DONALD S. SIEGEL, Ph.D.

Foundation Professor of Public Policy and Management
School of Public Affairs
Co-Executive Director
Global Center for Technology Transfer
Arizona State University
411 North Central Ave., UCENT 405
Phoenix, AZ 85004
Tel: (602) 390-7226

<u>Donald.Siegel.1@asu.edu</u> ORCID No.: 0000-0002-7668-9751

Place of Birth: Brooklyn, N.Y.

EDUCATION

Ph.D. Columbia University Graduate School of Business

Business Economics, 1988

Dissertation: "Productivity Analysis Using Longitudinal Establishment Data"

Richard R. Nelson (chair), Frank R. Lichtenberg (supervisor), John Donaldson, Michael Salinger

M. Phil. Columbia University Graduate School of Business

Business Economics, 1987

B.A. Columbia College Economics, 1981

PROFESSIONAL POSITIONS

7/22-pres. Foundation Professor of Public Policy and Management

School of Public Affairs Co-Executive Director

Global Center for Technology Transfer

Arizona State University

7/17-7/22 Director and Foundation Professor of Public Policy and Management

School of Public Affairs Arizona State University

7/16-6/17 Professor of Management

School of Business

University at Albany, SUNY

7/08-6/16 Dean and Professor of Management

School of Business

University at Albany, SUNY

2006-2008 Professor of Entrepreneurship and Associate Dean

A. Gary Anderson Graduate School of Management

University of California, Riverside

2002-2006 Professor of Economics and Chair

Department of Economics

Rensselaer Polytechnic Institute

2000-2002 Chair/Professor of Industrial Economics

Nottingham University Business School

1994-2000 Associate to Full Professor of Economics

School of Management Arizona State University West

1989-1994 Assistant Professor of Management and Policy

Harriman School for Management and Policy

and

Department of Economics (courtesy appointment)

SUNY-Stony Brook

1988-1989 Research Economist

Productivity and Technical Change Studies Program (Post-Doc Funded by the Sloan Foundation)

National Bureau of Economic Research, Cambridge, MA.

1982-1984 Securities Analyst

Singleton Associates, Ltd. (an off-shore hedge fund)

New York, N.Y.

KEY ACCOMPLISHMENTS AS DIRECTOR OF ASU'S SCHOOL OF PUBLIC AFFAIRS

Funded Research

- Sloan Foundation Project on "Linking Engineering and Public Policy in the Curriculum and Beyond" (with Laura Steinberg of the Maxwell School and the School of Engineering, Syracuse University)
- NSF Grant on "Assessing the Impact of Organizational Justice on Academic Entrepreneurship: Qualitative and Quantitative Evidence from University Scientists" (with David Waldman of the W. P. Carey School of Business)
- NSF Grant on "Organizational Issues in Technology Transfer at Federal Laboratories" (with David Waldman
 of the W. P. Carey School of Business)
- NSF Grant on "Management and Organizational Issues in Technology Transfer at Federal Laboratories: Data Collection and Analysis to Advance Commercialization of Federally-funded Research," (with David Waldman of the W. P. Carey School of Business)
- Kauffman Foundation Grant on "Psychological Processes Before the Entrepreneurship Decision (or not): A Mixed Methods Study of Postdoc Scientists" (with David Waldman of the W. P. Carey School of Business and Marie Mitchell of the University of Georgia)

Global Recognition of Academic Programs and Research

- Ranked #12 in the U.S. (Overall)-U.S. News and World Report (2023)
- Ranked #4 in the U.S. in Local Government Management-U.S. News and World Report (2022)
- Ranked #1 in the U.S. in Homeland Security and Emergency Management-U.S. News and World Report (2022)
- Ranked #4 in the U.S. in Information and Technology Management-U.S. News and World Report (2022)
- Ranked #6 in the U.S. in Urban Policy-U.S. News and World Report (2022)
- Ranked #4 in the U.S. in Public Management and Leadership-U.S. News and World Report (2022)
- Ranked #8 in the U.S. in Environmental Policy-U.S. News and World Report (2022)
- 7Ranked #4 in the U.S. in Nonprofit Management-U.S. News and World Report (2022)
- Ranked #12 in the U.S. in Public Finance and Budget-U.S. News and World Report (2022)
- Ranked #19 in the U.S. in Public Policy Analysis-U.S. News and World Report (2022)
- Ranked #22 in the U.S. in Social Policy-U.S. News and World Report (2022)

- Only One Other School in the U.S. Had More Top Ten Rankings (Indiana)
- Ranked #2 in the U.S. in Research on Public Administration-Shanghai University Academic Ranking of World Universities (2021)
- Ranked #5 in the World in Research on Public Administration-Shanghai University Academic Ranking of World Universities 2021)
- Ranked #7 in the U.S. for Online MPP Program-Intelligent.com (2020)
- 2018 NASPAA Re-Accreditation (MPA Program)

Enhancements to Academic Programs and Research Centers

- Development of Online MPA/MPP Program
- New B.S. Program in Emergency Management and Homeland Security
- "4+1" MPA/MPP Program with the Del Webb School of Construction Management (in the Fulton Schools of Engineering)
- "4+1" MPA/MPP Program with the School of Politics and Global Studies
- New MA Program in Policy Advocacy
- New Executive MA in Global Affairs and Management degree in Washington, D.C. (with the Thunderbird School of Global Management and the Sandra Day O'Connor College of Law)
- New Graduate Concentration in the MPA/MPP Program in Sustainable Engineering (with the Fulton Schools of Engineering)
- New Undergraduate Concentration in Public Procurement (with Supply Chain Management in the W. P. Carey School of Business)
- Accelerated MPA/MPP program
- Developing New Certificate Programs with Five Colleges at ASU in Law and Policy Studies, Social Policy, Education Policy, and Emergency and Security Management
- Developing New Undergraduate Program in Emergency Management and Homeland Security with the School of Criminal Justice
- Developing New Joint Programs with the Thunderbird School of Global Management
- Formalized and Streamlined Hiring Procedures for Faculty Associates (Adjunct Professors)
- Instituted Peer Evaluation of Teaching for Non-Tenure Track Faculty
- New Research Center-The Center for Technology, Data, and Society (Professor Karen Mossberger, Director)

New International Partnerships

- Korean Development Institute
- Seoul National University
- Hainan University
- New Undergraduate Program (PSPP) at Hainan University
- Shanghai University of Finance and Economics
- Central University of Finance and Economics
- Beijing Normal University
- Kuwait University
- Sichuan University

Organization of Special Issue Conferences for Leading Academic Journals to Raise Research Profile of School

- Workshop on "Innovation, Entrepreneurship, and Sustainability: Bridging the Micro/Macro Organizational Divide" (<u>Administrative Science Quarterly</u> and the <u>Journal of Management Studies</u>), February 2019, Arizona State University, Phoenix, AZ
- "Symposium on "Entrepreneurship in the Public and Nonprofit Sectors" (<u>Public Administration Review</u>), October 2018, National Academy of Sciences, Washington, D.C.

KEY ACCOMPLISHMENTS AS DEAN OF UALBANY SCHOOL OF BUSINESS

Fund-Raising and Improvements in Operational Efficiency

- \$21.4 Million in Donations and Gifts (Exceeded Target for \$9.75 Million Capital Campaign)
- Naming of the New School of Business Building-Massry Center for Business (\$5.25M gift)
- \$13.2 Million in Grants, Contracts, and Sponsored Research
- 80% of the Tenure-Track Faculty Donated to the School of Business in 2014
- Increased Annual Operating Profit of School From \$210K to \$4.7Mil

Global Recognition of Academic Programs, Centers, and Diversity

- Ranked #87 in the U.S. (Best Graduate Schools)-U.S. News and World Reports (2014)
- Ranked #86 in the U.S. (Best Graduate Schools)-U.S. News and World Reports (2013)
- Ranked #1 in the U.S., in terms of Job Placement for MBA Students (100%)-U.S. News and World Reports (2015)
- Ranked #1 in the U.S., in terms of Job Placement for MBA Students (100%)-U.S. News and World Reports (2014)
- Ranked #1 in the U.S., in terms of Job Placement for MBA Students (100%)-U.S. News and World Reports (2013)
- Ranked #4 in the U.S., in terms of Best Colleges for Business Majors by Salary Potential-PayScale, Inc. (2016)
- "Most Innovative Business School in the Northeast Region of the U.S."-European CEO Magazine
- 2011, 2017 Dual AACSB Re-Accreditation in Business Administration and Accounting
- Small Business Development Center (SBDC) Rated One of the top 10 SBDCs in the U.S.-Small Business Administration
- Ranked #13 in the U.S. for General Management (2008)-Princeton Review (previously unranked)
- Ranked #9 in the U.S. for "Providing the Greatest Opportunities for Women" (2008)-Princeton Review (previously unranked)
- Ranked #4 in the U.S. for "Providing the Greatest Opportunities for Women" (2009)-Princeton Review
- Ranked #2 in the U.S. for "Providing the Greatest Opportunities for Women" (2010)-Princeton Review
- Ranked #3 in the U.S. for "Providing the Greatest Opportunities for Women" (2011)-Princeton Review (The School of Business was the only business school at a SUNY research university to appear on any of Princeton Review's top fifteen lists along nine categories during the four-year period)

Enhancements to Faculty, Academic Programs, Research Centers, and Facilities

- Increased the Size and Diversity of the Faculty (Twelve New Tenure Track Faculty Hired in 2014 and 2015)
- \$64 Mil School of Business Building-opened August 2013
- New \$64 Mil School of Business Building Ranked as the Fifth Most Beautiful Business School in the World (see http://www.topmanagementdegrees.com/beautiful-business-schools/)
- New Building Achieves LEED Gold Status-September 2014 (http://www.usgbc.org/projects/ualbany-new-school-business-building)
- Two New Research Centers: (1) Forensics, Analytics, Complexity, Energy, Transportation, and Security (FACETS) and (2) Center for Advancement and Understanding of Social Enterprises (CAUSE)
- Undergraduate Degree in Digital Forensics (Involves Four Other Colleges at UAlbany and Nine Community Colleges)
- New Undergraduate Concentration (Minor) in Entrepreneurship
- New Concentrations in the Full Time MBA Program in Entrepreneurship and Cybersecurity
- Nanotechnology Track in the Evening MBA Program
- Cyber-Security Graduate Certificate Program
- New Leadership Development Program (Joint with Siena College)
- Ernst and Young Trajectory Course (Experiential Learning Course)
- General Electric Experiential Learning Course
- Price Chopper Experiential Learning Course
- Financial Analyst Honors Program
- Financial Market Regulation Program (Joint with the College of Computing and Information and Rockefeller College)
- MS Track in Forensic Accounting

- Going Green Globally (G3) Program, which brings together MBA students and UAlbany faculty to help organizations adopt sustainable business practices
- Leadership Education in Accounting and Diversity (LEAD) Program in Accounting-Ernst and Young Sponsored Initiative Targeted to Minority Students in Accounting)
- Inaugural (Student-Focused) \$30K Regional Business Plan Competition-2010 (Joint with College of Nanoscale Science and Engineering, Union Graduate College, and RPI)
- Inaugural (Student-Focused) \$125K New York State Business Plan Competition-2011 (Joint with College of Nanoscale Science and Engineering, Syracuse, and RPI)
- \$500K New York State Student Business Plan Competition-2013-present (10 regional competitions feeding into state finals at UAlbany)
- Inaugural \$134K Thermo Fisher Scientific Student Venture Fund-2012 (Joint with RNA Institute in the College of Arts and Sciences)
- Engaged in Successful \$9.75 million Capital Campaign to Furnish New \$64 Million School of Business Building
- Worked With Architects (Perkins + Will) on Design of New \$64 Million School of Business Building
- Established Partnership with the Corporate Directors Group/American College of Corporate Directors to Provide Education to Individuals Serving on the Boards of Publicly-Traded Firms (at SUNY Global Center in NYC

Community-Based Educational and Entrepreneurial Initiatives:

