as Instructional Television courses via ASU's Distance Learning Service, National Technological University (NTU), or *ASUengineeringonline.com*.

## New contents developed:

<u>1990</u>: A computer-aided design component was added to CHE 461. Students extensively used the CONSYD package developed at Wisconsin and Caltech to complete many of their homework assignments.

1991: Five new labs featuring the TDC 3000 system were added to CHE 461. These are:

A/B Mixing Reactor Operation

A/B Mixing Reactor Identification and Control

Furnace Control - Schematic and System Identification

Furnace Control - Process Point Building

Furnace Control - Custom Control Strategy Implementation

<u>1992</u>: The first edition of the lab manual for CHE 461 (70 pages in length) was co-authored with Gene Sater. Improvements were made to the Heat Exchanger and Furnace Control experiments; a formal lab introducing students to the TDC 3000 system architecture was also developed.

1993: The lab manual for CHE461 was revised and expanded. A new experiment implemented on the Honeywell TDC3000 system, "pH Dynamics and Control," was added to the laboratory, introducing students to issues associated with modeling and control of a highly nonlinear system.

#### 1995:

- 1. Developed the first edition of a manuscript entitled "Internal Model Control: An Approach For Undergraduates" to supplement the undergraduate textbook for ChE 461
- 2. Enhanced ChE 461 Lab E (Mixing Tank Dynamics) Lab Experiment with sensor calibration module
- 3. Enhanced ChE 461 Labs D, J1, J2 (Gas Oil Furnace Identification and Control) with new MATLAB programs for system identification and control design (*ASUfurn* and *pIDtune*).

<u>1996</u>: MATLAB-based computer-aided design modules for CHE 494/598 (Introduction to System Identification) were completely redone for 1996.

#### 1997:

- 1. Migrated to Matlab 5.1 and TDC3000 Release 500 in ChE 461
- 2. Developed an extensive World Wide Web page for ChE 461 (http://www.eas.asu.edu/~che461) which has now been replaced with *myASU*
- 3. Major revision to ChE 461 Labs D, J1 and J2 (Furnace Identification, Furnace Control) to include significant components relating to stochastic identification and control.

#### 1998:

- 1. Developed an extensive World-WideWeb page for ChE 494/598 Intro to System Identification (http://www.eas.asu.edu/~che598); now available only via *myASU*.
- 2. Migrated to Matlab 5.2/SIMULINK 2.2 in ChE 494/598 Intro to System Identification
- 3. Taught ECE 394 Understanding Engineering Systems via Conservation for the first time, adding significant new content in system fundamentals and computer modeling
- 4. Created a nonlinear semiphysical modeling experiment for ECE 394 Systems based on the brine-water mixing tank and the Honeywell TDC3000 system.
- 5. Revamped the ECE 394 Systems recitation into a dedicated computer modeling session based on the use of Matlab with SIMULINK

#### 1999:

- 1. Developed a significant computer modeling exercise for ChE 461 involving a highly nonlinear diabatic (i.e., non-adiabatic) continuous-stirred tank reactor (CSTR) problem.
- 2. Migrated to Matlab 5.3/SIMULINK 3 in ChE 461/598: Process Control
- 3. Revamped Lab E: Mixing Tank Dynamics in ChE 461 to include the reconciliation of a first-principles nonlinear model with tank data
- 4. Significantly revised Lab D: Gasoil Furnace Mixing Dynamics Experiment with the development of two graphically-based Matlab programs: *pIDfurn* and *furntune*
- 5. Substantially revised ChE 494/561 Advanced Process Control, which was taught in 1999 after a 7 year hiatus.

#### 2000:

- 1. Created Blackboard 5 web sites for three courses (ECE 394 Systems, ChE 494/561, and ChE 461).
- Incorporated the use of Global User Stations (GUS) into the laboratory component of ChE 461
- 3. Developed real-time GUS schematics for the heat exchanger and mixing tank experiments.

4. Developed a GUS display builder "primer" for undergraduate students, which allowed student teams to build their own GUS-based schematics on the gasoil furnace experiment.

