

Shawn X. Huang

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Education

Ph.D. in Accountancy
University of Missouri, Columbia, MO May 2009

Master of Science in Business Administration - Decision & Information Sciences
University of Florida, Gainesville, FL Dec 2003

Bachelor of Science in Accounting
Jinan University, Guangzhou, China Jul 2001

Academic Employment

Associate Professor, Arizona State University, August 2016 – Present
Assistant Professor, Arizona State University, June 2013 – July 2016
Assistant Professor, University of Arkansas, July 2009 – June 2013

Research Interests

Role of transparency and disclosures, Properties of accounting numbers, Executive Compensation Contracts, International accounting

Research Publication (the order of authorship is alphabetical)

1. Huang, S. X., S. Keskek, and J. M. Sanchez. 2020. Investor Sentiment and Stock Option Vesting Terms, *Management Science* Forthcoming.
2. Albring, S., S. X. Huang, R. Pereira, X. Xu. 2020. Disclosure and Liquidity Management: Evidence from Regulation Fair Disclosure, *Journal of Contemporary Accounting & Economics* 16(3), 1-17.
3. Farber, D., S. X. Huang, and E. Mauldin. 2018. Audit Committee Accounting Expertise, Analyst Following, and Market Liquidity. *Journal of Accounting, Auditing and Finance* 33, 174-199.
4. Chi, S., S. X. Huang, and J. M. Sanchez. 2017. CEO Inside Debt Incentives and Corporate Tax Sheltering. *Journal of Accounting Research* 55, 837–876.
5. Huang, S. X., R. Pereira, and C. Wang. 2017. Analyst Coverage and the Likelihood of Meeting or Beating Analyst Earnings Forecasts. *Contemporary Accounting Research* 34, 871–899.
6. Francis, J., S. X. Huang, and I. Khurana. 2016. The Role of International GAAP in Cross-Border Mergers and Acquisitions. *Contemporary Accounting Research* 33, 1298–1330.

7. Dhaliwal, D., S. X. Huang, I. Khurana, and R. Pereira. 2014. Product Market Competition and Conditional Conservatism. *Review of Accounting Studies* 19, 1309-1345.
8. Cassell, C., S. X. Huang, and J. M. Sanchez. 2013. Forecasting without Consequence? Evidence on the Properties of Retiring CEOs' Forecasts of Future Earnings. *The Accounting Review* 88, 1909-1937.
9. Albring, S., S. X. Huang, R. Pereira, and X. Xu. 2013. The Effects of Accounting Restatements on Firm Growth. *Journal of Accounting and Public Policy* 32, 357-376.
10. Cassell, C., S. X. Huang, J. M. Sanchez, and M. D. Stuart. 2012. Seeking Safety: the Relation between CEO Inside Debt Holdings and the Riskiness of Firm Investment and Financial Policies. *Journal of Financial Economics* 103, 588–610.
11. Francis, J., S. Huang, I. Khurana, and R. Pereira. 2009. Does Corporate Transparency Contribute to Efficient Resource Allocation? *Journal of Accounting Research* 47, 943-989.

Conference Presentations

- AAA Annual Meeting, 2007-2015, 2017-2020
- AAA FARS mid-year meeting, 2010, 2012, 2013, 2020
- AAA Western Region Meeting Doctoral Interchange 2017
- AAA IAS Mid-Year Meeting, 2017
- ASU and UofA Conference, 2014, 2018
- Financial Management Association (FMA) 2010, 2014
- The 30th Annual Conference on Financial Economics and Accounting (CFEA 2019)
- The University of Hawaii Accounting Research Conference 2018, 2020

Non-Academic Citations and Media Mentions

1. “The Effect of Demutualization on Liquidity and Transparency of listed firms” with M. Kim, M. Vulcheva and M. Wiczynska.
 - Featured in the Oxford Business Law Blog (on April 21, 2020)
2. “Career Experience and Executive Performance: Evidence from Former Equity Research Analysts” with A. Hugon, S. Liu, and L. Weng.
 - Featured in the Duke Law School’s Blog (FinReg Blog on July 18, 2019)
3. “Forecasting without Consequence? Evidence on the Properties of Retiring CEOs’ Forecasts of Future Earnings” with Cory Cassell, and Juan Manuel Sanchez.
 - “Evidence on the Properties of Retiring CEOs’ Forecasts of Future Earnings”. Harvard Law School Forum on Corporate Governance and Financial Regulation (Monday July 22, 2013).
 - “CEOs Nearing Retirement Inflate Earnings Guidance”, Accounting Today (November 12, 2013).
 - Cited in The Wall Street Journal (on November 13, 2013).

- “Study Links CEO Retirements With Optimistic Forecasts”, Compliance Week (November 15, 2013).
4. “The Role of International GAAP in Cross-Border Mergers and Acquisitions” with Jere Francis and Inder Khurana.
 - “Accounting Differences Crimp Cross-Border Mergers”. CFO.com (August 16, 2012).
 - “Accounting Differences Dampen Cross-Border Mergers”. CFO Magazine (October 08, 2012).
 5. “Seeking Safety: the Relation between CEO Inside Debt Holdings and the Riskiness of Firm Investment and Financial Policies” with Cory Cassell, Juan Manuel Sanchez, and Mike Stuart.
 - “CEO Inside Debt Holdings and the Riskiness of Firm Investment and Financial Policies”. Harvard Law School Forum on Corporate Governance and Financial Regulation (November 21, 2011).
 6. “Does Corporate Transparency Contribute to Efficient Resource Allocation?” with Jere Francis, Inder Khurana, and Raynolde Pereira.
 - “Corporate Transparency and Resource Allocation”. Harvard Law School Forum on Corporate Governance and Financial Regulation (June 3, 2009).