- Blackstone LaunchPad (Campus-Wide Entrepreneurship Training Facility Sponsored by Major Private Equity Firm-One of Five Centers in NY State-Others: Cornell, Syracuse, NYU, and the University at Buffalo)
- Established Chapter of the Young Entrepreneurs Academy (YEA!)-(YEA!, Which is Sponsored by the Kauffman Foundation-Brings Middle and High School Students to Campus to Learn How to Start Their Own Companies)
- Enhanced the Career Opportunities for Accounting Profession (COAP) Program (Program Targeted to Minority High School Students)
- Developed \$2.8 million Small Enterprise for Economic Development (SEED) Program-(An Innovative Partnership Involving the School of Business, School of Social Welfare, SEFCU, and Empire State Development-SEED Provides Character-Based Micro-loans and Mentoring to Entrepreneurs and is the First Such University-Based Program That Includes An Evaluation of Program Effectiveness)
- **SEED** won the **2012 Tribeca Disruptive Innovation Award** (Other University Winners: MIT & Stanford) (https://www.albany.edu/news/23644.php)
- SEED was a finalist for the University Economic Development Association's 2012 Awards of Excellence
- Helped Establish Accelerate 518 (A Consortium of 13 Capital Region colleges, universities and business incubators, Which is Pooling Resources to Offer More Services, Better Mentoring and a More Solid Infrastructure For Startups)

Organization of Special Issue Conferences for Leading Academic Journals to Raise Research Profile of School

- "The Labor Market and Human Resource Management Implications of Entrepreneurship" (<u>Industrial and Labor Relations Review</u>), October 2016, National Academy of Sciences, Washington, D.C.
- "Economics of Entrepreneurship" (<u>European Economic Review</u>), June 2015, National Academy of Sciences, Washington, D.C.
- "Seeding Entrepreneurship with Microfinance" (Entrepreneurship: Theory and Practice), April 2014, SUNY Global Center, NY, NY
- "Entrepreneurial Innovation: The Importance of Context" (Research Policy), June 2013, SUNY Global Center, NY, NY
- "Effects of Alternative Investments of Entrepreneurship, Innovation, and Growth" (Managerial and Decision Economics), October 2012, SUNY Global Center, NY, NY
- "Alternative Investments and Governance" (<u>Journal of Corporate Finance</u>), May 2011, SUNY Global Center, NY, NY
- "30 Years After Bayh-Dole: Reassessing Academic Entrepreneurship" (Research Policy), October 2010,

- National Academy of Sciences, Washington, DC
- "Private Equity, LBOs, and Corporate Governance: International Evidence" (Corporate Governance: An International Review, June 2010, University at Albany, SUNY
- "Corporate Social Responsibility and Environmental Sustainability" (<u>Business and Society</u>), September 2009, University at Albany, SUNY
- "International Entrepreneurship: Managerial and Policy Implications" (<u>Strategic Entrepreneurship Journal</u>), April, 2009, York University, Toronto, CA
- Technology Transfer Society Annual Conference-Theme-"Technological Entrepreneurship" (<u>Journal of Technology Transfer</u>), October 2008, University at Albany, SUNY

New International Partnerships (Signed or In Process)

- Southwestern University of Finance and Economics-China
- National Management School-India
- HAN University of Applied Sciences-Netherlands
- American University of Dubai
- American University of Sharjah
- University of Glasgow-Scotland
- Pompeu Fabra University (UPF)-Spain
- National University of Ireland, Galway-Ireland
- MOU with International Academic Alliance, who is helping us recruit quality applicants from select universities in China, India, and other countries for our graduate business programs

KEY ACCOMPLISHMENTS AS ASSOCIATE DEAN OF A. GARY ANDERSON GRADUATE SCHOOL OF MANAGEMENT AT UC-RIVERSIDE

Recognition of Academic Programs

- Ranked #23 in the U.S. in Entrepreneurship (Graduate Program)-Entrepreneur Magazine (2008)
- Ranked #25 in the U.S. in Entrepreneurship (Graduate Program)-Entrepreneur Magazine (2007)

Organization of Special Issue Conferences for Leading Academic Journals to Raise Research Profile of School

- Technology Transfer Society Meetings, University of California, Riverside Palm Desert Graduate Center (<u>Journal of Technology Transfer</u> and <u>Journal of Management Studies</u>), October 2007
- Technology Transfer Society Meetings, Georgia Tech, Atlanta, GA (<u>Journal of Technology Transfer</u> and Industrial and Corporate Change), October 2006
- "The Growth of Gambling and Prediction Markets: Economic and Financial Implications" (<u>Economica</u>), University of California, Riverside Palm Desert Graduate Center, May 2007

Enhancements to Academic Programs

- Spearheaded Revision of MBA Curriculum
- MBA Program in Entrepreneurship
- Supervised AACSB Accreditation

KEY ACCOMPLISHMENTS AS DEPARTMENT CHAIR OF ECONOMICS AT RPI

<u>Organization of Special Issue Conferences for Leading Academic Journals to Raise Research Profile of the Department</u>

- "Private Equity, LBOs, and Governance," Troy, NY (Journal of Corporate Finance), May 2006
- Technology Transfer Society Meetings, Kauffman Foundation, Kansas City, MO (<u>Journal of Technology Transfer</u> and <u>Research Policy</u>), October 2005
- Technology Transfer Society Meetings, Albany, NY (<u>Journal of Technology Transfer</u> and <u>International Journal of Industrial Organization</u>), October 2004

- "The Economics of Corporate Social Responsibility," Troy, NY (<u>Journal of Productivity Analysis</u>) and <u>Structural Change and Economic Dynamics</u>, May 2005
- "Political Strategies, Corruption, and Corporate Social Responsibility," Phoenix, AZ (<u>Journal of International Business Studies</u>), January 2006

Fundraising

• \$1.2 Million in Grants, Contracts, and Sponsored Research

Enhancements in Research and Enrollment

- Recruited two Leading Scholars in Innovation from the University of Florida and Royal Holloway College (U.K.)
- Established Working Paper Series
- Increased Majors from 19 in 2001 to 99 in 2006

HONORS, AWARDS, RANKINGS, AND FELLOWSHIPS

2021	Elected Fellow of the American Association for the Advancement of Science (AAAS)
2020	Elected Dean of AOM Fellows, Academy of Management
2016	Elected Fellow, Academy of Management (an honor bestowed on <1% of the Academy's 20,000 members)
2024	Ranked #31 in the U.S. and #50 in the World in Business and Management (out of 69,485 academics) and #3 in the U.S. and #4 in the World in Public Administration (out of 4,622 academics)-AD Scientific Index Study- https://www.adscientificindex.com/scientist.php?id=802519
2023	Ranked #32 in the U.S. and #50 in the World in Business and Management (out of 44,497 academics) (AD Scientific Index Study- https://www.adscientificindex.com/scientist.php?id=802519)
2023	Ranked #40 in the U.S. and #72 in the World in the Field of Business and Management (Research.com study- https://research.com/u/donald-s-siegel)
2022	Ranked #28 in the U.S. and #50 in the World in the Field of Business and Management (<u>Ann Harzing</u> , based on Scopus citations)
2022	Ranked #29 in the U.S. and #47 in the World in the Field of Business and Management (AD Scientific Index Study- https://www.adscientificindex.com/scientist.php?id=802519)
2022	Ranked #40 in the U.S. and #72 in the World in the Field of Business and Management (Research.com study- https://research.com/u/donald-s-siegel)
2023	Ranked #79 in the World in the Field of Business and Management (out of 62,447 academics) (Stanford study- https://elsevier.digitalcommonsdata.com/datasets/btchxktzyw/6
2022	Ranked #84 in the World in the Field of Business and Management (out of 53,336 academics) (Stanford study-https://elsevier.digitalcommonsdata.com/datasets/btchxktzyw/4?fbclid=IwAR07ypA1itO9a12NobWjfPGKhbA5x2AF-IJORdUbJhkayswd6T7RoR1RC1Y)
2021	Ranked #79 in the World in the Field of Business and Management (out of 44,495 academics) (Stanford study- https://elsevier.digitalcommonsdata.com/datasets/btchxktzyw/3)
2020	Ranked #85 in the World in the Field of Business and Management (out of 36,319 academics) (Stanford study- https://drive.google.com/file/d/1bUJrvurVVBbxS19eFZRSHFif7tt30-5U/view)
2016	Ranked #377 in the World among Academic Economists

(Based on Citations, According to RePEc-see https://ideas.repec.org/top/top.person.euclid.html)

2007 Ranked #2 in the World for Academic Research on University Entrepreneurship

(Based on Publications for the Period, 1981–2005,

see http://mgt.gatech.edu/directory/faculty/rothaermel/pubs/07ICC.pdf)

2003 Ranked in the Top 1% of Academic Economists Worldwide (#760)

(Based on Publications for the Period 1990-2000)

European Economic Association Publication-"Revealed Performances"

(see http://homepages.ulb.ac.be/~tcoupe/update/top1000p.html)

2009 Carlton Whitehead Distinguished Lecturer

> Rawls College of Business Texas Tech University

2007-2010 Visiting Professor-Project IRIS (Italian Research on Innovation Systems)

University of Bologna

2008-present Senior Research Fellow

Jerusalem Institute for Market Studies

2002-2012 Visiting Professor

International Centre for Corporate Social Responsibility

University of Nottingham

2000-2002 Research Fellow

Leverhulme Centre for Research on Globalisation and Economic Policy

University of Nottingham

1998 Award for Outstanding Research Contribution

The Center for Investment Research

Social Investment Forum

2006 Best Paper Award-Third Prize

Journal of Management Studies

1996-1997 Faculty Award of Achievement in Research

Arizona State University West (1 award for entire campus)

1996 Best Paper Award

> Research Methods Division Academy of Management

1989-1994 Faculty Research Fellow

> Productivity and Technical Change Studies Program. National Bureau of Economic Research, Cambridge, MA.

Senior Research Fellow 1991-1992

ASA/NSF/BLS Research Program

U.S. Bureau of Labor Statistics, Washington, D.C.

1988-1989 Alfred P. Sloan Foundation Post-Doctoral Research Fellow

> Productivity and Technical Change Studies Program National Bureau of Economic Research, Cambridge, MA.

1987 Beta Gamma Sigma

1986-1988 Research Associate

ASA/NSF/Census Research Program U.S. Census Bureau, Washington, D.C.