#### 2001:

- 1. Supply chain dynamics and control problems developed during our CRESMET project were incorporated as part of ChE 461
- 2. Had primary responsibility for the deconstruction of SCOB 191 and the corresponding enlargement of SCOB 192 with the new annex room B.
- <u>2002</u>: Developed 23 presentations, four Excel-based modeling assignments, and two design projects as a first-time instructor for ECE 100 (in its recently revised format). One design project and several modeling assignments centered around a supply chain inventory management simulation inspired by a CRESMET grant in 2001 and Intel Research Council funding.
- <u>2003</u>: Conducted significant lab upgrade and development efforts as a result of teaching ChE 461 during the fall semester.
- <u>2004, 2005</u>: Taught ChE 494/598 Introduction to System Identification and ChE 461/598 Process Dynamics and Control via *ASUengineeringonline.com*.
- <u>2006:</u> Revised and offered ChE 494/598 (aka ChE 561) Advanced Process Control through *ASUengineeringonline.com;* updated ChE 461/598 Process Dynamics and Control for the 120-hr curriculum.
- 2007: Taught ChE 231/501 Transport Phenomena I: Fluid Mechanics for the first time.
- <u>2009:</u> Teaching ChE 494/598 Introduction to System Identification to undergraduate and graduate students in engineering using *ITSIE*, an Interactive Tool for System Identification Education developed in collaboration with the University of Almería and the Spanish National Distance Learning University (UNED).
- <u>2011:</u> Teaching ChE 494/598 Introduction to System Identification to undergraduate and graduate students in engineering using *ITCRI*, an Interactive Tool for Control-Relevant Identification developed in collaboration with the University of Almería and the Spanish National Distance Learning University (UNED).
- <u>2012</u>: Resumed teaching ChE 461: Introduction to Process Dynamics and Control (following a five-year hiatus as a result of an NIH K25 Mentored Quantitative Research Scientist award).
- <u>2014:</u> Teaching ChE 494/598 Introduction to System Identification to undergraduate and graduate students in engineering using *ITCLI*, an Interactive Tool for Closed-Loop Identification developed in collaboration with the University of Almería and the Spanish National Distance Learning University (UNED).
- <u>2015</u>: Teaching ChE 494/598 Introduction to System Identification to undergraduate and graduate students in engineering using *i-pIDtune*, an interactive tool for integrated system identification and PID controller design developed in collaboration with the University of Almería and the Spanish National Distance Learning University (UNED).

## Educational Accomplishments New Courses and Course Materials Developed

<u>2017:</u> Teaching ChE 494/598 Introduction to System Identification to undergraduate and graduate students in engineering using *ITTSAE*, a suite of Interactive Tools for Time Series Analysis Education developed with the Spanish National Distance Learning University (UNED).

<u>2018</u>: Taught ChE 384 Numerical Methods for Chemical Engineers and ASU 101: The ASU Experience for the first time. Significantly modified the traditional numerical methods curriculum to focus on data science principles, drawing from prior educational experiences in system identification.

<u>2020</u>: Revamped computer modeling assignments in ChE 461: Process Dynamics and Control to include MATLAB livescripts. Two new modeling assignments were created, influenced by the COVID-19 pandemic: 1) Dynamic modeling and simulation of infectious disease using an SIR model and 2) SIR epidemic feedback control using Internal Model Control.

## **Undergraduate Projects Supervised**

- 1. Eira Rodriguez, "*Digital Implementation of PID-like Controllers*, "Regional Center for Minorities Project, summer 1991
- 2. Joe Anderson, pH Reactor Dynamics and Control, CHE 461 honors project, fall 1994.
- 3. Brian McNamara, "Understanding Engineering Systems via a Brine-Water Mixing Tank," summer 1999.
- 4. Joseph Kuschner, IV, Lab K pH Reactor Control, ChE 461 honors project, fall 1999.
- 5. Mark Szwast, "A Comparative Study of Economic Order Quantity versus Process Control Approaches to Inventory Management in Supply Chains," summer 2001.
- 6. Jay D. Schwartz, "Control-oriented perspectives on demand forecasting for tactical decision policies in supply chain management," spring 2004.
- 7. Michael Pew, "Control-oriented approaches to supply chain management", spring 2003 fall 2005.
- 8. Scott Occhuizzo, "Simulation development for fluid mechanical analysis of discrete-event high-volume manufacturing processes," summer and fall 2007.
- 9. Spencer Pratt, "Weigh-IT: An interactive tool for weight gain/loss prediction," spring and summer, 2009.
- 10. Alicia Magann, "Improving Social Cognitive Theory for physical activity interventions via control systems engineering modeling," spring 2015; "Dynamical modeling of physical activity interventions using control systems engineering principles," spring 2016.
- 11. Rachael Kha, "Model-on-Demand Estimation for Behavioral Intervention Optimization," spring 2021.