Service Activities

- Section Editor of the 2020 FARS mid-year meeting
- Senior faculty leading group discussion for the 2019 AAA New Faculty Consortium
- The committee for a special issue of *Accounting Horizons* focused on “Accounting, Auditing and Corporate Governance Practices in China,” 2017-2018
- The Director’s Advisory Team for the School of Accountancy, 2019 -
- The Personnel Committee for the School of Accountancy, 2016-2018, 2020-
- The Recruiting Committee for the School of Accountancy, 2015-2016
- Sub-liaison for the 2015 AAA annual meeting
- Dissertation Committee
 - Min Kim, 2019-2020 (Chair)
 - Liwei Weng, 2019-2020 (Co-Chair)
 - Wei Huang, 2017-2018
 - Lyungmae Choi, 2016-2017
 - Xiaolu Xu (Syracuse University), 2012–2013
- Honor Thesis Advisor

- Trang Pham (2020)
- Jeffery Law (2019)
- Thesis advisor for the China DBA program
 - Bo Deng, Hongzhi Guo, Jian Jin, Wenfeng Tang, and Yao Sun (2020-2022)
 - Yi Chen, Gang Cheng, Chunxiao Gu, Dagang Guo, Gaomin Jiang, Pengfei Li, Tao Zhang and Guomin Zhao (2019 – 2021)
 - Jianwei Cao, Wenyang Gu, and Ru Zhang (2018-2020)
 - Chao Cai (2017)
 - Xuehai Yan and Jialun Xie (2016)
- Thesis committee for the China DBA program
 - Xiaoyu Zhang 2020-Present
 - Hui Chen, 2017-2018
- Attending the China DBA program's Research-in-Action (RIA) session in China, 2016-2020
 - Learning about each DBA student's research interests for DBA students
 - Providing feedback to DBA students' research ideas and presentations
- Teaching in the China EMBA program, 2017 and 2018

Reviewer Activities

- Editorial board
 - *China Accounting and Finance Review (CAFR)*
- Ad Hoc Reviewer for *Accounting Horizon, Advances in Accounting, Asia-Pacific Journal of Accounting and Economics, China Accounting and Finance Review, Contemporary Accounting Research, European Accounting Review, Management Science, the British Accounting Review, the International Journal of Managerial Finance, the Journal of Accounting, Auditing and Finance, the Journal of Accounting and Public Policy, the Journal of Business Finance and Accounting, the Journal of Corporate Finance, the Journal of International Accounting Research, The Accounting Review.*
- Reviewer for AAA Annual Meeting, 2010, 2012, 2014, and 2020; AAA Auditing mid-year Meeting, 2012; AAA FARS mid-year Meeting, 2011; AAA Midwest Region Meeting, 2008; AAA Western Region Meeting, 2014

Teaching Experience

- Arizona State University
 - External Reporting II,
 - 2020 *Average Teaching Evaluations* rated 6.75 on a scale of 1 (low) – 7 (high)
 - 2019 *Average Teaching Evaluations* rated 6.87 on a scale of 1 (low) – 7 (high)
 - Advanced Financial Accounting,
 - 2018 *Average Teaching Evaluations* rated 6.72 on a scale of 1 (low) – 7 (high)

- 2017 *Average Teaching Evaluations* rated 6.58 on a scale of 1 (low) – 7 (high)
- 2016 *Average Teaching Evaluations* rated 6.52 on a scale of 1 (low) – 7 (high)
- 2015 *Average Teaching Evaluations* rated 6.55 on a scale of 1 (low) – 7 (high)
- 2014 *Average Teaching Evaluations* rated 6.51 on a scale of 1 (low) – 7 (high)
- 2013 *Average Teaching Evaluations* rated 6.38 on a scale of 1 (low) – 7 (high)

-Financial Statement Analysis in the W. P. Carey China EMBA program

- 2017 *Average Teaching Evaluations* rated 4.27 on a scale of 1 (low) – 5 (high)

- University of Arkansas
 - Intermediate Financial Accounting I, 2009-2012
 - *Average Teaching Evaluations* rated 4.12 on a scale of 1 (low) – 5 (high)
- University of Missouri
 - Introductory Financial Accounting, 2007 and 2008
 - *Average Teaching Evaluations* rated 4.27 on a scale of 1 (low) – 5 (high)

Honors and Awards

- Williams-Keepers Teaching Excellence Award, Univ. of Missouri, 2008
- Earl Wilson Doctoral Scholarship, Univ. of Missouri, 2008
- Outstanding Graduate Teaching Assistant Award, Univ. of Missouri, 2007-2008
- Outstanding Graduate Research Assistant Award, Univ. of Missouri, 2006-2007
- AAA FARS Doctoral Consortium Fellow, 2007
- Ken Dimitry Memorial Scholarship, Univ. of Missouri, 2007
- Ponder Scholarship in Business, Univ. of Missouri, 2006 – 2008
- AAA/Deloitte/J. Michael Cook Doctoral Consortium 2006
- Doctoral Student Summer Research Fellowship, Univ. of Missouri, 2006
- Outstanding Graduate, Jinan Univ., 2001.
- Outstanding Student Scholarship, Jinan Univ., 1998 – 2001.

Experience

- Research and Teaching Assistant, Univ. of Missouri, Aug 2005 – May 2009
- Business Analyst Consultant, Infinite Energy Inc, FL, Nov 2003 – Dec 2003
- Auditing Intern, GuangXin CPA Firm, Guangzhou, China, Jan 2001 to Apr 2001