1984-1986 Columbia Doctoral Fellowship

RESEARCH INTERESTS

Technology Transfer/Academic Entrepreneurship
Economic and Strategic Implications of Entrepreneurship and Technological Change
Corporate Governance
Corporate Social Responsibility
Productivity Analysis
Economics of Gambling

EDITORIAL RESPONSIBILITIES

2015-2020 General Editor

Journal of Management Studies (2022 SSCI Impact Factor: 10.5)

1/15-7/15 Associate Editor

Journal of Management Studies

2021-present Consulting Editor

Journal of Management Studies

2011-2015 Co-Editor

Academy of Management Perspectives (2022 SSCI Impact Factor: 8.9)

2002-present Editor

Journal of Technology Transfer (2022 SSCI Impact Factor: 5.783)

2013-present Series Editor, The World Scientific Reference on Innovation, World Scientific Publishing

2013-present Series Editor, The World Scientific Reference on Entrepreneurship, World Scientific Publishing

2007-2010 Associate Editor

Journal of Business Venturing (2022 SSCI Impact Factor: 8.7)

2008-2011 Associate Editor

Academy of Management Learning & Education (2022 SSCI Impact Factor: 4.8)

2003-present Associate Editor

Journal of Productivity Analysis (2022 SSCI Impact Factor: 1.6)

2018-present Senior Editor

Journal of Management Development

2017-2020

2012-2015 Editorial Board

Academy of Management Review (2022 SSCI Impact Factor: 16.4)

2014-present Editorial Board

British Journal of Management (2022 SSCI Impact Factor: 5.6)

2013-present Editorial Board

Oxford Research Reviews: Business and Management

2015-present

2006-2011 **Editorial Board**

Academy of Management Perspectives

2010-present Editorial Board

Journal of Business Venturing

2007-2010 Consulting Editor

Journal International of Business Studies (2022 SSCI Impact Factor: 11.6)

2006-2020 **Editorial Board**

Strategic Entrepreneurship Journal (2022 SSCI Impact Factor: 6.3)

2003-2015 **Editorial Board**

Journal of Management Studies

2007-present Editorial Board

Corporate Governance: An International Review (2022 SSCI Impact Factor: 5.660)

2020-present Editorial Board

Journal of Economics, Management, and Religion

2007-present Editorial Board

International Journal of Business Excellence

2009-present Editorial Board

Journal of Sustainable Technologies for Growing Economies

2008-present Editorial Board

International Journal of Management Studies and Research

1999-2002 Associate Editor

Journal of Technology Transfer

2007-present Editorial Advisory Board

Technology Transfer Tactics

2019-present Editorial Advisory Board

Journal of Risk and Financial Management

2024-2026 Co-Editor (with Miriam Erez, Maribel Guerrero, Mirjam Knockaert, and Phil Phan)

Special Issue on "Re-thinking Academic Entrepreneurship: Micro, Macro, and Meso Perspectives"

Strategic Entrepreneurship Journal

2023-2025 Co-Editor (with Sophie Bacq and Maribel Guerrero)

Special Issue on "Entrepreneurship as an Academic Field: Taking Stock and New Directions"

International Journal of Management Reviews

2023-2024	Co-Editor (with Maryann Feldman, Maribel Guerrero, Andy Lockett, and Siri Terjesen) Special Issue on "Assessing the Impact of University Innovation and Entrepreneurial Ecosystems: Managerial and Policy Implications" Academy of Management Perspectives
2021-2023	Co-Editor (with David A. Waldman, Miriam Erez, Zhaoli Song, and Ute Stephan) Special Issue on "Organizational Behavior and Human Resource Management Perspectives on Entrepreneurship"
	Personnel Psychology
2020-2022	Co-Editor (with P. Deveraux Jennings, Xue Lan, and Marcel Bogers) Special Issue on "Technology Transfer from National/Federal Labs and (Non-University) Research Institutes: Managerial and Policy Implications"
	Research Policy
2020-2021	Co-Editor (with Alfredo De Massis, Riccardo Fini, Mike Wright, and John Prescott) Special Issue on "Organizational Goals, Firm Outcomes, and the Assessment of Performance: Reconceptualizing Success in Management Studies"
	Journal of Management Studies
2019-2020	Co-Editor (with Jonathan Doh, Christian Voegtlin, Andreas Georg Scherer, Günter Stahl, and Olga Hawn) Special Issue on "Grand Societal Challenges and Responsible Innovation" Journal of Management Studies
2019-2020	Co-Editor (with Al Link and Robert Sauer) Special Issue on "The Economics of Research and Development and Technology Transfer" European Economic Review
2018-2019	Co-Editor (with David Audretsch and Siri Terjesen) Symposium on "Entrepreneurship in the Public and Nonprofit Sectors" Public Administration Review
2018-2019	Co-Editor (with Maryann Feldman and Mike Wright) Special Issue on "New Developments in Innovation and Entrepreneurial Ecosystems" Industrial and Corporate Change
2018-2019	Co-Editor (with David Waldman, Linda Putnam, and Ella Miron-Spektor) Special Topic Forum on "The Role of Paradox Theory in Decision Making and Management Research" Organizational Behavior and Human Decision Processes
2017-2018	Co-Editor (with Colin Mayer and Mike Wright) Issue on "Entrepreneurship" Oxford Review of Economic Policy
2017-2018	Co-Editor (with Mike Wright) Symposium on "Alternative Investments and Corporate Governance" <u>Academy of Management Perspectives</u>
2017-2018	Co-Editor (with Riccardo Fini, Einar Rasmussen, and Johan Wiklund) Symposium on "The Commercialization of Science: An Integrative Research Agenda on Managing the Science-Business Interface" Academy of Management Perspectives
2016-2017	Co-Editor (with M. Diane Burton and Rob Fairlie)

	Special Issue on "The Labor Market and Human Resource Management Implications of Entrepreneurship" Industrial and Labor Relations Review
2015-2016	Co-Editor (with Satish Nambisan and Martin Kenney) Special Issue on "Entrepreneurship and Open Innovation" Strategic Entrepreneurship Journal
2015-2016	Co-Editor (with David Teece) Special Issue on "Strategic Management Theory and Universities" Strategic Organization
2015-2016	Co-Editor (with David Audretsch, Al Link, and Robert Sauer) Special Issue on "The Economics of Entrepreneurship" <u>European Economic Review</u>
2014-2015	Co-Editor (with Benson Honig, Joseph Lampel, and Paul Drnevich) Special Topic Forum on "Ethics in Management Research: Collusion, Competition, or Collaboration?" <u>Academy of Management Learning and Education</u>
2014-2015	Co-Editor (with Timothy Devinney and Joachim Schwalbach) Special Issue on "Innovation and Sustainability" Long Range Planning
2014-2015	Co-Editor (with Antonio Argandoña, Christine Choirat, Giorgio Coricelli, and Rosa Chun) Special Issue on "Corporate Reputation: Being Good and Looking Good" Business and Society
2013-2014	Co-Editor (with Erkko Autio, Martin Kenney, Phillipe Mustar, and Mike Wright) Special Issue on "Entrepreneurial Innovation: The Importance of Context" Research Policy
2013-2014	Co-Editor (with Garry Bruton, Susanna Khavul, and Mike Wright) Special Issue on "Seeding Entrepreneurship with Microfinance" Entrepreneurship Theory and Practice
2013-2014	Co-Editor (with Andrew Corbett and Jerome Katz) Volume on "Technology Transfer and Academic Entrepreneurship" Advances in Entrepreneurship, Firm Emergence, and Growth (Emerald Book Series)
2012-2013	Co-Editor (with Peter Klein, Nick Wilson, and Mike Wright) Special Issue on "Effects of Alternative Investments on Entrepreneurship, Innovation, and Growth" Managerial and Decision Economics
2012-2013	Co-Editor (with Herman Aguinis, Fred Morgeson, and David Waldman) Special Issue on "Corporate Social Responsibility and Human Resource Management/ Organizational Behavior" Personnel Psychology
2011-2012	Co-Editor (with Hany Shawky and Mike Wright) Special Issue on "Alternative Investments and Governance" Journal of Corporate Finance
2010-2011	Co-Editor (with Rosa Grimaldi, Martin Kenney, and Mike Wright) Special Issue on "30 Years After Bayh-Dole: Reassessing Academic Entrepreneurship"

Research Policy 2010-2011 Co-Editor (with Jean McGuire, Sanjay Putrevu, and David Smith) Special Issue on "Good-Bad Behavior: Corporate Social Responsibility, Irresponsibility, and Corruption" Journal of Business Research 2009-2010 Co-Editor (with Igor Filatotchev and Mike Wright) Special Issue on "Private Equity, LBOs, and Corporate Governance: International Evidence" Corporate Governance: An International Review 2009-2010 Co-Editor (with Marc Orlitzky and David Waldman) Special Issue on "Corporate Social Responsibility and Environmental Sustainability" **Business and Society** 2008-2009 Co-Editor (with Phil Phan and Mike Wright) Special Issue on "New Developments in Technology Management Education" Academy of Management Learning and Education 2008-2009 Co-Editor (with Doug Cumming and Mike Wright) Special Issue on "International Entrepreneurship: Managerial and Policy Implications" Strategic Entrepreneurship Journal 2008-2009 Co-Editor (with David Paton, Leighton Vaughan Williams and William Ziemba) Special Issue on "Gambling, Prediction Markets, and Public Policy" Southern Economic Journal 2007-2008 Co-Editor (with David Paton, Leighton Vaughan Williams and William Ziemba) Special Issue on "The Growth of Gambling and Prediction Markets: Economic and Financial Implications" **Economica** 2007-2008 Co-Editor (with Gideon Markman and Mike Wright) Special Issue on "Research and Technology Commercialization" Journal of Management Studies 2007 Co-Editor (with Mike Wright) Issue on "Intellectual Property" Oxford Review of Economic Policy 2007 Co-Editor (with Christian Schade) Special Issue on "Interdisciplinary Perspectives on Entrepreneurship" **Small Business Economics** Co-Editor (with Michael C. Jensen, Doug Cumming, and Mike Wright) 2006-2007 Special Issue on "Private Equity, LBOs, and Governance" Journal of Corporate Finance 2006-2007 Co-Editor (with Andy Lockett and Mike Wright) Special Issue on "The Rise of Entrepreneurial Activity at Universities: Organizational and Societal Implications" Industrial and Corporate Change

Co-Editor (with Mike Ensley, Keith Hmieleski, and Mike Wright)

Special Issue on "The Role of Human Capital in Technological Entrepreneurship"

2006-2007

Entrepreneurship	Theor	y and Practice

2005-2006 Co-Editor (with Al Link and Frank Rothaermel)
Special Issue on "University Technology Transfer"
IEEE Transactions on Engineering Management

2005-2006 Co-Editor (with Amy Hillman and Peter Rodriguez)

Special Issue on "Political Strategies, Corruption, and Corporate Social Responsibility"

Journal of International Business Studies

2005 Co-Editor (with Abagail McWilliams and Patrick Wright)

Special Issue on "International Perspectives on Corporate Social Responsibility"

Journal of Business Strategies

2004-2005 Co-Editor (with Catherine Morrison Paul)

Special Issue on "Corporate Social Responsibility and Economic Performance"

Journal of Productivity Analysis

2004-2005 Co-Editor (with Al Link)

Special Issue on "University-Based Technology Initiatives"

Research Policy

2004-2005 Co-Editor (with Andy Lockett, Mike Wright, and Michael Ensley)

Special Issue on "The Creation of Start-up Firms at Public Research Institutions:

Managerial and Policy Implications"

Research Policy

2004-2005 Co-Editor (with Abagail McWilliams and Patrick Wright)

Special Issue on "Corporate Social Responsibility"

Journal of Management Studies

2003-2004 Co-Editor (with Phil Phan and Mike Wright)

Special Issue on "Science Parks and Incubators"

Journal of Business Venturing

2003-2004 Co-Editor (with David Paton)

Special Issue on the "Economics of Corporate Social Responsibility"

Structural Change and Economic Dynamics

2003 Co-Editor (with Bruno Van Pottelsberghe de la Potterie)

Special Issue on "Economic and Managerial Implications of University Technology Transfer"

Journal of Technology Transfer

2002-2003 Co-Editor (with Al Link and John Scott)

Special Issue on the "Economics of Intellectual Property at Universities"

<u>International Journal of Industrial Organization</u>

2001-2002 Co-Editor (with Charles Wessner, Martin Binks, and Andy Lockett)

Special Issue on: "Policies Promoting Innovation in Small Firms:

Evidence From the U.S. and U.K."

Small Business Economics

2001 Co-Editor (with Jerry Thursby, Marie Thursby, and Arvids Ziedonis).

Special Issue on "Organizational Issues in University Technology Transfer"

Journal of Technology Transfer

REFEREEING ACTIVITIES

Academy of Management-BPS Division, Academy of Management-ENT Division, Academy of Management-TIM Division, Academy of Management-SIM Division, Academy of Management Journal, Academy of Management Review, American Journal of Agricultural Economics, American Economic Review, Addison Wesley Longman, Austrian Science Fund, Berkeley Electronic Journals in Economic Analysis & Policy, British Academy, Business Ethics Quarterly, Cambridge University Press, Canadian Journal of Economics, Contemporary Economic Policy, Department of Energy, Dryden Press, Economic and Social Research Council, Economic Inquiry, Economic Journal, Economic Modelling, Economica, Economics of Innovation and New Technology, Education Economics, Elsevier Science, Empirical Economics, Engineering and Physical Sciences Research Council, Environmental Protection Agency, European Economic Review, European Journal of Finance, European Science Foundation, French Research Foundation (Agence Nationale de la Recherche), Industrial and Corporate Change, IEEE Transactions On Engineering Management, Information Systems Research, International Economic Review, International Food and Agribusiness Management Review, International Journal of Industrial Organization, Israel Science Foundation, Journal of Agricultural Economics, Journal of Applied Business Research, Journal of Business and Economic Statistics, Journal of Business Venturing, Journal of Environmental Management, Journal of Econometrics, Journal of Economic Behavior and Organization, Journal of Economic Geography, Journal of Financial Economics, Journal of Industrial Ecology, Journal of Industrial Economics, Journal of International Business Studies, Journal of International Economics, Journal of Law and Economics, Journal of Macroeconomics, Journal of Management, Journal of Management Studies, Journal of Money, Credit, and Banking, Journal of Operations Management, Journal of Policy Analysis and Management, Journal of Productivity Analysis, Kauffman Foundation, Kluwer Academic Publishers, Labour Economics: An International Journal, Land Economics, Leibniz Association, Management Science, MIT Press, National Research Council, NSF-Decision, Risk, and Management Science, NSF-Science of Science and Innovation Policy, NSF-Science Resource Studies, Department of Energy, Organization Science, Oxford Review of Economic Policy, Oxford Bulletin of Economics and Statistics, Oxford University Press, Prentice Hall, Public Budgeting & Finance, Regional Science and Urban Economics, R&D Management, Research Policy, Research Council of Norway, The Review of Economics and Statistics, Routledge, Scandinavian Journal of Economics, Science, Science and Public Policy, Scientometrics, Scottish Journal of Political Economy, Sloan Management Review, Small Business Economics, Social Sciences and Humanities Research Council of Canada, Southern Economic Journal, Springer, Stanford University Press, Strategic Entrepreneurship Journal, Structural Change and Economic Dynamics, Technology Analysis and Strategic Management, Venture Capital: An International Journal of Entrepreneurial Finance, Yale University Press, University of Chicago Press, Strategic Research Foundation, iScience, Leibniz Competition

COURSES TAUGHT

Strategic Management (BS, MBA, AlliedSignal Corporate MBA, Executive MBA, Modular MBA, MSc in Science, Entrepreneurship, and Technology)

Microeconomics of Public Policy (BS, MPA)

Microeconomics of the Public Sector: The Role of Government in Innovation and Entrepreneurship (MPA)

Social and Corporate Responsibility (MBA)

Technological Entrepreneurship (MBA)

Management Synthesis (MBA)

Advanced Topics in Management (MBA)

Quantitative Research Methods (Ph.D.)

Business Economics (MBA, AlliedSignal Corporate MBA, Executive MBA)

Microeconomics (MS, MBA)

Applied Industrial Organization (MS)

Economics of Technological Change (MS)

Applied Econometrics (MS)

Advanced Econometrics (Ph.D.)

Advanced Economics for Public Administration and Public Policy (Ph.D.)

Measurement and Analysis of Productivity (MS)

Economics of Information Technology (BS)

Introduction to Entrepreneurship and Social Responsibility (BS)

GRANTS AND CONTRACTS

"Industrial R&D and Productivity: Using an Expanded NSF/Census Data Linkage," Division of Science Resource Studies, National Science Foundation, Interagency Agreement No. SRS-8801036, 1987-1988, co-principal investigator with Frank Lichtenberg.

"A Detailed Analysis of Errors of Measurement and Their Effect on Sectoral Measures of Productivity Growth," American Statistical Association/National Science Foundation/Bureau of Labor Statistics Research Program, 1991-1992, Principal Investigator.

"The Impact of Investments in Computers on Manufacturing Productivity Growth," Alfred P. Sloan Foundation, 1992-1993, principal investigator.

"The Impact of Technology, Trade, and Outsourcing on Employment and Labor Composition," sponsored by the Bureau of International Labor Affairs, U.S. Department of Labor. 1996, co-principal investigator with Catherine Morrison.

"The Impact of Technological Change on Employment: Evidence from a Firm-Level Survey of Long Island Manufacturing Firms," 1995-1996, Research Grant from the W. E. Upjohn Institute for Employment Research for the development of a scholarly monograph, principal investigator.

"On University R&D and Technological Diffusion: Measuring the Relative Performance of University Technology Transfer Offices," 1998, Pinhas-Sapir Economic Policy Forum (Tel-Aviv and Hebrew Universities), co-principal investigator with Jonathan Silberman and Robert Sauer.

"The Impact of Organizational Practices on University Technology Transfer Performance: Quantitative and Qualitative Evidence," 1998-1999, Alfred P. Sloan Foundation-NBER Project on Industrial Technology and Productivity, coprincipal investigator with David Waldman and Albert Link.

"Economic Assessment of General Betting Duty," Excise Policy Group, Her Majesty's Customs and Excise (U.K.), 2000, co-principal investigator with David Paton and Leighton Vaughan-Williams.

"Market Analysis and Option Generation for the Taxation of Spread Betting," Excise Policy Group, Her Majesty's Customs and Excise (U.K.), 2001, co-principal investigator with David Paton and Leighton Vaughan-Williams.

"New Evidence on the Demand for Gambling," Department of Culture, Media and Sport (U.K.), 2002-2004, coprincipal investigator with David Paton and Leighton Vaughan-Williams.

"Assessing the Relative Efficiency of University Technology Transfer Offices in the U.S., U.K., and Israel: A Distance Function Approach," 2003, Samuel Neaman Institute for Advanced Studies in Science and Technology (Technion-Israel Institute of Technology).

Member-Research Team-"Capitalizing on Science, Technology, and Innovation: An Assessment of the Small Business Innovation Research (SBIR) Program," 2003-present, Board on Science Technology, and Economic Policy, National Research Council (National Academy of Sciences).

Grants to Organize Technology Transfer Society Conference, 2003 (Albany), 2005 (Kansas City), 2007 (UC-Riverside-Palm Desert), 2008 (Albany), Kauffman Foundation.

"Development of a Lighting Industry Research Alliance," 2003-2004, Alfred P. Sloan Foundation, co-principal investigator with Mark Rea and Susan Sanderson (potential precursor to the establishment of Sloan Industry Center).

"University Research Parks and the Innovative Performance of Park Firms," 2008-2011, National Science Foundation, Science of Science and Innovation Policy Program, co-principal investigator (with Al Link).

"Small Enterprise Economic Development (SEED) Program," 2011-2013, Empire State Development, joint program with the School of Social Welfare, co-principal investigator (with Paul Miesing, Katharine Briar-Lawson, and William Brigham).

"Assessing the Impact of Organizational Justice on Academic Entrepreneurship: Qualitative and Quantitative Evidence from University Scientists," 2015-2018, National Science Foundation, Science of Science and Innovation Policy Program, co-principal investigator (with David Waldman).

"Empirical Measurement of the Impact of Technology Transfer from Federal Laboratories," 2016-2017, National Institute of Standards and Technology, Department of Commerce, (with Michael Gallaher, Alan O'Connor, Al Link, Barry Bozeman, and Gretchen Jordan).

"Workshop on Organizational Issues in Technology Transfer at Federal Laboratories," 2017, National Science Foundation, Science of Science and Innovation Policy Program, co-principal investigator (with David Waldman).

"Linking Engineering and Public Policy in the MPA/MPP Curriculum and Beyond," 2018-2019, Sloan Foundation, coprincipal investigator (with Laura Steinberg)

"Psychological Processes Before the Entrepreneurship Decision (or not): A Mixed Methods Study of Postdoc Scientists," Kauffman Foundation, 2019-2020, co-principal investigator (with David Waldman and Marie Mitchell).

"Management and Organizational Issues in Technology Transfer at Federal Laboratories: Data Collection and Analysis to Advance Commercialization of Federally-funded Research," National Science Foundation, Science of Science: Discovery, Communication, and Impact Program, 2020-2022, co-principal investigator (with David Waldman).

"Research on the NSF I-Corps Program: Improving Evaluation," National Science Foundation, Directorate for Technology, Innovation and Partnerships, I-Corps Program, 2023-2024, principal investigator (with David Waldman and Onnolee Nordstrom)

"Indigenous Innovation Network (IIN): Advancing Distributed Manufacturing Innovations in Tribal Communities (IIN-ADMIT)," National Science Foundation, Directorate for Technology, Innovation and Partnerships, Regional Innovation Engines Program, 2023-2025, co-principal investigator (with Scott Halliday, Maryann Feldman, David Waldman, and Binil Starly)

"Translational Research Ambassadors Network for Strengthening Institutional Capacity and Fostering a Responsive and Open Mindset (TRANSFORM)," 2024-2027, principal investigator (with David Waldman and Maryann Feldman), National Science Foundation, Directorate for Technology, Innovation and Partnerships, Accelerating Research Translation (ART) Program (subcontractor to Boise State University)

"A Multi-Level Investigation of Technology Transfer at the NIH: Understanding The Roles of Scientist Ambivalence and Organizational Support," National Science Foundation, Science of Organizations Program, co-principal investigator (with David Waldman and Deborah Rupp)

"Excelling at Tech Transfer: An Educational Program to Advance Inclusive Innovation and Entrepreneurial Ecosystems," VentureWell Grant Program, co-principal investigator (with Maribel Guerrero and Maryann Feldman)

GRANT PROPOSAL SUBMITTED

"Leveraging Inclusion, Diversity, Equity, and Accessibility (IDEA) and Education to Excel in University Technology Transfer," Spencer Foundation, co-principal investigator (with Maribel Guerrero and David Waldman)

SEMINAR AND CONFERENCE PRESENTATIONS

Hebrew University-2024 Reichman University-2024 OPEN '24 VentureWell-2024 UNLV-2023 Stanford University-1995, 2020 University of Illinois Urbana/Champaign-2004, 2021 Baylor University-2020 Ariel University-2018 AOM Conference-Tel Aviv, Israel-2018 University of Connecticut-2017 Rochester Institute of Technology-2017 Johns Hopkins University-2019, 2012 Washington University-2012 Syracuse University-2011 University of Illinois at Chicago-2006, 2013 University of Durham-2008 Rutgers University-2022, 2020, 2008 Israel Strategy Conference-2007 Cornell University-2007 Strategic Entrepreneurship Journal Launch Conference-2007 University of California at Berkeley-2007, 1996

University of California at Riverside-2005

UMKC-2006

Kauffman Foundation-2005

National Bureau of Economic Research-2004-2006, 1999, 1998, 1987-1995

American Economic Association-2004, 2001, 1997, 1996, 1991-1993,1986-1988

North American Productivity Workshop-University of Toronto-2004
Union College-2002

National Academy of Sciences-2001-2006, 2009, 2010, 2015-2018, 1999, 1995

Lancaster University-2002

Swedish Ministry of Industry-2001
University of London-Royal Holloway –2001
Danish Research Unit for Industrial Dynamics-Nelson and Winter Conference-2001
CNRS/CEPR/NBER Conference on
Technology Policy and Innovation-2000
European Association for Research in
Industrial Economics-2000
Centre for Economic Policy Research-2000-2001
International Economic Association-2000
Loughborough University-2000
Association for Public Policy Analysis and
Management-2019, 1999

SUNY-Stony Brook-2013, 2016
Brown University-2009, 2016
Federal Reserve Bank of Cleveland-2009
UNC-Greensboro-2009, 2007, 2002
York University-2009
University of Minnesota-2010
AAAS-2000, 2004, 2009
UNC-Chapel Hill-2009
Temple University-2009,2011
Texas Tech University-2009
New Jersey Institute of Technology-2011
SUNY Binghamton-2003

University of Bologna-2008 North Carolina State University-2007 University of Pennsylvania (Wharton)-2005 University of Redlands-2006 New York University (Stern School)-2006 Stevens Institute of Technology-2006 University of London (Queen Mary)-2005 Max Planck Institute-2006 SPRU-University of Sussex-2006

University of Arizona-2008, 2004

Academy of Management-2001-2024, 1999, 1996-1997 International Industrial Organization Society Conference-2003 Hamburg Institute of International Economics (HWWA)-2001

University of Nottingham-2001-2004

Innovations and Intellectual Property:
Economic and Managerial Perspectives,
Brussels –2001
Network of Industrial Economists-2001
University of Leicester-2001
National Science Foundation-2007, 2006, 2000, 1991

Purdue University-2005, 2001, 2000, 1999

International Schumpeter Society-2000

CERGE-EI-2000

Universite Libre de Bruxelles-2001, 2000 Strategic Management Society-2005, 2000, 1996 Louisiana State University-1999 Maastricht Economic Research Institute on Innovation and Technology (MERIT)-2002 Rensselaer Polytechnic Institute-2008, 2001 International Conference on Comparative Analysis of Enterprise Data (CAED)-2001,1999 University of Chicago-1998, 1990

University of Arkansas-1999 Tel-Aviv University-2023, 2001, 1997

Ben Gurion University-1997 International Productivity Conference, University of Georgia-1996, 1994

C.V. Starr Center Conference on Technologies And Skills, New York University-1994 Columbia University-1992

Federal Reserve Board-1992

U.S. Census Bureau-1991, 1986-1989 Massachusetts Institute of Technology-1989 University of Maryland-1989 Georgia Tech-2002, 2001

WHU-Koblenz-2001 Norwegian School of Economics and Business Administration-2001 Western Economic Association-1995-1998

Portland State University-1997 Technion-Israel Institute of Technology-2001,1997 UCLA-1996 U.S. Department of Labor-1995

Financial Management Association-1995 CUNY Graduate Center-1993

U.S. Bureau of Labor Statistics-1992

International Productivity Conference-Rutgers University-1991, 1989 University of Texas-1990 SUNY-Buffalo-2018, 1989 Brookings Institution-1987

CITATIONS IN GOOGLE SCHOLAR

81,350 (h-index: 110)

http://scholar.google.com/citations?hl=en&user=mpaVyFgAAAAJ&pagesize=100&view_op=list_works

CONSULTING ACTIVITIES AND INDUSTRY EXPERIENCE

Lawrence Berkeley National Lab-2015-2017

National Academies of Sciences, Engineering, and Medicine-2002-2021

Oxford Analytica-2010-2011

United Nations-2003-2006

Her Majesty's Customs and Excise-2000-2005

Chase Manhattan Corporation-1998

National Association of Manufacturers-1993

Deloitte and Touche-1992

Securities Industry Association/Morgan Stanley-1990

National Science Foundation/U.S. Census Bureau-1988-1989

Goldman Sachs & Co-1988

Expert Testimony-U.S. Department of Justice-2007

Expert Testimony-Pennsylvania State Legislature-1990

Securities Analyst-Singleton Associates, Ltd. (an offshore hedge fund)-1982-1984

Ph.D. and D.B.A. COMMITTEES:

Lise Peeters

Meredith McCullough

J. David Selby

Arthur Barbut

Hanuel Choi (chair)

Ashlee Frandell

Brian Uzzi

Maria Gutierrez

Shurojit Chatterji

Udayan Roy Sheila O'Donohoe Faizah Ismail

Hans Vanoorbeek

Winston Canagasuriam

Larry Teh

Brian Rawson

Yan Liu

Khaled Kadry El Sayed

Mike Brown

Gregory Stella

Dona Siregar

Roxana Julia

Raluca Iorgulescu Polimeni

Deborah Nazon

Mary Ellen Malia

Jeff Sugheir

Lining He

Amir Barnea

Pablo de la Garza

Fernando Gomez-Baquero

Dewan Reza Karim

PUBLICATIONS

AUTHORED BOOKS

Maribel Guerrero, Donald S. Siegel, and Abel Díaz-Gonzalez (2024). The Commercialization of Science and the Pandemic: Individual, Organizational, and Institutional Effects, London, UK: Routledge/Taylor & Francis (under contract).

Mike Wright, Philippe Mustar, and Donald S. Siegel (2020). <u>Student Start-Ups: The New Landscape of Academic Entrepreneurship</u> Singapore,: World Scientific Press.

Albert Link and Donald S. Siegel (2007). <u>Innovation, Entrepreneurship, and Technological Change</u>, Oxford, U.K.: Oxford University Press.

Albert Link and Donald S. Siegel (2003). <u>Technological Change and Economic Performance</u>, London and New York, NY: **Routledge**.

Maryann Feldman, Albert Link, and Donald S. Siegel (2002). <u>The Economics of Science and Technology: An Overview of Recent Initiatives to Foster Innovation, Entrepreneurship, and Economic Growth</u>, Norwell, MA: Kluwer Academic Publishers.

Donald S. Siegel (1999). <u>Skill-Biased Technological Change: Evidence from a Firm-Level Survey</u>, W. E. Upjohn Institute for Employment Research, Kalamazoo, MI: **W.E. Upjohn Institute Press**, 1999.

EDITED BOOKS

Abagail McWilliams, Deborah Rupp, Donald S. Siegel, Gunter Stahl, and David Waldman (2019). <u>The Oxford Handbook of Corporate Social Responsibility: Psychological and Organizational Perspectives</u>. Oxford, U.K.: Oxford University Press.

Mike Wright, Kevin Amess, Nick Bacon, and Donald S. Siegel (2018). The Routledge Companion to Management Buyouts. Oxfordshire, U.K.: Taylor and Francis.

Albert Link, Donald S. Siegel, and Mike Wright (2015). <u>Chicago Handbook of University Technology Transfer and</u> Academic Entrepreneurship, Chicago, IL: **University of Chicago Press** (translated into Chinese).

Andrew C. Corbett, Jerome Katz, and Donald S. Siegel (2014). <u>Academic Entrepreneurship: Creating an Entrepreneurial Ecosystem</u>, Volume 16 of <u>Advances in Entrepreneurship</u>, Firm Emergence and Growth, Bingley, U.K.: Emerald Group Publishing Limited.

Leighton Vaughan Williams and **Donald S. Siegel** (2014) <u>The Oxford Handbook of The Economics of Gambling</u>, Oxford, U.K.: **Oxford University Press**.

Mike Wright, Donald S. Siegel, Kevin Keasey, and Igor Filatotchev (2013) The Oxford Handbook of Corporate Governance, Oxford, U.K.: Oxford University Press.

Andrew Crane, Dirk Matten, Abagail McWilliams, Jeremy Moon, and Donald S. Siegel (2008). <u>The Oxford Handbook of Corporate Social Responsibility</u>, Oxford, U.K.: **Oxford University Press**.

Donald S. Siegel (2006). <u>Technological Entrepreneurship: Institutions and Agents Involved in University Technology Transfer</u>, Cheltenham, U.K.: **Edward Elgar Publishing**.

ARTICLES IN REFEREED JOURNALS (* denotes lead article)

- 1) **Maribel Guerrero and Donald S. Siegel** (2024), "Schumpeter Meets Teece: Proposed Metrics for Assessing Entrepreneurial Innovation and Dynamic Capabilities in Entrepreneurial Ecosystems in an Emerging Economy," **Research Policy**, forthcoming.
- 2) **Haneul Choi, Donald S. Siegel, David Waldman, Joohyung Kim, and Ashlee Frandell** (2024), "Role Conflict, Entrepreneurial Identity, and Academic Entrepreneurship: The Effects of Immigration Status," **Small Business Economics**, forthcoming.
- 3) **David Waldman, Ute Stephan, Zhaoli Song, Donald S. Siegel, and Miriam Erez** (2024), "Organizational Behavior and Human Resource Management Perspectives on Entrepreneurship: Lessons Learned and New Directions," **Personnel Psychology**, forthcoming.
- 4) **Deborah Rupp, Herman Aguinis, Donald S. Siegel, Ante Glavas, and Ruth Aguilera** (2024), "Corporate Social Responsibility Research: An Ongoing and Worthwhile Journey," <u>Academy of Management Collections</u>, forthcoming, February 2024.
- 5) **David B. Audretsch, Maksim Belitski, Rosa Caiazza, and Donald S. Siegel** (2023), "Effects of Open Innovation in Startups: Theory and Evidence," **Technological Forecasting & Social Change**, forthcoming.
- 6) **Alfred Z. Liu, Angela Xia Liu, Sangkil Moon, and Donald S. Siegel** (2023), "Does Corporate Social Responsibility Always Result in More Ethical Decision Making? Evidence from Product Recall Remediation," **Journal of Business Ethics**, forthcoming.
- 7) **Siegel, Donald S., Marcel Bogers, P. Devereaux Jennings, and Lan Xue** (2023), "Technology Transfer from National/Federal Labs and Public Research Institutes: Managerial and Policy Implications," **Research Policy**, Volume 52, Issue 1, https://doi.org/10.1016/j.respol.2022.104646
- 8) Haneul Choi, Hyunjung Yoon, Donald S. Siegel, David Waldman, and Marie Mitchell (2022), "Assessing Differences between University and Federal Laboratory Postdoctoral Scientists in Technology Transfer," **Research**

Policy, Volume 51, Issue 3, https://doi.org/10.1016/j.respol.2021.104456

- 9) **David B. Audretsch, Maksim Belitski, Maribel Guerrero, and Donald S. Siegel** (2022), "Assessing the Impact of the U.K.'s Research Excellence Framework on the Relationship Between University Scholarly Output and Regional Economic Growth," **Academy of Management Learning and Education**, Vol. 21, No. 3, pp. 394–421, https://doi.org/10.5465/amle.2021.0240
- 10) **Siegel, Donald S.** (2022), "Two Cheers for RRBM, Pasteur's Quadrant, and an Application of RRBM to the Commercialization of Science and Technology Transfer," **Journal of Management Studies**, Vol. 59, No. 6, pp. 1643-1650, https://doi.org/10.1111/joms.12815
- 11) **Michael Holmes, David Waldman, Donald S. Siegel, and Justin Pepe** (2022), "Declining Trust in Capitalism: Managerial, Research, and Public Policy Implications," <u>Academy of Management Perspectives</u>, Vol. 36, No. 4, 984-1006, https://doi.org/10.5465/amp.2021.0011
- 12) **David Waldman, Rachel Balven, Manuel Vaulont, Donald Siegel, and Deborah Rupp** (2022), "The Role of Justice Perceptions in Formal and Informal University Technology Transfer," **Journal of Applied Psychology**, Vol. 107, No. 8, pp. 1397-1413, https://doi.org/10.1037/apl0000944
- 13) **Ruth Aguilera, David Waldman, and Donald S. Siegel** (2021), "Responsibility and Organization Science: Integrating Micro and Macro Perspectives," **Organization Science**, Vol, 33, No, 1, https://pubsonline.informs.org/doi/10.1287/orsc.2021.1518
- 14) **Donald S. Siegel and Maribel Guerrero** (2021). "The Impact of Quarantines, Lockdowns, and "Re-openings" on the Commercialization of Science: Micro and Macro Issues," **Journal of Management Studies**, July 2021, https://doi.org/10.1111/joms.12692
- 15) **Pei Sun, Jonathan Doh, Tazeeb Rajwani, and Donald S. Siegel** (2021), "Navigating Cross-Border Institutional Complexity: A Review of Multinational Nonmarket Strategy," **Journal of International Business Studies**, Vol. 52, pp. 1818–1853, https://doi.org/10.1057/s41267-021-00438-x.
- 16) **Mike Wright and Donald S. Siegel** (2021), "Alternative Investments and Corporate Governance," <u>Academy of Management Perspectives</u>, Vol. 35, No. 1, pp. 1-8, https://doi.org/10.5465/amp.2018.0182
- 17) **Donald S. Siegel** (2020), "Why Do Corporations Decide to Do Good," **Journal of Economics, Management and Religion**, Vol. 1, No. 1, 1-19.
- 18) **David Audretsch, Donald S. Siegel, and Siri Terjesen,** (2020). "Entrepreneurship in the Public and Nonprofit Sectors," **Public Administration Review**, Vol. 80, No. 3, pp. 468-472.
- 19) *David Waldman, Donald S. Siegel, and Gunter Stahl (2020), "Defining the Socially Responsible Leader: Revisiting Issues in Responsible Leadership," <u>Journal of Leadership and Organizational Studies</u>, Vol. 27, No. 1, pp. 5–20.
- 20) **Mei Peng Low and Donald S. Siegel** (2020) "A Bibliometric Analysis of Employee-Centered Corporate Social Responsibility Research in the 2000s," **Social Responsibility Journal**, Vol. 16, No. 5, 691-717.
- 21) **Donald S. Siegel and David Waldman** (2019), "Organizational and Psychological Issues in the Commercialization of Research at Universities and Federal Labs," <u>les Nouvelles: The Journal of the Licensing Executives Society International</u>, Vol. 54, No. 2, pp. 120-124.
- 22) **Sohvi Heaton, Donald S. Siegel, and David J. Teece** (2019), "Leadership and Asset Orchestration by Universities in Innovation Ecosystems: An Application of the Dynamic Capabilities Framework," <u>Industrial and Corporate</u> <u>Change</u>, Vol. 28, No. 4, pp. 921-939.

- 23) **David A. Waldman, Linda L. Putnam, Ella Miron-Spektor and Donald S. Siegel** (2019), "The Role of Paradox Theory in Decision Making and Management Research," **Organizational Behavior and Human Decision Processes.** Vol. 155, pp. 1-6.
- 24) Maryann Feldman, Donald S. Siegel, and Mike Wright (2019), "New Developments in Innovation and Entrepreneurial Ecosystems," <u>Industrial and Corporate Change</u>, Vol. 28, No. 4, pp. 817–826.
- 25) **M. Diane Burton, Robert Fairlie, and Donald S. Siegel** (2019), "Entrepreneurship and Employment: Connecting Labor Market Institutions, Corporate Demography, and Human Resource Management Practices," <u>ILR Review</u>, Vol. 72, No. 5, pp. 1050-1064.
- 26) **Sandra E. Price and Donald S. Siegel** (2019), "Assessing the Role of the Federal Government in the Development of New Products, Industries, and Companies: Case Study Evidence since World War II," **Annals of Science and Technology Policy**: Vol. 3: No. 4, pp 348-437.
- 27) **Rosa Chun, Antonio Argandoña, Christine Choirat, and Donald S. Siegel** (2019), "Corporate Reputation: Being Good and Looking Good," **Business and Society**, Vol. 58, No. 6, pp. 1131–1142.
- 28) Colin Mayer, Donald S. Siegel, and Mike Wright (2018), "Entrepreneurship: An Assessment," Oxford Review of Economic P\olicy, Vol. 34, No. 4, pp. 517–539.
- 29) **Satish Nambisan, Martin Kenney, and Donald S. Siegel** (2018), "Open Innovation, Platforms, and Entrepreneurship," **Strategic Entrepreneurship Journal**, Vol. 12, No., 3, pp. 354-368.
- 30) Rachel Balven, Virgil Fenters, Donald S. Siegel, and David Waldman (2018), "Academic Entrepreneurship: The Roles of Identity, Motivation, Championing, Education, Work-Life Balance, and Organizational Justice," <u>Academy</u> of Management Perspectives, Vol. 32, No. 1, pp. 21-42.
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- 144) *Frank Lichtenberg and Donald Siegel (1987). "Productivity and Changes in Ownership of Manufacturing Plants," <u>Brookings Papers on Economic Activity</u>, 1987:3, pp. 643-673 (Also appeared as **NBER Reprint #1208** and as Chapter 3 of <u>Corporate Takeovers and Productivity</u>, by Frank Lichtenberg, Cambridge, MA: MIT Press and Chapter 13 in <u>Innovation, Evolution of Industry and Economic Growth</u> (The International Library of Critical Writings in Economics, No. 118), edited by David Audretsch and Steven Klepper, Aldershot, Great Britain: Edward Elgar Publishing Ltd.).

BOOK CHAPTERS

- 1) **Donald S. Siegel** (2023) "Plant-Level and Project-Level Performance of Private Equity," in <u>The Palgrave Encyclopedia of Private Equity</u>, edited by Douglas J. Cumming and Benjamin Hammer, Palgrave Macmillan, Camden, UK, https://doi.org/10.1007/978-3-030-38738-9_75-1
- 2) **Donald S. Siegel and Mike Wright** (2015) "University Technology Transfer Offices, Licensing, and Start-ups," in the *Chicago Handbook of University Technology Transfer and Academic Entrepreneurship*, Chicago, IL: University of Chicago Press, edited by Al Link, Donald S. Siegel, and Mike Wright, pp. 1-40.
- 3) Paul Miesing, Brad Watts, Donald S. Siegel, and Katharine Briar-Lawson (2015). "Lessons on Microenterprise Development from a University-Based Microlending Development Program," in the <u>Oxford Handbook of Local</u> <u>Competitiveness</u>, David Audretsch, Al Link, and Mary Walshok (eds.), Oxford, U.K. Oxford University Press, forthcoming.
- 4) **Mike Wright, Donald S. Siegel, Miguel Meuleman, and Kevin Amess** (2013) "Private Equity, Leveraged Buyouts, and Corporate Governance," in **The Oxford Handbook of Corporate Governance**, edited by Mike Wright, Donald S. Siegel, Kevin Keasey, and Igor Filatotchev, Oxford, U.K.: Oxford University Press, pp. 539-563.
- 5) **Donald S. Siegel** (2013) "Academic Entrepreneurship: Lessons Learned For University Administrators and Policymakers," in <u>Creating Competitiveness: Entrepreneurship and Innovation Policies for Growth</u>, edited by David Audretsch and Mary Walshok, Cheltenham, UK: Edward Elgar Publishing, pp. 152-169.
- 6) **Donald S. Siegel** (2010) "The Rise of University Technology Transfer and Academic Entrepreneurship: Managerial and Policy Implications," in the <u>Handbook of Research on Innovation and Entrepreneurship</u>, edited by David Audretsch, Oliver Falck, Stephan Heblich, and Adam Lederer, Great Britain: Edward Elgar Publishing Ltd., pp. 300-314.
- 7) **Donald S. Siegel** (2009) "Real Effects of Private Equity: Empirical Evidence and a Research Agenda," in **Companion to Private Equity**, edited by Douglas J. Cumming, Oxford, U.K.: Wiley-Blackwell, Chapter 13, pp. 301-310.
- 8) **Donald S. Siegel, Ken Simons, and Tomas Lindstrom** (2009) "Ownership Change, Productivity, and Human Capital: New Evidence from Matched Employer-Employee Data," in **Producer Dynamics: New Evidence from Micro Data**, edited by Mark Roberts, Tim Dunne, and Brad Jensen, Chicago: University of Chicago Press (NBER/CRIW Conference Volume for the University of Chicago Press), pp. 650-736.
- 9) **Albert N. Link** and **Donald S. Siegel** (2009). "Assessing the Social Returns to Innovation: An Application of the Griliches/Mansfield Framework to University Technology Transfer," in <u>Advances in the Study of Entrepreneurship</u>, <u>Innovation</u>, and <u>Economic Growth</u>, edited by Gary Liebcap, Amsterdam: Elsevier Science/JAI Press, Vol. 19, pp.171-187.

- 10) **Kate Spilde Contreras and Donald S. Siegel** (2009) "A Case Study of the Strategic Use of CSR: The American Gaming Association and the National Center for Responsible Gaming," in <u>Corporate Social Responsibility: A Case Study Approach</u>, edited by Christine Mallin, Aldershot, Great Britain: Edward Elgar Publishing Ltd., pp. 177-193.
- 11) Andrew Crane, Dirk Matten, Abagail McWilliams, Jeremy Moon, and Donald S. Siegel (2008) "The CSR Agenda," in <u>The Oxford Handbook of Corporate Social Responsibility</u>, edited by Andrew Crane, Dirk Matten, Abagail McWilliams, Jeremy Moon, and Donald S. Siegel, Oxford, U.K.: Oxford University Press, pp. 3-15.
- 12) **Jessica Ludescher**, **Abagail McWilliams**, and **Donald S. Siegel** (2008). "The Economic View of Corporate Citizenship," in the **Handbook of Research on Global Corporate Citizenship**, edited by Andreas Scherer and Guido Palazzo, Aldershot, Great Britain: Edward Elgar Publishing Ltd., 315-342.
- 13) **Donald S. Siegel** (2007) "Quantitative and Qualitative Studies of University Technology Transfer: Synthesis and Policy Recommendations," in the <u>Handbook of Research on Entrepreneurship Policy</u>, edited by David Audretsch, Isabel Grilo, and Roy Thurik, Aldershot, Great Britain: Edward Elgar Publishing Ltd., pp. 186-199.
- 14) **Donald S. Siegel and Phillip Phan** (2005). "Analyzing the Effectiveness of University Technology Transfer: Implications for Entrepreneurship Education," in <u>Advances in the Study of Entrepreneurship, Innovation, and Economic Growth</u>, edited by Gary Liebcap, Amsterdam: Elsevier Science/JAI Press, Vol. 16, pp. 1-38.
- 15) **David Waldman and Donald S. Siegel** (2005). "The Influence of CEO Transformational Leadership on Firm-Level Commitment to Corporate Social Responsibility," in the <u>Handbook on Responsible Leadership and</u> <u>Governance in Global Business</u>, edited by Jonathan P. Doh and Stephen Stumpf, Cheltenham, U.K.: Edward Elgar Publishing, pp. 243-258.
- 16) **Andy Lockett, Donald Siegel, and Mike Wright** (2004). "University Spin-outs," in <u>The Blackwell Encyclopedic Dictionary of Entrepreneurship</u>, edited by Michael A. Hitt and R. Duane Ireland, Oxford, UK: Blackwell, pp. 241-244.
- 17) **Rouben Indjikian and Donald S. Siegel** (2003). "ICT, the Internet, and Economic Performance: Implications for Developing Countries," in the <u>United Nations Conference on Trade and Development's E-Commerce and</u> **Development Report 2003**, New York and Geneva: United Nations, Chapter 2, pp. 41-61.
- 18) **David Paton, Donald S. Siegel, and Leighton Vaughan Williams** (2002). "A Review of the Empirical Literature on the Demand for Gambling," in <u>The Economics of Gambling</u>, edited by Leighton Vaughan Williams, London: Routledge, pp. 249-270.
- 19) **Donald S. Siegel, Paul Westhead, and Mike Wright** (2002). "Support For New Technology-Based Firms: The Role Played by Property-Based Science Parks," in <u>Complexity and Industrial Clusters: Dynamics and Models in Theory and Practice</u>, edited by Alberto Quadrio-Curzio and Marco Fortis, Heidelberg, Germany: Physica-Verlag, pp. 249-266.
- 20) **Abagail McWilliams and Donald Siegel** (1998). "How Fund Managers Can Contribute to Academic Research on Corporate Social Responsibility," in <u>The Investment Research Guide to Socially Responsible Investing</u>, edited by Brian Bruce, New York: Investment Research Forums, pp. 83-103.
- 21) **Donald S. Siegel** (1997). "The Impact of Technological Change on Employment: Evidence From a Firm-Level Survey of Long Island Manufacturers," in <u>Technology, Workers, and Wages: An International Review</u>, edited by Bronwyn Hall, Mark Doms, and Francis Kramarz, Washington, D.C.: National Academy Press, pp. 135-159.
- 22) **Donald Siegel and Zvi Griliches** (1992). "Purchased Services, Outsourcing, and Productivity in Manufacturing," in <u>Output Measurement in the Services Sector, Studies in Income and Wealth</u>, Volume 56, Chicago: University of Chicago Press, pp. 429-458 (Also appeared as **NBER Working Paper #3678**).

PROCEEDINGS

- 1) **Donald Siegel** (2001). "Strategic Research Partnerships and Economic Performance: Data Considerations," **Strategic Research Partnerships: Proceedings from an NSF Workshop**, pp. 33-62.
- 2) **Donald Siegel, David Waldman, and Albert Link** (1999). "Linking Quantitative and Qualitative Data: An Analysis of the Role of Organizational Behaviors and the Relative Productivity of University Technology Transfer Offices," **Proceedings of the 1999 International Conference on Comparative Analysis of Enterprise Data (CAED)**, August 19-20, 1999, The Hague, The Netherlands.
- 3) **David D. Van Fleet, Abagail McWilliams, and Donald Siegel** (1998). "Formal Lists of Journal Rankings: Their Use in Management Departments," **Southern Management Association 1998 Proceedings**, pp. 147-151.
- 4) **Abagail McWilliams and Donald Siegel** (1996). "The Use of Event Studies in Management Research," **Best Papers** and **Proceedings of the Academy of Management-1996**, pp. 338-342.
- 5) Catherine Morrison and Donald Siegel (1996). "Automation or Openness?: Technology and Trade Impacts on Costs and Labor Composition in the Food System," <u>Proceedings of the NE 165 Conference: Strategy and Policy in the Food System: Emerging Issues</u>, June 20-21, 1996, pp. 67-84.

OTHER PUBLICATIONS

- 1) **Donald S. Siegel and Michael Holmes** (2023), "How Managers Can Address Waning Trust in Capitalism," **LSE Business Review** (https://blogs.lse.ac.uk/businessreview/2023/06/13/how-business-can-address-waning-trust-in-capitalism/
- 2) Carl Jude Schramm, Ashish Arora, Rajesh K. Chandy, Kathleen Cooper, Dale W. Jorgenson, Donald Siegel, David L. Bernd, Steve Ballmer, James Blanchard, George Buckley, Art Collins, Michael L. Eskew, Luther Hodges, Jr., Samuel J. Palmisano, and John Menzer (2008). "Innovation Measurement: Tracking the State of Innovation in the American Economy," report to the Secretary of Commerce.
- 3) **Donald Siegel** (2001). "Do British Companies Really Need a Minister to Make Them Socially Responsible?," **Parliamentary Brief**, Vol. 7, No. 5, April 2001 (special supplement on "Business and the Community"), pp. 7-8.
- 4) **Glenn Yago and Donald Siegel** (1994). "Triggering the High Yield Bond Market Decline: Regulatory Barriers in Financial Markets," **Extra Credit: the Journal of Global High Yield Bond Research**, March/April 1994, pp. 11-24.

BOOK REVIEWS

- 1) **Donald S. Siegel** (2004). Review of <u>Technological Change and the Environment</u> (edited by Arnulf Grubler, Nebojsa Nakicenovic, and William D. Nordhaus for Resources for the Future Press) in <u>Structural Change and Economic</u> **Dynamics**, Vol. 15, No. 2, pp. 235-258.
- 2) **Donald S. Siegel** (2000). Review of Paul Beije's <u>Technological Change in the Modern Economy</u> in <u>Education</u> <u>Economics</u>, Vol. 8, No. 3, December 2000, pp. 272-273.
- 3) **Donald S. Siegel** (1995). "Symposium on Bennett Harrison's <u>Lean and Mean: A Productivity Perspective</u>" in <u>Small</u> <u>Business Economics</u>, Vol. 7, No. 5, October 1995, pp. 349-351.

PAPERS UNDER REVIEW

1) **Virgil Fenters, Rachel Balven, Blake Ashforth, David Waldman, and Donald S. Siegel** (2024), "How Does an Organizational Member's Multiple Identities Influence the Adoption of a New Identity? The Case of Hybrid

Entrepreneurs," under second review, Academy of Management Journal

- 2) **Joohyung Kim, David Waldman, Marie Mitchell, and Donald S. Siegel** (2024), "Leader Initiating Structure and Consideration Behavior: Do Gender Role Violations of Leader Behavior Help or Harm Employee Well-Being?" under second review, **Journal of Management**
- 3) **David B. Audretsch, Maksim Belitski, Maribel Guerrero, and Donald S. Siegel** (2024), "Assessing the Impact of the Pandemic on Digital Spin-offs: Theory and Evidence from the United Kingdom," revise and resubmit, **Strategic Entrepreneurship Journal**
- 4) **Nafis Rahman, Noushi Rahman, and Donald S. Siegel** (2024). "ESG Should be ES + G: Reassessing the Effect of Corporate Social Responsibility on the Market Reaction to Negative Financial Events," under review, <u>Contemporary</u> **Accounting Research**
- 5) **Matilde Cappelletti, Leonardo Giuffrida, Sohvi Heaton, and Donald S. Siegel** (2024), "Equity and Efficiency in Public Procurement: Evidence From U.S. Federal Agencies," under review, **Management Science**
- 6) **Maribel Guerrero and Donald S. Siegel** (2024), "Pro-Social Technology Transfer and Academic Entrepreneurship: Lessons Learned and New Directions," under review, **Academy of Management Perspectives**
- 7) **Haneul Choi and Donald S. Siegel** (2023), "Champions of Technology Transfer as Institutional Entrepreneurs: Evidence from University and Federal Lab Scientists," under review, **Strategic Entrepreneurship Journal**
- 8) **Joohyung Kim, David Waldman, Blake Ashforth, and Donald S. Siegel** (2024), Dealing with Paradoxical Tensions in Technology Transfer: The Roles of Situational Salience and Ambivalence Mindset," under review, **Academy of Management Journal**

WORKING PAPERS

1) **Donald S. Siegel, Laura J. Steinberg, and Haneul Choi** (2022), "Linking Engineering and Public Administration in the Curriculum and Beyond: Evidence from the Arizona State University School of Public Affairs/Syracuse University-Maxwell School/Sloan Foundation Project"

SELECTED RECENT PRESENTATIONS (since 2016, not counting AOM presentations)

Innovation, Equity and the Future of Prosperity, Cambridge, MA, October 21, 2022

- 1) "Pro-Social Technology Transfer and Academic Entrepreneurship: Lessons Learned and New Directions," Department of Sociology and Anthropology, Hebrew University, September 2, 2024
- 2) "Technology Transfer and Workforce Development: How to Enhance the Regional Impact of the Chips and Science Act", Conference on "Enhancing the Regional Impact of the CHIPS and Science Act," Center for Strategic and International Studies, Washington, D.C., May 3, 2023
- 3) "Technology Transfer and Academic Entrepreneurship: Lessons Learned and New Directions, Lee School of Business, UNLV, March 31, 2023.

, National Academies of Science, Engineering, and Medicine's Government-University-
Industry Research Roundtable (GUIRR) meeting on "Strategic Innovation and Commercialization: Supporting IP and
Tech Transfer to Advance U.S. Research Competitiveness," February 8, 2023
, Israel Organizational Behavior Conference, Tel Aviv University, January 4, 2023
, Canadian Institute for Advance Research (CIFAR), University of Toronto, Workshop on

4) "Individual and Organizational Issues in Academic Entrepreneurship," Social Science and Technology Seminar, Stanford Institute for Economic Policy Research, Stanford University, Palo Alto, CA, February 26, 2020
, Gies College of Business, University of Illinois-Urbana/Champaign, September 11, 2020
, Entrepreneurship Research Seminar, Hankamer School of Business, Baylor University, September 25, 2020
5) "Entrepreneurial Identity, Immigration Status, and Academic Entrepreneurship: Quantitative Evidence from University Scientists," Johns Hopkins Symposium on Free Market Solutions to Urban Grand Challenges, Baltimore, MD, April 23, 2019
, Academy of Management Specialized Conference on "From Start-up to Scale-up Strategies: Coping with Organizational Challenges in a Volatile Business Environment," Tel Aviv, Israel, December 18, 2018
, Association for Public Policy Analysis and Management (APPAM) conference, Washington, D.C. November 10, 2018
6) "Organizational Justice and Academic Entrepreneurship: A Target Similarity Perspective," Academy of Management Specialized Conference on "From Start-up to Scale-up Strategies: Coping with Organizational Challenges in a Volatile Business Environment," Tel Aviv, Israel, December 19, 2018
7) "Assessing the Antecedents and Consequences of Academic Entrepreneurship: The Role of Micro/OB Factors," School of Business, University of Connecticut, May 5, 2017.
, Saunders College of Business, Rochester Institute of Technology, April 19, 2017.
, College of Business, SUNY-Stony Brook, September 23, 2016.
8) "Real Effects of Private Equity," Private Equity and Employee Share Ownership Symposium, School of Management and Industrial Relations, Rutgers University, November 20, 2020.

KEY ADMINSITRATIVE AND SERVICE ACTIVITIES

Co-Chair-National Academies of Science, Engineering, and Medicine (formerly National Research Council) Committee on "Advancing Commercialization from the Federal Laboratories," 2019-2021, Board on Science, Technology, and Economic Policy, NASEM

Chair-National Research Council Committee on "Best Practice in National Innovation Programs for Flexible Electronics," 2010-2013, Board on Science, Technology, and Economic Policy, National Research Council

Steering Committee Member-Capitalizing on Science, Technology, and Innovation: An Assessment of the Small Business Innovation Research (SBIR) Program: Phase Two," 2009-2016, Board on Science Technology, and Economic Policy, National Research Council.

Congressional Testimony-House Committee on Science, Space, and Technology, Subcommittee on Technology and Innovation, Supporting Re-Authorization of the SBIR program, March 31, 2011

President, Technology Transfer Society (T2S), 2004-present

Board of Directors-Technology Transfer Society, June 2003-present

Board of Directors-Research Foundation of the State University of New York, 2012-2015

Representative at Large, Entrepreneurship Division, Academy of Management, 2017-2020

Search Chair, Vice Dean of the Watts College of Public Service and Community Solutions, Arizona State University, 2019-2020

Search Chair, Director of the School of Social Work, Arizona State University, 2019-2020

Chair, Council of Deans, UAlbany, July 2015-June 2016 (elected by fellow Deans)

Vice Chair, Council of Deans, UAlbany, July 2014-June 2015

Co-Chair, Search Committee for Vice President for Finance and Administration (UAlbany)

Chair, Search Committee for Vice President for Innovation and Partnerships, Research Foundation of the State University of New York

Congressional Testimony-House Committee on Science, Space, and Technology, Subcommittee on Technology and Innovation, Supporting Re-Authorization of the SBIR program, March 31, 2011

Review Panel, National Science Foundation, Science of Science and Innovation Policy, 2017, 2010

Review Panel, National Science Foundation, Decision, Risk, and Management Science, 2006

Member, Governor David A. Paterson's New York Small Business Task Force, 2009-2010

Member, Advisory Committee to the U.S. Secretary of Commerce on "Measuring Innovation in the 21st Century Economy," 2007-2008

Member, Steering Committee on Community Engagement, University at Albany, SUNY

Member, Advisory Committee on "Environmental Technology," U.S. Environmental Protection Agency, 2004-2006

Member, Innovation Finance Working Group, National Innovation Initiative, Council on Competitiveness, 2004-2005

Board of Directors-New York State Industries for the Disabled, Inc., 2009-2017

Spearheaded Revision of the MBA Curriculum, A. Gary Anderson Graduate School of Management, UC-Riverside, 2006-2007

Member-Search Committee-Dean-A. Gary Anderson Graduate School of Management, UC-Riverside, 2007

Member-Search Committee-Senior Faculty Positions in Management-A. Gary Anderson Graduate School of Management, UC-Riverside, 2007-2008

Chair-Search Committee-Faculty Positions in Entrepreneurial Management-A. Gary Anderson Graduate School of Management, UC-Riverside, 2006-2007

Member-Search Committee-Dean-University Extension, UC-Riverside, 2007

Member-School of Medicine Workgroup on Graduate Medical Education and Affiliations, UC-Riverside, 2007-2008 (A strategic planning committee for UCR's new medical school)

Member-IEEE Harry Diamond and Electro-Technology Transfer Awards Committee

Executive Committee-School of Humanities and Social Sciences, RPI, 2002-2006

Chair, Faculty Subcommittee, Middle States Self-Study (accreditation) Steering Committee, RPI, 2004-2006

Member, Middle States Self-Study (accreditation) Steering Committee, RPI, 2004-2006

Member, "Change the World Challenge" Committee, RPI, 2006

Search Committee-Vice-Provost for Undergraduate Education, RPI, 2004

Search Committee-Vice-Provost for Entrepreneurship, RPI, 2003-2005

Promotion and Tenure Reviews

University of Michigan, University of Illinois at Urbana-Champaign, University of Wisconsin-Madison (2), INSEAD, Rice University (2), University of Bath, University of Warwick, University of Cambridge (2), Imperial College London, University of Cyprus, University of Oxford (2), University of Glasgow, Nottingham Trent University, University of Illinois-Chicago (2), Louisiana State University (2), Indiana University (2), Michigan Tech, University of Toronto (2), University of Waterloo (2), Rensselaer Polytechnic Institute, Georgia Tech (2), University of Georgia (2), New Jersey Institute of Technology (2), University of Virginia, UCLA, Lehigh University, University of Leicester, University of Nottingham (5), University of Oregon, George Mason University, University of Reading, Carnegie-Mellon, George Washington University (2), Rutgers University (3), University of Toledo, University of Massachusetts-Lowell (2), University of Bristol, University of Arizona, College of Charleston, University of Hartford, Iowa State University, Oakland University, Stony Brook University, University of Southampton, Aston University, University of Texas-Arlington, University of Missouri-Kansas City, University of Missouri-St. Louis (3), Wright State University, Clemson University, Northeastern University, Babson College, American University, Tufts University (Fletcher School), Old Dominion University, New York Institute of Technology, University of Massachusetts at Lowell, North Carolina State, University of Nevada at Reno (2), Duquesne University, Technical University of Munich, Florida Atlantic University, St. Johns University, University of South Carolina, Ariel University, Reichman University, Clarkson University

Co-Organizer-Academy of Management Meetings, Philadelphia, PA, August 1, 2014, Academy of Management Perspectives: Publishing In AMP

Co-Organizer-Academy of Management Meetings, Orlando, FL, August 10, 2013, Academy of Management Perspectives: Publishing in AMP

Co-Organizer-Academy of Management Meetings, Boston, MA, August 4, 2012, Academy of Management Perspectives: Publishing in AMP

Organizer-Academy of Management Meetings, Boston, MA, August 4, 2012, Academy of Management Perspectives: Management Practices and Data Sets

Co-Organizer-Academy of Management Meetings, Boston, MA, August 6, 2012, Academy of Management Perspectives: Showcase Symposium on Agency Theory

Chair and Organizer-Academy of Management Meetings, Montreal, CA, August 7, 2010, Ask the Experts: Publishing SIM Research in Top Journals

Chair and Organizer-Academy of Management Meetings, Chicago, IL, August 8, 2009, Manuscript Development Workshop: SIM/ONE Research Mentoring

Chair and Organizer-Academy of Management Meetings, Chicago, IL, August 8, 2009, Ask the Experts: Publishing SIM/ONE Research in Top Journals

Co-Organizer-Academy of Management Meetings, Chicago, IL, August 10, 2009, Session on "Academic Entrepreneurship"

Scientific Committee-Applied Econometrics Association Conference on "Patents and Innovations," Tokyo, Japan, December 19-20, 2008

Discussant, "Entrepreneurial Finance Workshop," sponsored by the Kauffman Foundation and the Federal Reserve Bank of Cleveland, Cleveland, Ohio, March 12-13, 2009

Co-Chair and Co-Organizer-Academy of Management Meetings, Anaheim, CA, August 10, 2008, Manuscript Development Workshop: SIM/ONE Research Mentoring

Co-Chair and Co-Organizer-Academy of Management Meetings, Anaheim, CA, August 10, 2008, Ask the Experts: Publishing SIM/ONE Research in Top Journals

Discussant, Session on "Private Equity's Impact: Productivity and Labor Market Effects," Conference on "The History, Impact, and Future of Private Equity: Ownership, Governance, and Firm Performance," American Enterprise Institute, Washington, D.C., November 27-28, 2007

Organizer-Technology Transfer Society Meetings, University at Albany, SUNY, October 17-18, 2008

Organizer-Technology Transfer Society Meetings, University of California, Riverside Palm Desert Graduate Center (sponsored by the Kauffman Foundation), October 24-October 26, 2007 (see http://www.t2society.org/)

Co- Organizer-Special Issue Conference on "The Growth of Gambling and Prediction Markets: Economic and Financial Implications" for Economica, University of California, Riverside Palm Desert Graduate Center, May 20-22, 2007

Discussant, Workshop on "Capital Markets and Environmental Performance," Sponsored by the U.S. Environmental Protection Agency and the University of California-Irvine, Laguna Beach, CA, February 24-26, 2006

Organizer-Technology Transfer Society Meetings, Kauffman Foundation, Kansas City, MO, September 28-September 30, 2005.

Organizer-Technology Transfer Society Meetings, Albany, NY, September 29-October 1, 2004.

Chair-Academy of Management Meetings, Atlanta, GA, August 2006, Session on "University Technology Transfer and Commercialization of Academic Research: Antecedents and Consequences"

Chair-Academy of Management Meetings, Honolulu, HI, August 9, 2005, Session on "New Contexts for Innovation and Entrepreneurship: International Perspectives on University Spin-offs"

Co-Organizer, Special Issue Conference on "Private Equity, LBOs, and Governance" for the <u>Journal of Corporate</u> Finance, Troy, NY, April 21-23, 2006

Organizer, Focused Issue Conference on "Three Lenses on the Multinational Enterprise: Politics, Corruption, and Corporate Social Responsibility" for the Journal of International Business Studies, Phoenix, AZ, January 12-15, 2006

Co-Organizer, Special Issue Conference on "University-Based Technology Initiatives" for <u>Research Policy</u>, Albany, NY, September 30, 2004

Co-Organizer, Special Issue Conference on "The Creation of Start-up Firms at Public Research Institutions" for Research Policy, Albany, NY, September 30, 2004

Scientific Committee-Applied Econometrics Association Conference on "Innovation and Intellectual Property: Economic and Managerial Perspectives," INSEAD-Singapore, July 15-16, 2004

Discussant, Session on "Approaches to Environmental Performance," Conference on "Corporate Environmental Behavior and the Effectiveness of Government Interventions," U.S. Environmental Protection Agency, Washington, D.C., April 26-27, 2004

Organizer and Session Chair-American Association for the Advancement of Science Meetings, Seattle, WA, February 16th, 2004, Session on "Science and Technology Parks: Engines of Innovation and Growth?"

Organizer and Session Chair-American Economic Association Meetings, San Diego, CA, January 4th, 2004, Session on "International Perspectives on University-Industry Technology Transfer"

Academic Advisory Board-Jerusalem Institute for Market Studies, 2003-present

Organizer, Special Issue Conference on "The Economics of Corporate Social Responsibility" for <u>Structural Change and</u> Economic Dynamics, Troy, N.Y., Oct. 24-26, 2003.

Organizer, Special Issue Conference on "Science Parks and Incubators" for the <u>Journal of Business Venturing</u>, Troy, N.Y., April 25-27, 2003

Organizer and Session Chair-International Industrial Organization Society Conference, Boston, MA, April 5th, 2003, Session on "Economics of Innovation."

Co-Organizer, Special Issue Conference on the "Economics of Intellectual Property at Universities" for the <u>International</u> Journal of Industrial Organization, Greensboro, N.C., November 15, 2002

Co-Organizer-National Science Foundation Conference on Science Parks, Greensboro, N.C., November 14, 2002

Session Chair-Applied Econometrics Association Conference on "Innovations and Intellectual Property: Economic and Managerial Perspectives," Universite Libre de Bruxelles, Belgium, November 22-23, 2001, Session on "Innovation and Firm Performance,"

Keynote Speaker-Third Annual Conference on Money, Investment, and Risk, Nottingham Trent University, Nottingham, U.K., November 1, 2001

Member-Business Data Linking Project/Evidence Based Policy Fund (A Network of U.K. Academics Analyzing Confidential Business Data and Advising the U.K. Government on Data Policy), Office of National Statistics, London, U.K., 2001-present

Organizer-Foundation for Management Education Workshop on "Corporate Social Responsibility," Nottingham University Business School, Nottingham, U.K., April 23rd, 2001

Organizer and Session Chair-American Economic Association Meetings, New Orleans, LA, January 5th, 2001, Session on "Innovations in Industrial Organizational of R&D and Technology Transfer."

Co-Organizer-National Academy of Sciences/NUBS Conference on "Policies to Promote Entrepreneurship in a Knowledge-Based Economy: Evaluating Best Practices from the U.S. and U.K.," Nottingham, U.K., September 18-19, 2000 (with Charles Wessner)

Co-Organizer-Conference on "Organizational Issues in University-Industry Technology Transfer," Purdue University, June 9-11, 2000 (with Jerry Thursby and Marie Thursby)

Member-Research Committee-Nottingham University Business School, 2000-2002

Presentations to Governor's Strategic Partnership for Economic Development (GSPED) on "The State of Technology Transfer Programs in Arizona," ASU West, 1996-1999.

Member-Arizona Town Hall (Arizona Town Hall is a bi-annual meeting of state leaders and experts from the public and private sectors on a major public policy issue), 1997-1999.

Board of Directors- Surprise Economic Development Company (SEDCO), 1998-1999

Panelist, Generational Responsibility: The Future of Social Security and Medicare, Sponsored by the Concord Coalition, ASU West, February 26, 1998

Member-Westmarc Area Enhancement Committee, ASU West, 1994-1999

Member-Western Maricopa Enterprise Zone Technical Advisory Group, ASU West, 1994-1996

Supervised two economic development projects for the towns of El Mirage and Surprise, ASU West, 1995.

Supervised 35 student-based consulting projects for organizations such as LILCO, Long Island Research Institute, APS, U.S. West, Honeywell (2 projects), AlliedSignal (10 projects), U-Haul, Lockheed Martin, Corporate Credit Union of Arizona, and General Motors, SUNY-Stony Brook 1990-1994, ASU West 1995-1999.

Designed Spring Training Survey at Peoria Stadium for the Seattle Mariners, ASU West, 1995.

Volunteer, St. Vincent DePaul Society, ASU West, 1994-1997

Chair-Social Sciences Committee-FGIA, Council For Research and Creative Activities, ASU Main, 1998

Chair- Organizational Unit Performance Review of the Systems Science and Engineering Research Center, Council For Research and Creative Activities, ASU Main, 1998

Member-Council for Research and Creative Activities, ASU Main, 1997-1999

Chair-Senate Personnel Committee, ASU West, 1998-1999.

Faculty Senate, ASU West, 1995-1997.

Chair-Academic Programs Committee (deals with curricula and AACSB issues, design and implementation of new programs), School of Management, ASU West, 1997-1999.

Member-Academic Programs Committee, School of Management, ASU West, 1995-1999

Member-Promotion and Tenure Committee, School of Management, ASU West, 1996-1999

School of Management Representative-Diversity Imperative, ASU West, 1997-1999

Member-Finance Search Committee, ASU West, 1998

Member-MBA Curriculum Continuous Improvement, School of Management, ASU West. 1994-1995

Member-Undergraduate Curriculum Revision Committee, School of Management, 1994-1995

Member-Search Committee-Director of Statistical Consulting Center, ASU West, 1994-1995

Member-Research Consulting Center Steering Committee, ASU West, 1995-1999

Mentor Program for Minority Students, SUNY-Stony Brook, 1989-1994

Faculty representative-NSF Minority and Women in Research Program, SUNY-Stony Brook, 1990-1994 (special program to encourage minority students to pursue doctoral degrees)

Chair-Finance Faculty Search Committee, SUNY-Stony Brook, 1992

Coordinator-Brown Bag Seminar Series, coordinator, SUNY-Stony Brook, 1990, 1992